

# Evaluating the Agricultural Production Systems sIMulator (APSIM) wheat module for California

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## ABSTRACT

**Context.** Computer-based crop simulation models are important tools for agricultural research and management. APSIM (Agricultural Production Systems sIMulator) is commonly used around the world but has not been widely validated in North America. **Aims.** The objective of this work was to evaluate the reliability of APSIM for simulating wheat production in California, with the aim of providing guidance for future field research aimed at model calibration and validation. **Methods.** Environmental and management data from state-wide wheat variety trials of common wheat (*Triticum aestivum* L.) were used to parameterise the APSIM-Wheat module (ver. 7.10 r4220). Simulated yield and protein data were compared with observed field trial results to test the reliability of APSIM simulations. **Key results.** The most reliable simulation of grain yield had a root-mean-square error of 1040 kg/ha and normalised root-mean-square error of 16% relative to actual field data. Preliminary calibration of the model for Californian wheat varieties did not improve simulation accuracy or precision. **Conclusions.** The accuracy or precision of the simulations was comparable to that of other tests of the APSIM-Wheat module in environments where it has not been previously calibrated but was considered too low to be reliable. The lack of reliability was due to the poor representation of local Californian wheat genotypes by existing APSIM cultivars, as well as possible lack of precision and accuracy of field data. **Implications.** APSIM could be a valuable tool for wheat research and management in California; however, further research is needed to generate suitable field data for model calibration and validation.

**Keywords:** abiotic stress, agronomy, breeding, cereals, cropping systems, farming systems, mediterranean environments.

## Introduction

Computer-based crop simulation models are well-established tools for agricultural research and management and are becoming increasingly important for improving agricultural productivity and addressing challenges such as climate change (Reardon-Smith *et al.* 2015; Robertson *et al.* 2015; Ahmed *et al.* 2016; Muller and Martre 2019; Keating 2020). They permit the exploration of complex bio-physical processes, which can at times be difficult, time-consuming or expensive to study in the field (Pasquel *et al.* 2022). One of the more widely used of these models is the Agricultural Production Systems sIMulator (APSIM), developed by the Agricultural Production Systems Research Unit, Toowoomba, Queensland, Australia. APSIM has been successfully applied to a range of common crop species and complex agricultural systems around the world (Keating *et al.* 2003; Holzworth *et al.* 2014, 2018).

California, USA, is one of the world's most commercially valuable agricultural regions (USDA NASS 2020), being a leading generator of farm cash receipts for several decades for products including vegetables, nuts, fruits, and small grains, totalling US\$50 billion in 2021 (CDFA 2022). The dominant grain crop in California is wheat (Jackson *et al.* 2006; CDFA 2022); it plays an important role in the agricultural systems of the region as one of the few crops adapted to cool-season and predominantly rainfed production (Jackson *et al.* 2006). The region has recently experienced drought events that are attributed in part to climate

change (Williams *et al.* 2022). Climate change is likely to lead to increasing temperatures and decreasing rainfall in the region, negatively impacting irrigated perennial and summer crops (Pathak *et al.* 2018). In addition, the 2014 Sustainable Groundwater Management Act has also directly reduced irrigation availability (Harter 2020). The winter rotational niche, when crops can be grown using rainfall and during times of lower evapotranspiration, could therefore become increasingly important for the viability of agribusinesses in California.

APSIM has potential for multiple practical applications for the Californian wheat industry, including identification of optimal strategies for irrigation and nitrogen fertility management (Asseng *et al.* 2001; Peake *et al.* 2014; Lawes *et al.* 2019; Zhao *et al.* 2020); optimisation of crop rotations (Yunusa *et al.* 2004; Kotir *et al.* 2020); informing plant breeding objectives (Chenu *et al.* 2018; Hammer *et al.* 2020; Ramirez-Villegas *et al.* 2020); in-season decision support for growers (Hochman *et al.* 2009a); and better understanding the impacts of climate change and identifying mitigation strategies (Zheng *et al.* 2012; Ahmed *et al.* 2016; Ramirez-Villegas *et al.* 2020; Ye *et al.* 2020). A recent assessment of the general performance of the APSIM-Wheat model found that it successfully predicted crop responses to a diverse range of environmental conditions and management practices (Brown *et al.* 2018). There has been recent testing and validation of APSIM for simulation of the growth and water use of crops including maize, soybean and canola in North America (Archontoulis *et al.* 2014a, 2014b; George and Kaffka 2017; George *et al.* 2018; Balboa *et al.* 2019). APSIM has been used to simulate wheat production for both research and commercial purposes in regions of Australia that are climatically comparable to California (Asseng *et al.* 1998; Farré *et al.* 2002; Hochman *et al.* 2009b). However, published work testing the accuracy or precision of the model for simulating wheat production in the western United States is scarce.

The application of a crop model to new genotypes and environments requires formal model calibration and validation. In the case of the APSIM-Wheat module, this necessitates relatively 'high-resolution' field data for parameters including the phenological response of local genotypes to thermal time, sensitivity to vernalisation and phenology, canopy development and senescence, yield and biomass accumulation over time, and crop response to water and nitrogen (Zheng *et al.* 2015; Brown *et al.* 2018). Such data are generally obtained through dedicated field experiments, and are either not routinely collected for wheat in California (Dubcovsky 2020), or are not available across a sufficient number of environments to allow for model calibration and validation. A lack of suitable field data often acts as a barrier to the development and use of crop models (Zhao *et al.* 2019).

The Small Grains Program of the University of California (UC), Davis, conducts annual state-wide multi-environment field trials and agronomic studies of wheat (George *et al.* 2017; Nelsen *et al.* 2018, 2019). This work generates information regarding the performance of local wheat genotypes,

along with management and environmental information, across multiple, diverse sites and years throughout California. These data are not collected for crop model testing, and therefore do not fully comprise the type of information needed for calibrating and validating APSIM. However, this study utilises the extensive, multi-environment dataset opportunistically to evaluate the performance of the APSIM-Wheat model in California. Our objective was to test the accuracy and precision of the current APSIM release to inform future field research efforts aimed at the validation and calibration of the model for California.

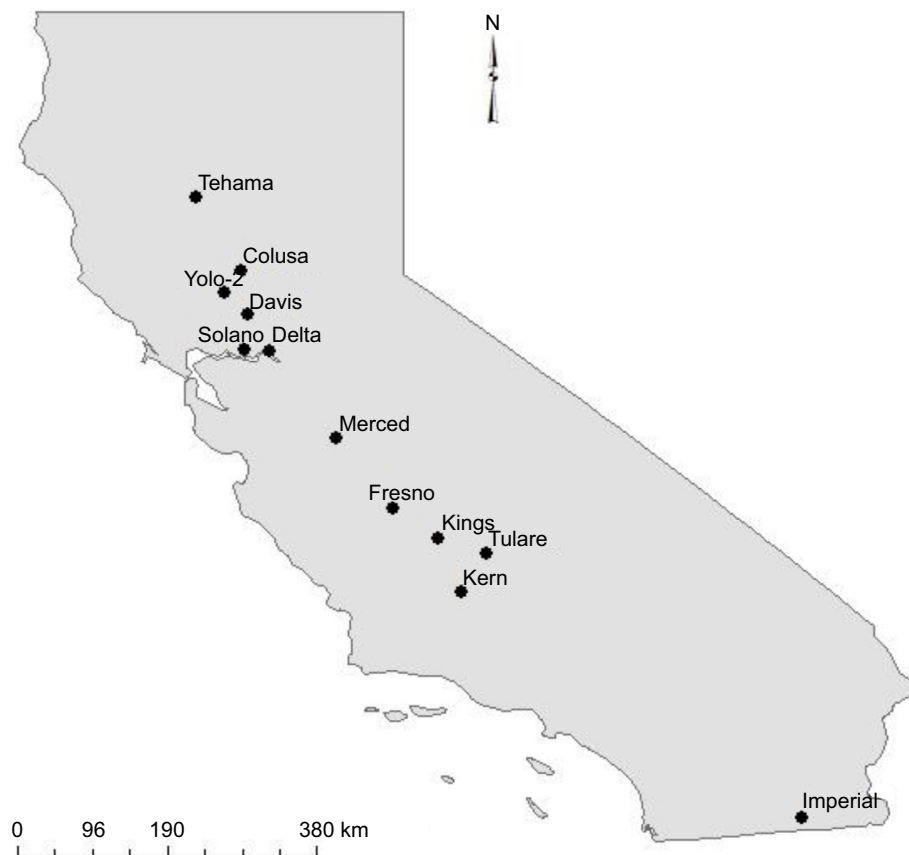
## Materials and methods

### Field data

Both public and internal data including grain yield, grain protein and phenology for common wheat (*Triticum aestivum* L.) were obtained from the state-wide genotype trial results of the UC Small Grains Program, USA (George *et al.* 2017; Nelsen *et al.* 2018, 2019). These comprised 12 field locations in the main cereal production regions of California, conducted over three winter seasons from 2016–17 to 2018–19 (Fig. 1, Table 1). In total, 34 environments (location-by-management-by-year combinations) were sampled. The field locations fall between latitudes 33°N and 42°N, a north–south distance of ~800 km. Two locations in the dataset were identified where field yields were considered unlikely in view of the reported environmental and management conditions for the locations as described below. Estimates of the water-limited yield potential, using Sadras (2020), suggested the reported yield at Tulare in 2017 was too high given the reported growing-season rainfall and starting soil water. The yields at the low-nitrogen managed-stress Fresno 2018 site were also considered too high considering the reported nitrogen fertilisation and residual soil nitrogen. Owing to the uncertainty around the reliability of reported environmental and management variables at these locations, they were removed from the simulation.

Environmental and management details are summarised in Tables 1, 2 and 3. Additional trial methodology and field management details are summarised by Nelsen *et al.* (2019) and George and Lundy (2019). A range of management practices were used across the field sites, including low-input dryland production, with low growing-season rainfall, to high-input and fully irrigated production. At the Davis and Fresno locations, managed-stress trials were also conducted adjacent to conventionally managed trials with the same wheat genotypes. The managed-stress trials consisted of either restricted irrigation or no nitrogen fertilisation.

Soil types at the field locations were predominantly loams, with relatively undifferentiated profiles to a depth of at least 1 m (California Soil Resources Lab 2020). Physical and chemical information for the soils at the field locations was obtained from soil samples taken during the establishment



**Fig. 1.** Locations used as a source of field data to test the performance of the APSIM-Wheat module in California (George *et al.* 2017; Nelsen *et al.* 2018, 2019).

of field sites and the previous work of George and Kaffka (2017). Additional soil information was obtained from the California Soil Resources Lab (2020). Representative starting soil-water data were obtained from soil sampling and reported field measurements (George *et al.* 2017; Nelsen *et al.* 2018, 2019). The plant-available soil-water content was estimated by using this information and the crop and soil lower limits. In most locations, starting soil-water content was small relative to rainfall and irrigation.

Weather data were obtained from the California Irrigation Management Information System (CIMIS) weather station network, using the nearest representative station to each location (CIMIS 2020). The locations represent a range of climate types (Mediterranean to desert) (Peel *et al.* 2007). Growing-season rainfall was estimated from sowing to 1 July of the following year. Growing-season rainfall varied from 0 to 800 mm. Growing degree-days were estimated with daily average temperature, using base 0°C.

Twenty commonly grown wheat genotypes were tested across all three growing seasons (Table 4); however, not all genotypes survived to harvest in all environments. The dataset comprised a range of maturity types and yield potentials, with field yields ranging from 22 to 11 700 kg/ha.

At the Davis location in the 2016–17 and 2017–18 seasons, phenological observations were taken of the genotypes SY Cal Rojo (UC1478) and SY Blanca Grande 515 (UC1657). Commencing at early tillering, observations were taken at approximately ten-day intervals from plots of both the conventional and managed-stress trials. In the 2017–18 season, observations of heading and anthesis were also taken at approximately weekly intervals from all genotypes at the Davis field location. Cumulative thermal time (degree-days) spent in individual phenological stages was estimated for SY Cal Rojo (UC1478) and SY Blanca Grande 515 (UC1657) at the Davis location in the 2016–17 and 2017–18 seasons.

### APSIM procedures

Wheat production was simulated using the unmodified APSIM-Wheat module (ver. 7.10 r4220) (Holzworth *et al.* 2014). Model parameterisation followed the methods for canola in California, previously described by George and Kaffka (2017), using data for the sites presented in Tables 1, 2 and 3. The field data, and previous work of George and Kaffka (2017), were used to parameterise the APSIM soil module to reflect the properties of the soil at the test locations. Sites were

**Table 1.** Details of locations, sowing dates, and soil types of field sites used in this study (Nelsen *et al.* 2019).

Site	Season	Lat. (°)	Long. (°)	Sowing date	Soil type
Colusa	2016–17	39.04	–121.84	10 Nov. 2016	Loam
Davis	2016–17	38.52	–121.77	15 Nov. 2016	Loam
Davis (ln)	2016–17	38.52	–121.77	15 Nov. 2016	Loam
Delta	2016–17	38.15	–121.53	18 Nov. 2016	Clay loam
Fresno	2016–17	36.34	–120.12	1 Dec. 2016	Clay loam
Fresno (ln)	2016–17	36.34	–120.12	1 Dec. 2016	Clay loam
Fresno (lw)	2016–17	36.34	–120.12	1 Dec. 2016	Clay loam
Imperial	2016–17	32.81	–115.45	9 Dec. 2016	Silty clay
Kern	2016–17	35.38	–119.33	21 Nov. 2016	Sandy loam
Solano	2016–17	38.14	–121.74	16 Nov. 2016	Clay
Tulare	2016–17	35.81	–119.05	29 Nov. 2016	Clay
Colusa	2017–18	38.93	–121.84	22 Nov. 2017	Loam
Davis	2017–18	38.54	–121.78	21 Nov. 2017	Loam
Davis (ln)	2017–18	38.54	–121.78	29 Nov. 2017	Loam
Davis (lw)	2017–18	38.54	–121.78	21 Nov. 2017	Loam
Delta	2017–18	38.13	–121.53	18 Nov. 2017	Clay loam
Fresno	2017–18	36.34	–120.11	29 Nov. 2017	Clay loam
Fresno (ln)	2017–18	36.34	–120.11	1 Dec. 2017	Clay loam
Fresno (lw)	2017–18	36.34	–120.11	29 Nov. 2017	Clay loam
Imperial	2017–18	32.81	–115.44	13 Dec. 2017	Silty clay
Kern	2017–18	35.37	–119.33	28 Nov. 2017	Sandy loam
Kings	2017–18	35.99	–119.59	5 Dec. 2017	Clay
Solano	2017–18	38.15	–121.81	21 Nov. 2017	Clay
Tehama	2017–18	39.88	–122.36	15 Dec. 2017	Loam
Tulare	2017–18	35.82	–119.04	28 Nov. 2017	Clay
Colusa	2018–19	39.03	–121.84	26 Nov. 2018	Loam
Davis	2018–19	38.53	–121.77	13 Dec. 2018	Loam
Davis (ln)	2018–19	38.53	–121.77	13 Dec. 2018	Loam
Delta	2018–19	38.19	–121.49	14 Nov. 2018	Silt loam
Fresno	2018–19	36.34	–120.08	12 Dec. 2018	Clay loam
Kern	2018–19	35.52	–119.49	20 Nov. 2018	Sandy loam
Merced	2018–19	37.14	–120.75	19 Nov. 2018	Clay loam
Yolo 2	2018–19	38.8	–122.05	27 Nov. 2018	Silty clay loam

ln, low-nitrogen managed-stress trial; lw, low-irrigation managed-stress trial.

all sown on a fixed date according to the reported sowing date for the location in Table 1, with sowing parameters matching those described by George and Lundy (2019). Locations were fertilised and irrigated according to the reported field management in Tables 2 and 3. The APSIM Climate Control module was used to specify an atmospheric carbon dioxide content of 410 ppm, representing the approximate atmospheric carbon dioxide content for the 2016–17, 2017–18 and 2018–19 period (Blunden and Boyer 2021). To compare field observations with APSIM predictions, grain production and

grain protein at harvest were simulated for all environments using cultivars that represent all unique combinations of cultivar parameters in the APSIM-Wheat module. The phenological development of all cultivars was simulated on a daily basis for the 2016–17 and 2017–18 seasons at the Davis location for comparisons with field observations of phenology taken at this location during these growing seasons.

Genetic parameters used to simulate the cultivars in the APSIM-Wheat model referred to in this work, and a description of their meaning, are presented in Tables 5 and 6. For additional information regarding model parameters, please refer to the documentation for APSIM-Wheat Module (ver. 7.10) (Zheng *et al.* 2015).

## Data management and analysis

Data management and analyses were performed using the R software (v3.6.2) (R Core Team 2020). The accuracy of APSIM simulations for simulating grain yield and protein content was assessed by individually comparing the yields predicted by APSIM cultivars with the reported yield of wheat genotypes tested in the state-wide trials. The nature of the linear relationship between the simulated and field data was assessed using the root-mean-square error (RMSE), the coefficient of determination ( $R^2$ ), and the slope and intercept of the linear relationship (Ahmed *et al.* 2016; Brown *et al.* 2018; Wallach *et al.* 2019). For comparison with published literature, the RMSE was also normalised (nRMSE) using the data range method of the *hydroGOF* library in R (Zambrano-Bigiarini 2020).

Management and environmental variables were unique to individual locations, so their impact on model output was explored by comparing the difference between simulated and observed yields at the location level with management and environmental variables across locations. The relative importance of individual APSIM-Wheat module genetic parameters (specified in Table 5) for the performance of the simulation was assessed by using a classification and regression tree from the *rpart* library in R (Therneau and Atkinson 2019), and by stepwise linear regression, implemented using the *olsrr* library in R (Hebbali 2020). For classification and regression tree (CART) analysis, genetic parameters for individual APSIM cultivars were used as predictor variables of the RMSE between the simulated and actual yield and protein data across all environments. A grid search approach was used to determine optimal model parameter settings for the CART analysis that minimised the estimates of cross-validated prediction error. Stepwise linear regression was performed assuming genetic parameters were numeric variables. Given differences in units, the data were scaled and centred. The best model from the stepwise linear regression was selected based on the Akaike Information Criterion (AIC). The stability of regression estimates was assessed using variance inflation factors, which measure the inflation in the variances of the parameter estimates due to

**Table 2.** Nitrogen (N) fertility management of field sites, adapted from [George et al. \(2017\)](#), [Nelsen et al. \(2018, 2019\)](#) for use in parameterisation of APSIM.

Environment	Initial soil N	Sowing N rate	Total N rate	Sowing N	Second application			Third application			Fourth application		
	<50 cm depth (µg/g)	(kg N/ha)		type	Date	Rate (kg N/ha)	Type	Date	Rate (kg N/ha)	Type	Date	Rate (kg N/ha)	Type
Colusa 2016–17	35	140	175	NH <sub>4</sub> NO <sub>3</sub>	25 Feb.	35	NH <sub>4</sub> NO <sub>3</sub>						
Colusa 2017–18	24	70	130	NH <sub>4</sub>	28 Feb.	60	Urea						
Colusa 2018–19	89	65	120	NH <sub>4</sub>	31 Jan.	55	Urea						
Davis 2016–17	10	55	225	Urea	4 Feb.	170	Urea						
Davis 2017–18	5	55	220	Urea	20 Feb.	110	NH <sub>4</sub> SO <sub>4</sub>	5 Apr.	55	Urea			
Davis 2018–19	26	30	195	Urea	22 Feb.	110	Urea	19 Apr.	55	Urea			
Davis (ln) 2016–17	10	0	0										
Davis (ln) 2017–18	5		0										
Davis (ln) 2018–19	26		0										
Davis (lw) 2017–18	5	55	220	Urea	20 Feb.	110	NH <sub>4</sub> SO <sub>4</sub>	5 Apr.	55	Urea			
Delta 2016–17	12.5	55	55	NH <sub>4</sub> NO <sub>3</sub>									
Delta 2017–18	21	140	140	NH <sub>4</sub> NO <sub>3</sub> /urea									
Delta 2018–19	19.5		0										
Fresno 2016–17	4	55	225	Urea	15 Feb.	170	Urea						
Fresno 2017–18	11		75		26 Feb.	50	Urea	5 Apr.	25	Urea			
Fresno 2018–19	13		165		25 Feb.	110	Urea	15 Apr.	55	Urea			
Fresno (ln) 2016–17	0.5		0										
Fresno (ln) 2017–18	11		0										
Fresno (lw) 2016–17	0.5	55	165	Urea	15 Feb.	110	Urea						
Fresno (lw) 2017–18	11		50		20 Mar.	50	Urea						
Imperial 2016–17	30	55	280	Urea	31 Jan.	75	Urea	1 Mar.	75	Urea	30 Mar.	75	Urea
Imperial 2017–18	30	55	275	Urea	19 Jan.	110	NH <sub>4</sub>	13 Feb.	55	NH <sub>4</sub>	7 Mar.	55	NH <sub>4</sub>
Kern 2016–17	12	85	140	NH <sub>4</sub> NO <sub>3</sub> /urea	14 Mar.	55	NH <sub>4</sub> NO <sub>3</sub> /urea						
Kern 2017–18	5	120	280	NH <sub>4</sub> NO <sub>3</sub> /urea	21 Feb.	160	Urea						
Kern 2018–19	31	120	280	NH <sub>4</sub> NO <sub>3</sub> /urea	21 Feb.	160	Urea						
Kings 2017–18	15	95	220	NH <sub>4</sub>	21 Jan.	35	NH <sub>4</sub>	21 Feb.	55	NH <sub>4</sub> NO <sub>3</sub> /urea	21 Mar.	35	NH <sub>4</sub>
Merced 2018–19	110	10	10	Urea									
Solano 2016–17	1.5	55	190	Urea	26 Jan.	135	Urea						
Solano 2017–18	1.5	55	55	NH <sub>4</sub>									
Tehama 2017–18	3	55	165	Urea	22 Feb.	110	Urea						
Tulare 2016–17	5	10	10	NH <sub>4</sub>									
Tulare 2017–18	5		0										
Yolo 2 2018–19	12	30	105	NH <sub>4</sub>	9 Feb.	65	NH <sub>4</sub> NO <sub>3</sub>	25 Apr.	10	NH <sub>4</sub>			

ln, low-nitrogen managed-stress trial; lw, low-water managed-stress trial.



**Table 3.** Starting soil-water content (SWC), growing-season rainfall (GSR), cumulative growing degree-days (GDD), and irrigation management of field sites, adapted from [George \*et al.\* \(2017\)](#), [Nelsen \*et al.\* \(2018, 2019\)](#) for use in parameterisation of APSIM.

Environment	Starting SWC (mm)	CIMIS	GSR (mm)	Irrigation (mm)	GDD	First irrigation		Second irrigation		Third irrigation		Fourth irrigation		Fifth irrigation		Sixth irrigation	
						Timing	Amount (mm)	Timing	Amount (mm)	Timing	Amount (mm)	Timing	Amount (mm)	Timing	Amount (mm)	Timing	Amount (mm)
Colusa 2016–17	0	Davis	726	Rainfed	2240												
Colusa 2017–18	180	Davis	228	Rainfed	2310												
Colusa 2018–19	0	Davis	643	Rainfed	2030												
Davis 2016–17	50	Davis	726	Rainfed	2240												
Davis 2017–18	0	Davis	228	405	2310	14 Dec.	25.4	21 Feb.	152	20 Apr.	228						
Davis 2018–19	15	Davis	643	64	2030	22 Apr.	63.5										
Davis (ln) 2016–17	15	Davis	726	Rainfed	2240												
Davis (ln) 2017–18	10	Davis	228	405	2310	14 Dec.	25.4	21 Feb.	152	20 Apr.	228						
Davis (ln) 2018–19	20	Davis	643	64	2030	22 Apr.	63.5										
Davis (lw) 2017–18	0	Davis	228	127	2310	14 Dec.	25.4	21 Feb.	102								
Delta 2016–17	700 <sup>A</sup>	Staten	805	Rainfed	2300												
Delta 2017–18	700 <sup>A</sup>	Staten	229	Rainfed	2370												
Delta 2018–19	0	Staten	570	Rainfed	2310												
Fresno 2016–17	260	FivePoints	179	533	2290	9 Dec.	88.9	16 Feb.	88.9	14 Mar.	177.8	26 Apr.	177.8				
Fresno 2017–18	20	FivePoints	100	244	2380	6 Dec.	12	7 Dec.	38	14 Dec.	17	20 Feb.	93	10 Apr.	84		
Fresno 2018–19	0	FivePoints	207	371	2190	13 Dec.	25.4	21 Dec.	19.05	8 Jan.	19.05	28 Feb.	12.7	25 Mar.	172.72	16 Apr.	121.92
Fresno (ln) 2016–17	270	FivePoints	179	533	2290	9 Dec.	88.9	16 Feb.	88.9	14 Mar.	177.8	26 Apr.	177.8				
Fresno (ln) 2017–18	0	FivePoints	100	237	2380	6 Dec.	8	7 Dec.	35	14 Dec.	17	20 Feb.	93	10 Apr.	84		
Fresno (lw) 2016–17	210	FivePoints	179	320	2290	9 Dec.	25.4	16 Feb.	147.32	13 Apr.	147.32						
Fresno (lw) 2017–18	10	FivePoints	100	153	2380	6 Dec.	8	7 Dec.	35	14 Dec.	17	20 Feb.	93				
Imperial 2016–17	20	Meloland	32	715	2980	15 Dec.	143	31 Jan.	143	1 Mar.	143	30 Mar.	143	4 Apr.	143		
Imperial 2017–18	165	Meloland	0	938	2960	14 Dec.	178	19 Jan.	76	13 Feb.	178	7 Mar.	100	27 Mar.	178	13 Apr.	228
Kern 2016–17	150	Shafter	149	334	2400	1 Dec.	167	14 Mar.	167	21 Apr.	167						
Kern 2017–18	0	Shafter	94	608	2450	28 Nov.	152	1 Jan.	152	1 Mar.	152	21 Apr.	152				
Kern 2018–19	80	Shafter	188	457	2430	1 Oct.	152.4	25 Mar.	152.4	15 Apr.	152.4						
Kings 2017–18	0	Shafter	41	452	2370	15 Dec.	100	21 Jan.	152	21 Feb.	100	21 Mar.	100				
Merced 2018–19	65	Denair II	359	178	2260	15 Dec.	88.9	30 Dec.	88.9								

CIMIS Station indicates the name of the nearest representative weather station (CIMIS 2020).

ln, low-nitrogen managed-stress trial; lw, low-water managed-stress trial.

<sup>A</sup>This location had an organic soil type and was capable of high water-holding capacity.

**Table 4.** Commercial wheat cultivars grown between 2017 and 2019 in the University of California small grain trials (George *et al.* 2017; Nelsen *et al.* 2018, 2019), and the unique code assigned to each cultivar by the University of California, Davis (UC code).

Cultivar	UC code
Yecora Rojo	112
SY Cal Rojo	1478
UC Lassik	1495
SY Redwing	1521
SY Blanca Grande 515	1657
SY Summit 515	1658
Bag New Dirkwin	1667
UC Patwin 515	1680
LCS Atomo	1723
WB Joaquin Oro	1728
WB 9229	1730
WB Patron	1731
UC Patwin 515 HP	1743
UC Yurok	1745
WB 9904	1751
Assl Tam 204	1778
UC Central Red	1817
SY Sienna	1835
WB 9350	1842
WB 9433	1847

collinearities that exist among the predictors (Hebbali 2020). The regression diagnostics of initial stepwise linear regression models found that node senescence on the main stem exhibited multiple collinearities with other predictors, as well as residual-leverage issues, and it was therefore removed from the stepwise regression analysis. Initial analyses also found that interactions between parameters could not be included in the regression model owing to collinearities and model sparsity. Regression assumptions of the linear fits were visually assessed. The process described above was also

applied to the coefficient of determination; it did not lead to different conclusions from the RMSE and is therefore not reported.

## Model calibration

Field data needed for comprehensive model calibration and validation were not available. However, using findings from the analyses described above, preliminary cultivar parameterisation was performed. The parameter file for wheat was modified to match the thermal-time values observed for SY Cal Rojo (1478) and SY Blanca Grande 515 (1657) reported in Table 6. This was done for the base cultivar as well as the New Zealand base cultivar, which generated some of the more reliable simulations and these are described in the Results.

## Results

### Performance of the APSIM-Wheat model for simulation of yield and protein in California

The accuracy and precision of the existing APSIM-Wheat module for predicting grain yield and protein varied considerably between unique combinations of APSIM-Wheat cultivars and field genotypes (Fig. 2). For grain yield, across all pair-wise comparisons, the relationships observed for RMSE ranged from 1040 to 4900 kg/ha and nRMSE from 16% to 68%. The RMSE of protein varied from 1.4% to 5.1% and the nRMSE varied from 17% to 74%.

In terms of grain yield, the best linear relationships between the simulated and field data for SY Cal Rojo (UC1478) and SY Blanca Grande 515 (UC1657) are presented in Fig. 3a, b. In both cases, the nRMSE was >20%, with multiple outliers, and the linear fit over-predicted lower yielding environments and under-predicted high-yielding environments. Across all pairwise combinations of APSIM cultivars and field genotypes, WB Joaquin Oro (UC1728) had one of the lowest values of RMSE for yield (Fig. 3c). There were few apparent outliers, and the fit was close to 1:1, despite over-prediction in lower yielding environments. The genotype LCS Atomo (UC1723) had the highest yields

**Table 5.** Genetic parameters in the APSIM-Wheat model referred to in this paper and a description of their meaning.

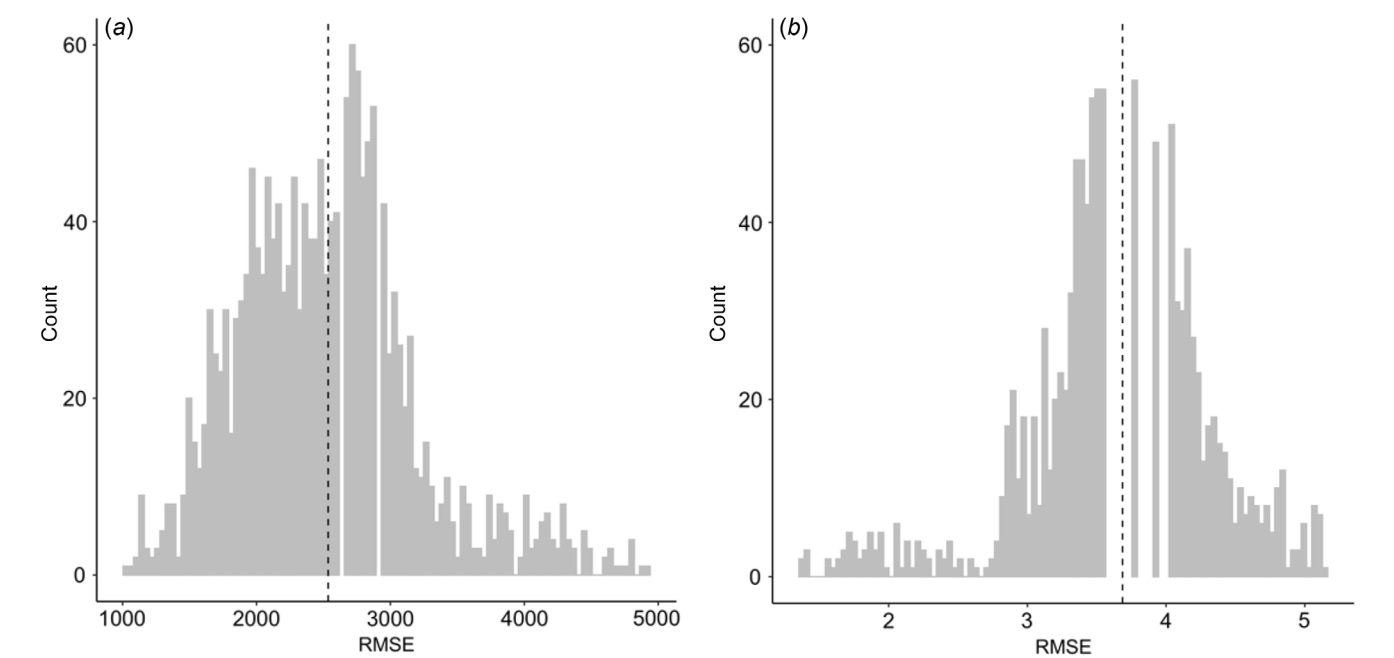
Genetic parameter	Description	APSIM cultivars				UC Davis
		Base	Gatton Hartog	Gregory	V2_P2	
Grains_per_gram_stem	Kernel number per stem weight at the beginning of grainfill (no./g)	25			35	28
Max_grain_size	Maximum grain size (g)	0.041			0.047	0.047
Node_sen_rate	Rate of node senescence on the main stem. (degree-days/node)	60			120	120
Photop_sens	Sensitivity to photoperiod (0, lowest; 5, highest)	3	3.5	3.2	2	3.5
Vern_sens	Vernalisation sensitivity (0, lowest; 5, highest)	1.5	2.5	2.7	2	1.7

Parameter values for the APSIM base cultivar are provided, along with parameter values for the APSIM cultivars referred to in the Results. Parameter values that vary from the base cultivar are indicated. V2\_P2 is derived from the APSIM New Zealand base cultivar, which has a reduced rate of senescence following flag leaf and a reduced rate of senescence during water stress. UC Davis - indicates the preliminary cultivar parametrization for Californian varieties based on field data. Information in the table is adapted from Zheng *et al.* (2015).

**Table 6.** Thermal time genetic parameters in the APSIM-Wheat model referred to in this paper and a description of their meaning.

Genetic parameter	Description (degree-days)	Zadoks growth stage	APSIM cultivar (degree-days)				Field observation (degree-days)		
			Base	Gatton Hartog	Gregory	V2_P2	Davis 2017	Davis 2018	Av.
tt_end_of_juvenile	Thermal time from sowing to end of juvenile	0–19	400				620	750	685
tt_floral_initiation	Thermal time from floral initiation to flowering	20–59	555				700	640	670
tt_flowering	Thermal time for anthesis phase	60–69	120				250	240	245
tt_start_grain_fill	Thermal time from beginning to end of grainfill	70–89	545		650		350	520	435
tt_end_grain_fill	Thermal time from end of grainfill to maturity	90–99	35				100	NA	100

Parameter values for the APSIM base cultivar are provided, along with parameter values for the APSIM cultivars referred to in the Results. Parameter values that vary from the base cultivar are indicated. V2\_P2 is derived from the APSIM New Zealand base cultivar, which has a reduced rate of senescence following flag leaf and a reduced rate of senescence during water stress. Adapted from [Zheng \*et al.\* \(2015\)](#). Cumulative growing degree-days spent in each phenological stage estimated from field observations of SY Cal Rojo (I478) and SY Blanca Grande 515 (I657) growing at Davis in 2017 and 2018; NA, not available. Zadoks growth stages as per [Zadoks \*et al.\* \(1974\)](#).



**Fig. 2.** Distribution of root-mean-square-errors (RMSE) between all combinations of APSIM-Wheat cultivars and field genotypes tested in the analysis for (a) grain yield and (b) grain protein. Dashed line shows the mean value.

of all genotypes tested in the multi-environment trials and was therefore specifically examined. The best model fit for this genotype was relatively poor ([Fig. 3d](#)). The relationships between simulated and field data for grain protein content were considered poor by all metrics, the best fit being  $r^2 = 0.35$ , and are not presented.

**Performance of the APSIM-Wheat module for simulation of phenology**

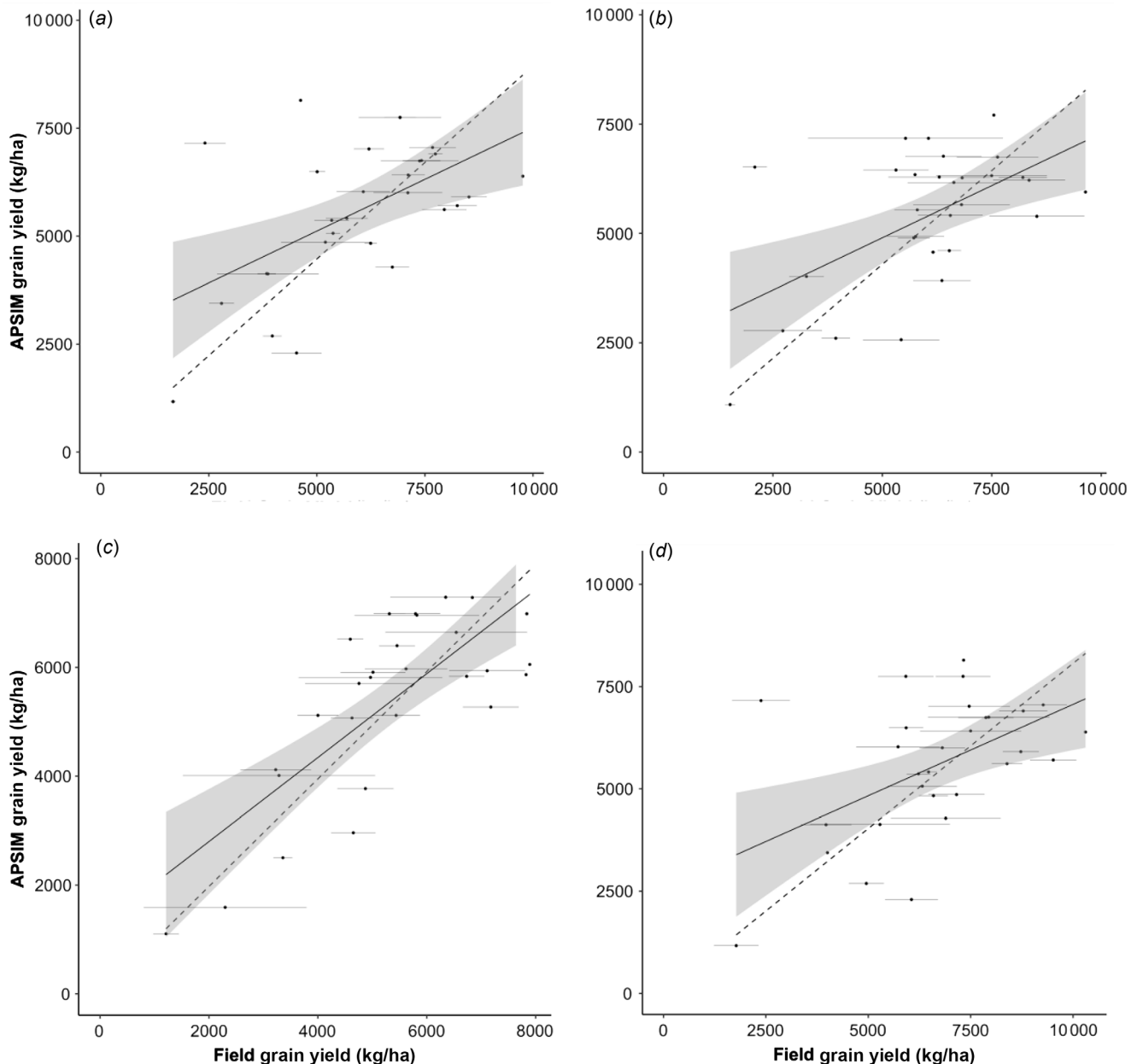
[Figs 4 and 5](#) show the relationships between the observed field phenology of SY Cal Rojo (UC1478) and SY Blanca Grande 515 (UC1657), respectively, at the Davis location, and APSIM model predictions of phenology using the cultivars that gave the smallest RMSE of prediction for yield (as shown in [Fig. 3](#)).

In all environments, the predicted time to all phenological stages between tillering and anthesis was slightly shorter than observed in the field, and was longer for late anthesis, early grain development, and grain maturation. The phenological data for WB Joaquin Oro (UC1728) and LCS Atomo (UC1723) showed a similar pattern but were available only for the Davis location in a single season, and at a lower temporal resolution, and are therefore not presented.

**Importance of management, environmental, and APSIM-Wheat parameters to simulation reliability**

Water and nitrogen status of the locations was not strongly associated with model reliability; there was a trend whereby the yield at sites with lower water and nitrogen status was

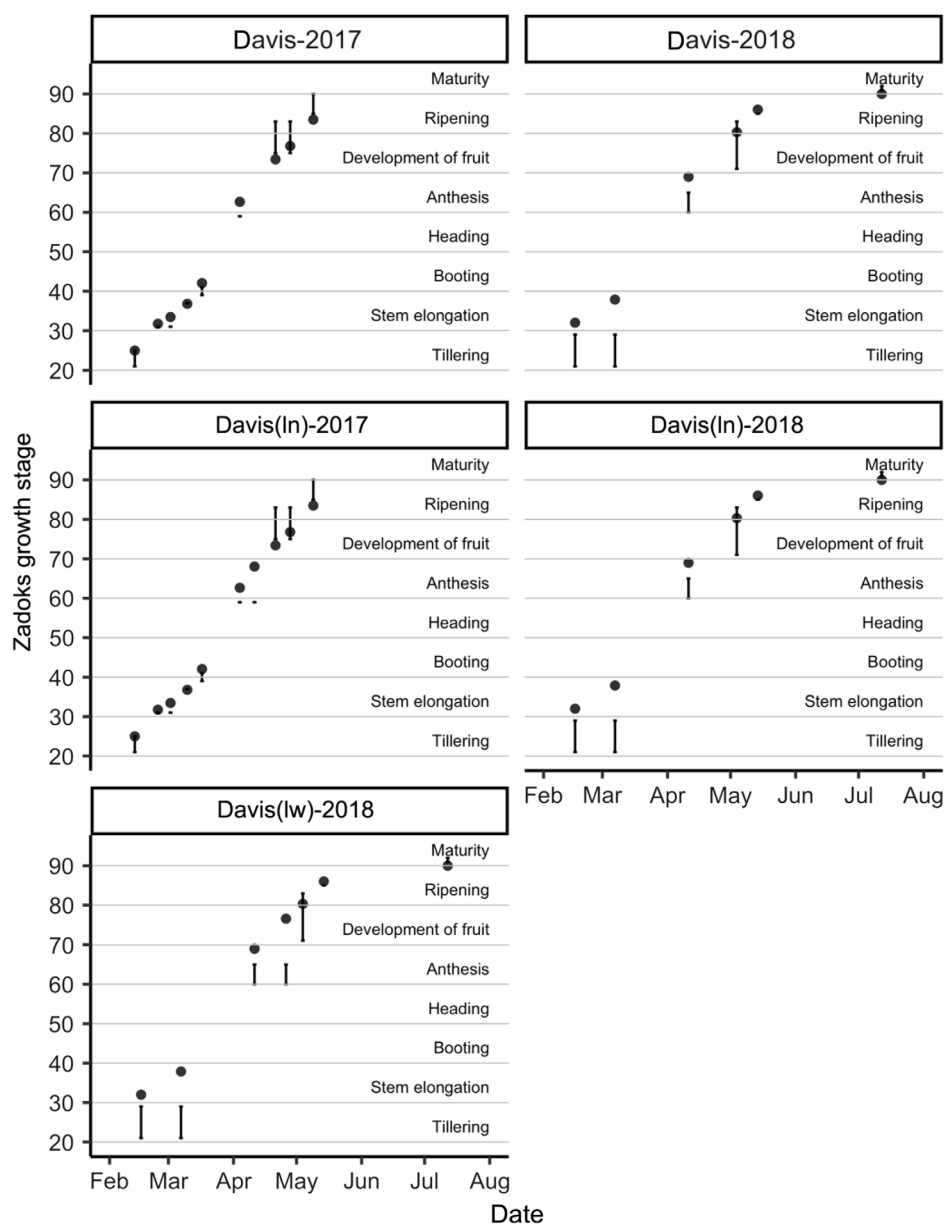




**Fig. 3.** Relationships between grain yields from field and simulated genotypes: (a) SY Cal Rojo (UC1478) and APSIM cultivar Gatton Hartog (RMSE = 1750, nRMSE = 22%, mean absolute error = 1300,  $r^2 = 0.30$ , intercept = 2720, slope = 0.48); (b) SY Blanca Grande 515 (UC1657) and APSIM cultivar Gregory (RMSE = 1730, nRMSE = 21%, mean absolute error = 1346,  $r^2 = 0.32$ , intercept = 2512, slope = 0.48); (c) WB Joaquin Oro (UC1728) and APSIM cultivar V2\_P2 (RMSE = 1129, nRMSE = 17%, mean absolute error = 1000,  $r^2 = 0.59$ , intercept = 1257, slope = 0.77); (d) LCS Atomo (UC1723) and Gatton Hartog (RMSE = 2084, nRMSE = 24%, mean absolute error = 1694,  $r^2 = 0.27$ , intercept = 2599, slope = 0.44). Solid line shows the unconstrained linear relationship and dashed line the 1:1 relationship. Shading indicates the 95% confidence interval.

under-predicted, although the relationship was weak with large residual variation (Figs 6 and 7). CART analyses found thermal time to the start of grainfill and number of grains per stem weight were strong determinants of model reliability, as were vernalisation and photoperiod sensitivity (Fig. 8). Stepwise linear regression found all variables to have a significant impact on RMSE (Table 7), and similar to the CART analysis, thermal time to the start of grainfill and

number of grains per stem weight had some of the largest impacts on model reliability. By contrast, vernalisation and photoperiod were not found to be strong predictors. The analysis was also performed using the coefficient of determination as the indicator of model reliability, but the results were the same as for RMSE between simulated and actual yield and protein data, and the results of the analysis are therefore not presented.



**Fig. 4.** Relationship between observed phenology of field genotype SY Cal Rojo (UC1478) and predicted phenology of simulated APSIM cultivar Gatton Hartog (filled circles). Field observations span a range of phenology stages and are therefore represented as a value range (vertical lines). Observations were taken at the Davis location in the 2016–17 and 2017–18 seasons. In, low-nitrogen managed-stress trial; lw, low-water managed-stress trials.

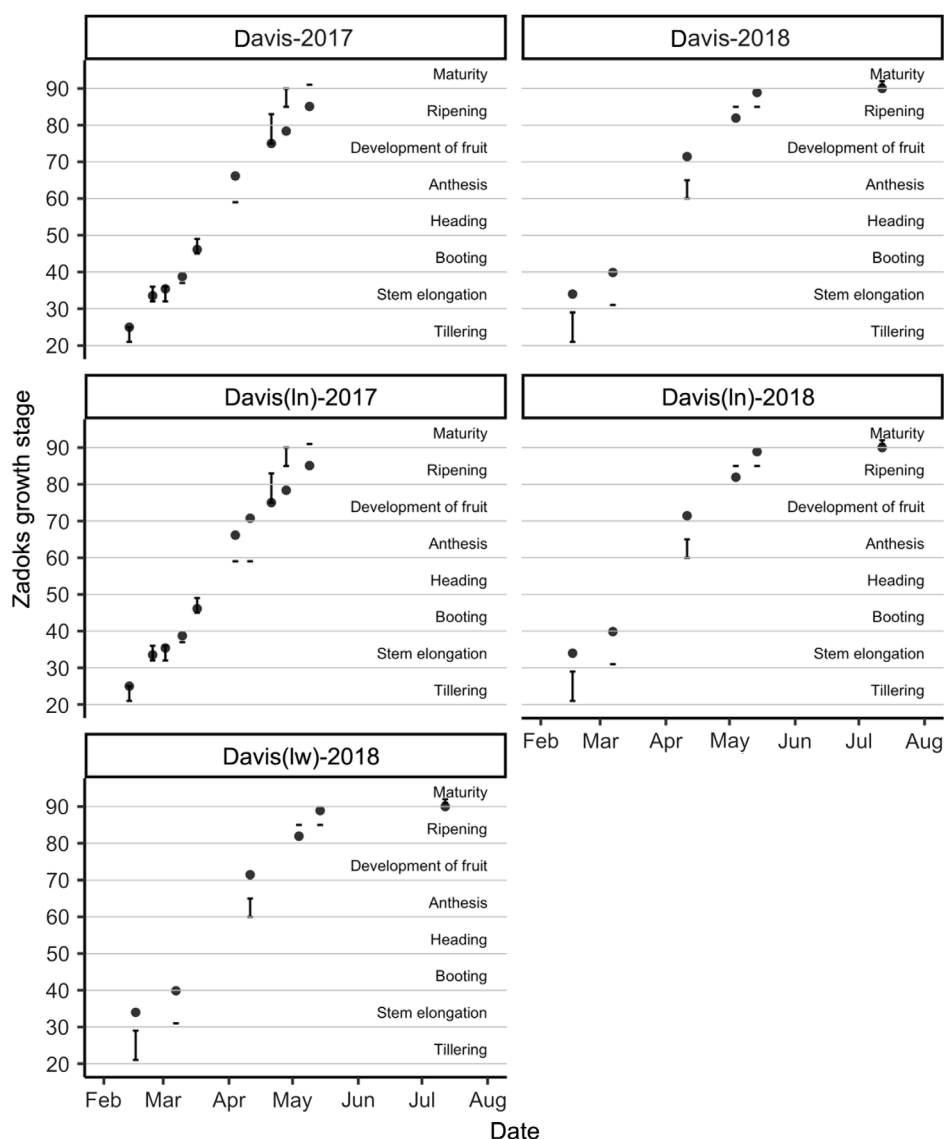
### Observations of thermal time for phenological stages and model calibration

The thermal time spent in phenological stages was similar for both SY Cal Rojo (UC1478) and SY Blanca Grande 515 (UC1657), under conventional and managed-stress conditions in individual seasons. Comparison of thermal time spent in phenological stages with the equivalent parameter in the APSIM-Wheat module (Table 6) shows that the thermal times needed from sowing to the end of juvenile stage, from floral initiation

to flowering, and to reach the anthesis stage were greater than for the APSIM cultivars, whereas thermal time needed from the beginning to end of grainfill was smaller.

### Cultivar calibration

The APSIM base cultivar and New Zealand base cultivar were both reparameterised with thermal-time observations from SY Cal Rojo (UC1478) and SY Blanca Grande 515 (UC1657). However, like the unparameterised cultivars reported in



**Fig. 5.** Relationship between observed phenology of field genotype SY Blanca Grande 515 (UC1657) and predicted phenology of APSIM cultivar Gregory (black circles). Field observations span a range of phenology stages and are therefore represented as a value range (vertical lines). Observations were taken at the Davis location in the 2016–17 and 2017–18 seasons. In, low-nitrogen managed-stress trial; lw, low-water managed-stress trials.

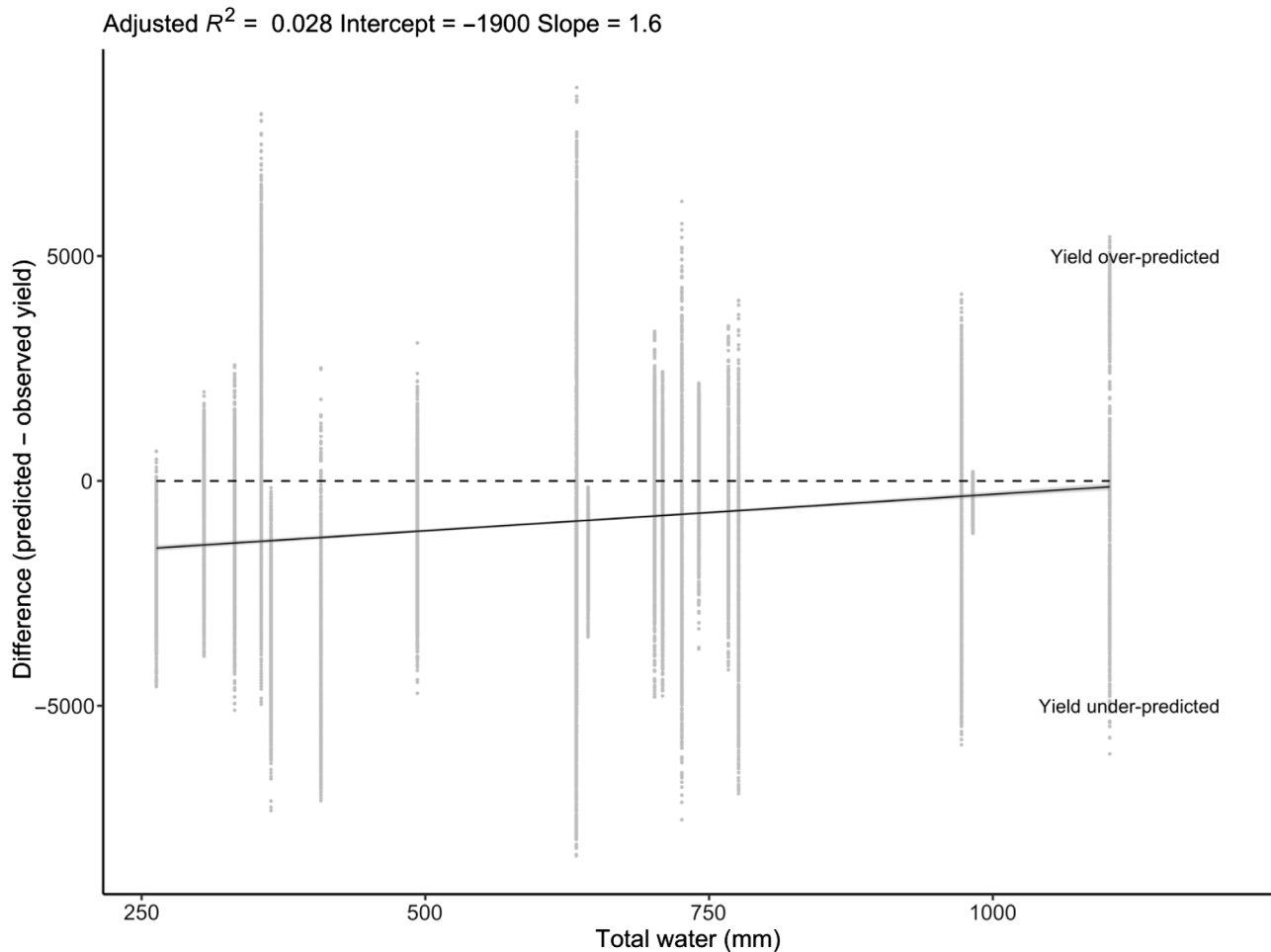
Fig. 3, the reparameterised cultivars simulated WB Joaquin Oro (UC1728) most reliably (Table 8). The summary statistics show that the relationship between predicted and observed yield for the parameterised cultivars was worse than for the unparameterised model in Fig. 3.

## Discussion

### The performance of APSIM for simulation of wheat yield in California

Computer-based crop simulation models support agricultural research and production, but model calibration and testing

requires field data that are often not available. The objective of this work was to use pre-existing data from state-wide field trials to evaluate the performance of the APSIM model (v7.10) for simulating wheat production in California and to identify future research needs for model validation and calibration. Given the large yield range and the diversity of environments, management methods and latitudes represented in the field data, we found that the APSIM model was capable of predicting relative grain yield responses for at least one field genotype. The accuracy of the relationship between predicted and reported field yields was comparable to other tests of the APSIM-Wheat module in environments where it has not been previously calibrated (Asseng *et al.* 2000; Farré *et al.* 2002;



**Fig. 6.** Relationship between total water (starting plant-available soil water, seasonal precipitation and irrigation) and the difference between predicted and observed yield across all locations and years. Dotted line shows no correlation between predictor and response ( $y = 0$ ).

Zhao *et al.* 2014, 2020; Kouadio *et al.* 2015; Hussain *et al.* 2018).

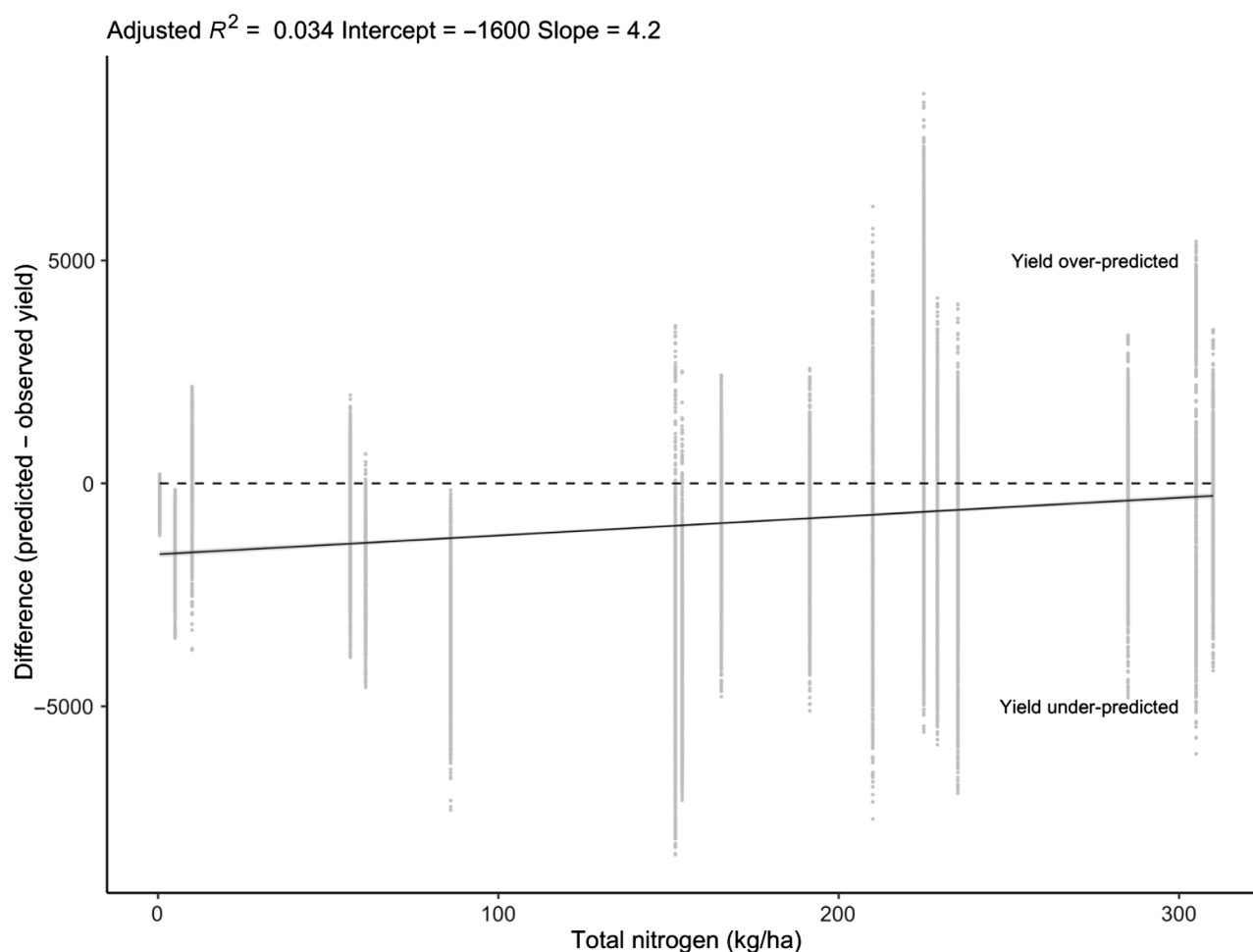
Despite this, we do not consider the current APSIM-Wheat module to be accurate or precise enough to simulate wheat production scenarios in this environment, where greater specificity is required. The model could not reliably simulate high-yielding genotypes and was unable to reliably simulate grain protein content. The genotype that was simulated most reliably, WB Joaquin Oro (UC1728), was one of the lowest yielding of the advanced genotypes included in the California field trials, although it achieved some of the highest protein values (Nelsen *et al.* 2018, 2019). The usefulness of the current APSIM model for the Californian wheat industry is therefore limited, and it will require further calibration and validation.

### Reasons for the performance of APSIM-wheat in California

The poor performance of APSIM for simulating wheat production in California is surprising given the environmental

similarities between the region and southern Australia, where the model has been calibrated and extensively validated. The uncalibrated APSIM model has also previously been found to simulate canola production reliably in the same region (George and Kaffka 2017). Inaccuracies in crop simulation modelling result from both parameter sensitivity and parameterisation error (He *et al.* 2015).

Correct calibration of APSIM relies on genetic parameters that accurately represent the crop genotype being simulated (Ceglar *et al.* 2011; Zhao *et al.* 2014; Casadebaig *et al.* 2016; Harris *et al.* 2016; Meier *et al.* 2020). As would be expected, the performance of APSIM for simulating wheat in California was shown to vary considerably depending on the choice of APSIM cultivar and the field genotype with which it was compared. Analyses of the importance of variables for model reliability, observation of field phenology, and initial thermal-time estimates all suggest that the parameterisation of APSIM cultivars does not currently represent the Californian genotypes well. APSIM was able to approximate the phenology of field genotypes SY Cal Rojo (UC1478), SY Blanca Grande



**Fig. 7.** Relationship between total nitrogen (starting soil nitrogen and fertilisation) and the difference between predicted and observed yield across all locations and years. Dotted line shows no correlation between predictor and response ( $y = 0$ ).

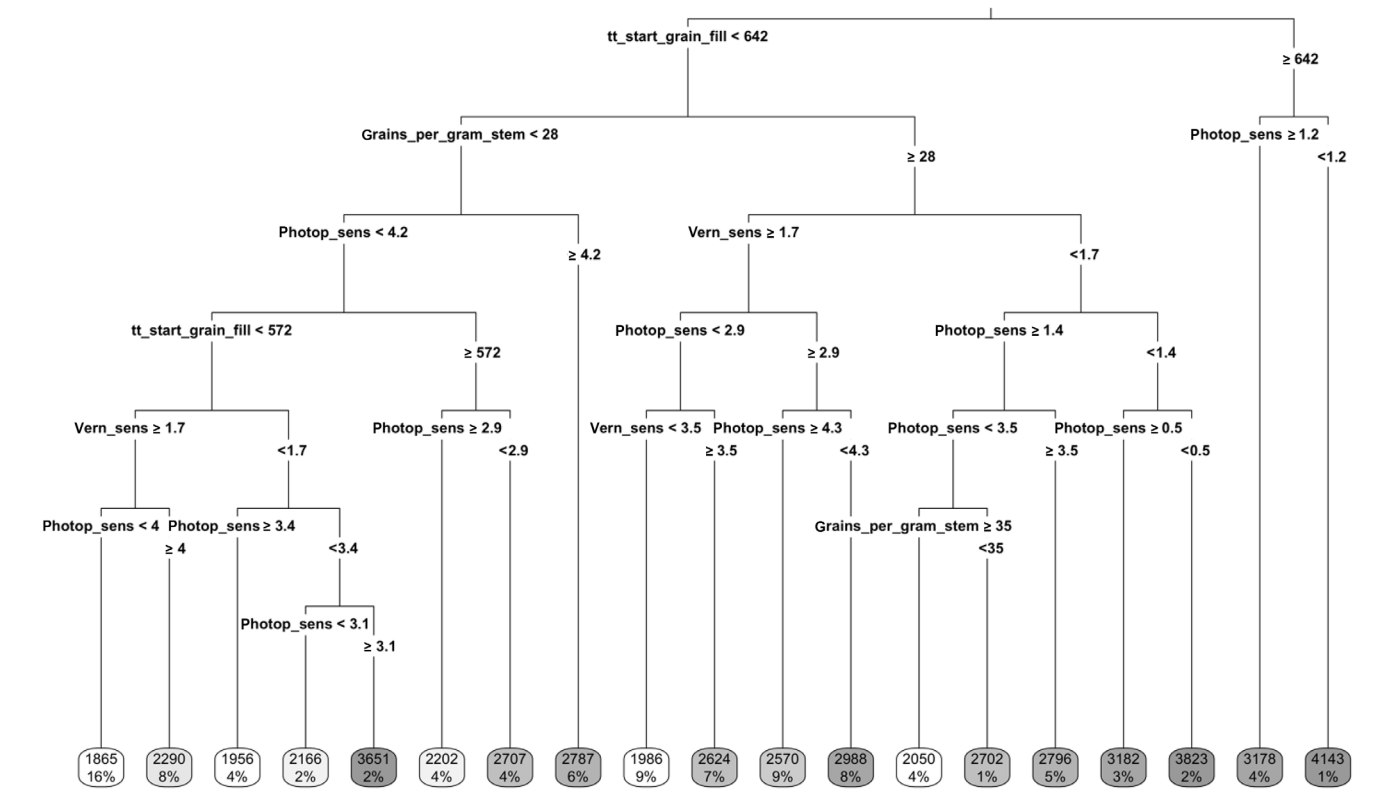
515 (UC1657) and LCS Atomo (UC 1723) despite the yields of these genotypes not being simulated reliably. By contrast, the phenology of WB Joaquin Oro (UC1728) was not simulated as reliably, despite the yield of this genotype being simulated more reliably than that of other genotypes.

Several phenology and grain parameters are influencing the outcome of the simulation. Parameterisation of the model using field observations of thermal time did not lead to improvements in yield simulations. Therefore, fieldwork is necessary to develop a better understanding of these phenology and grain parameters in Californian varieties. Most wheat genotypes in California are considered to have a low sensitivity to vernalisation and are photoperiod-insensitive (Dubcovsky *et al.* 2006). However, Ottman *et al.* (2013) demonstrated that consideration of photoperiod alongside thermal time improves predictions of flowering date in autumn/fall-planted spring wheat cultivars in the south-west of USA. Our findings also suggest that photoperiod and vernalisation sensitivity are important predictors and should also be investigated in more detail.

All efforts were made to parameterise the model to accurately reflect environmental and management conditions

at the locations using reported data, but there are reasons to believe the management and environmental data for some locations are not accurate. The RMSE of prediction of yield of WB Joaquin Oro (UC 1728) was also comparable to the reported single-site standard deviation from the field data for the genotype (George *et al.* 2017; Nelsen *et al.* 2018, 2019), illustrating the sensitivity of yield to environmental factors within field sites not being captured by the simulation, along with the potential for imprecisions in reported management and environmental data at field sites.

Datasets from state-wide multi-environment trials, which are often long-running, geographically extensive, agro-environmentally diverse, and publicly available, are resources for understanding the impacts of management and environmental data on crop production (e.g. George and Lundy 2019; Barrett-Lennard *et al.* 2024). Within resourcing limits, the California Small Grains Program collects comprehensive data regarding crops and test locations (George *et al.* 2017; Nelsen *et al.* 2018, 2019), but our study shows such datasets may still have limitations due to insufficient resolution or completeness. Well-conducted multi-environment trials are



**Fig. 8.** Classification and regression tree showing the importance of predictor variables to the RMSE of the linear relationship between all combinations of APSIM wheat cultivars and field genotypes for grain yield. See [Tables 5](#) and [6](#) for a description of the values. Boxes along the bottom depict RMSE and the proportion of the dataset that falls in each division.

**Table 7.** Importance of predictor variables to the RMSE of the linear relationship between all combinations of APSIM wheat cultivars and field genotypes tested in the analysis for grain yield, based on stepwise linear regression.

Model	Beta	s.e.	t	Sign.	Lower	Upper
tt_end_of_juvenile	−0.338	0.033	−10.184	0	−0.403	−0.273
Max_grain_size	−0.219	0.039	−5.653	0	−0.295	−0.143
Vern_sens	0.099	0.023	4.28	0	0.054	0.144
Photop_sens	0.106	0.024	4.439	0	0.059	0.152
tt_end_grain_fill	0.108	0.025	4.239	0	0.058	0.157
tt_floral_initiation	0.122	0.029	4.25	0	0.065	0.178
tt_flowering	0.243	0.036	6.82	0	0.173	0.312
tt_start_grain_fill	0.39	0.03	13.108	0	0.332	0.448
Grains_per_gram_stem	0.427	0.038	11.181	0	0.352	0.502

Node senescence rate had only two unique values and was therefore removed from the analysis.

essential for effective plant breeding and agronomy (Yan 2014) and will continue to be routine in many regions of the world. Existing multi-environment trials should therefore be leveraged to generate data that can be applied to analytics and modelling (Keating 2020; Pasquel *et al.* 2022). This will increase the long-term value of these programs.

**Table 8.** Summary statistics for the relationship between predicted and observed grain yield where the APSIM cultivar was parameterised with thermal-time values reported in [Table 6](#).

Parameterised APSIM cultivar	Field genotype	RMSE	nRMSE	r <sup>2</sup>	Intercept	Slope
Base	SY Blanca Grande 515 (UC1657)	3011	37	0.06	3057	0.39
	SY Cal Rojo (UC1478)	2865	35	0.11	2433	0.50
	WB Joaquin Oro (UC1728)	2437	37	0.24	886	0.82
New Zealand base	SY Blanca Grande 515 (UC1657)	2903	36	0.07	3358	0.41
	SY Cal Rojo (UC1478)	2765	34	0.13	2741	0.52
	WB Joaquin Oro (UC1728)	2338	35	0.28	1041	0.87

### Conclusions and future work: improvement of the APSIM-Wheat module for California

The current APSIM-Wheat module calibration is not able to simulate wheat production reliably under Californian conditions. Models such as APSIM will continue to be important tools for agricultural research and management (Chenu *et al.* 2017; Keating 2020), and increasing the accuracy and



precision of the APSIM-Wheat module for Californian conditions will provide a tool to support and complement existing agricultural research. Our study finds that data needed for model calibration are not currently available. Future work should therefore prioritise higher resolution observations of phenology, sensitivity to photoperiod and vernalisation, and grain characteristics, as well as canopy development and senescence (Zheng *et al.* 2015; Brown *et al.* 2018). Seasonal biomass accumulation and soil-water dynamics would also be valuable (Asseng *et al.* 1998) and assist in improving simulations.

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**Data availability.** The data that support this study are available in Dryad at: [https://datadryad.org/stash/share/YpQMK9qqFHhtUTrbQ0rndYGMt\\_ekhlHk4Zd4IK7vsLL](https://datadryad.org/stash/share/YpQMK9qqFHhtUTrbQ0rndYGMt_ekhlHk4Zd4IK7vsLL).

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