Supplementary Material

Regioselective addition of 1,3-dicarbonyl dianions to carbonyl compounds: one pot lactonization and ketalization of δ -hydroxyl- β -keto esters to protected pyrone derivatives

Manas K. Ghorai*, Sandipan Halder and Sauvik Samanta

Department of Chemistry, Indian Institute of Technology, Kanpur, 208016, India *Email: <u>mkghorai@iitk.ac.in</u>

SL No.	Contents		
1.	General Information	S-2	
2.	Selected NMR spectra	S-3	
3.	X-ray crystallographic studies	S-21	
4.	X-ray crystal structures	S-22	
5.	X-ray crystallographic data and structure refinement (Table 1)	S-23	
6.	References	S-24	

1. General Information

Analytical thin layer chromatography (TLC) was carried out using silica gel 60 F_{254} pre-coated plates. Visualization was accomplished with UV lamp or I₂ stain. Silica gel 230-400 mesh size was used for flash column chromatography using the combination of ethyl acetate and petroleum ether as eluent. Unless noted, all reactions were carried out in oven-dried glassware under an atmosphere of nitrogen/argon using anhydrous solvents. Where appropriate, all reagents were purified prior to use following the guidelines of Perrin and Armarego.¹ All commercial reagents were used as received. Proton nuclear magnetic resonance (¹H NMR) spectra were recorded at 400 MHz/500 MHz. Chemical shifts were recorded in parts per million (ppm, δ) relative to tetramethyl silane (δ 0.00). ¹H NMR splitting patterns are designated as singlet (s), doublet (d), doublet of doublet (dd), triplet (t), quartet (q), multiplet (m). Carbon nuclear magnetic resonance (¹³C NMR) spectra were recorded at 100 MHz/125 MHz. Mass spectra (MS) were obtained using ESI mass spectrometers. IR spectra were recorded as neat for liquid and in KBr for solids. Melting points were measured using a 1.0 mL cell with a 1.0 dm path length and are reported as [α] ²⁵_D (*c* in g per 100 mL solvent) at 25 °C.

2. Selected NMR spectra

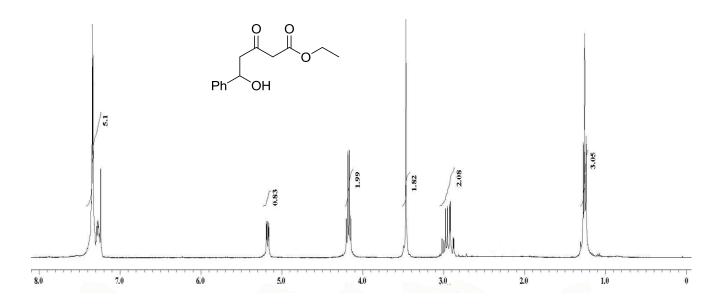


Figure 1: ¹H NMR spectrum of **4a** (CDCl₃, 500 MHz)

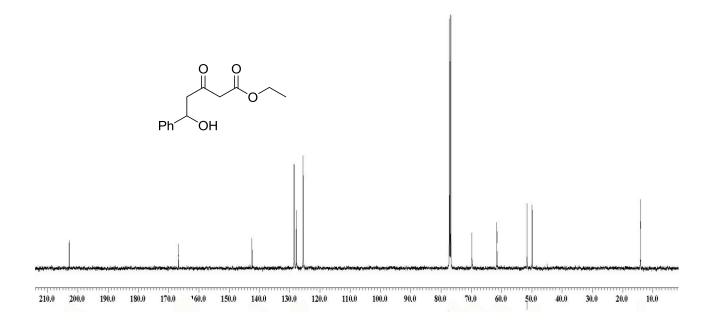


Figure 2: ¹³C NMR spectrum of **4a** (CDCl₃, 125 MHz)

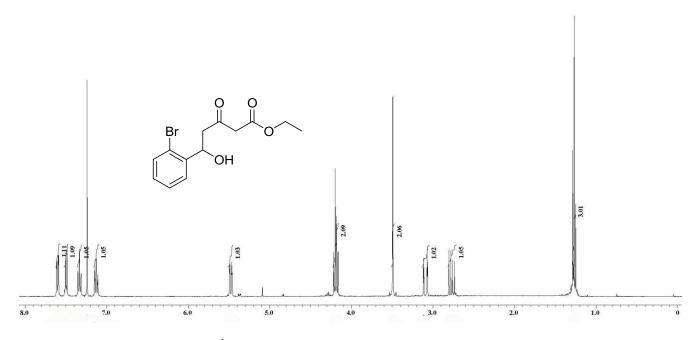


Figure 3: ¹H NMR spectrum of **4b** (CDCl₃, 400 MHz)

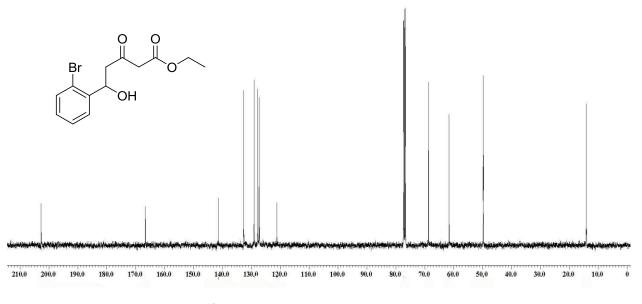


Figure 4: ¹³C NMR spectrum of **4b** (CDCl₃, 100 MHz)

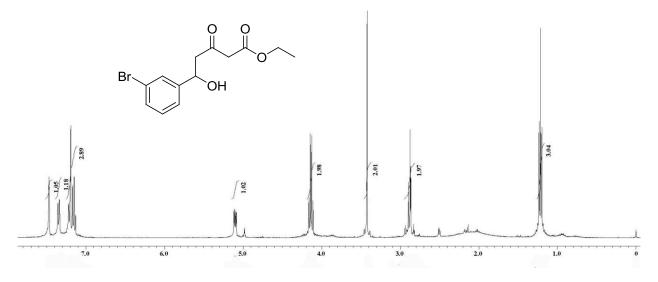


Figure 5: ¹H NMR spectrum of **4c** (CDCl₃, 400 MHz)

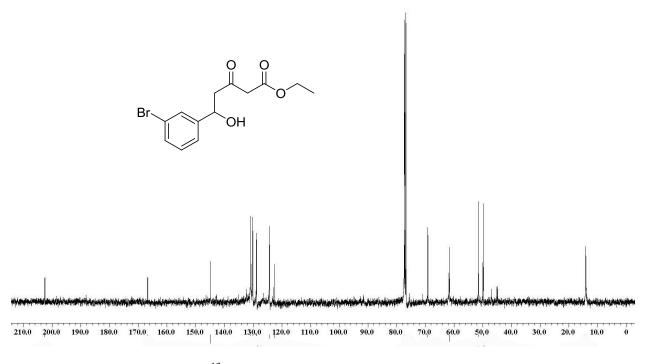


Figure 6: ¹³C NMR spectrum of **4c** (CDCl₃, 100 MHz)

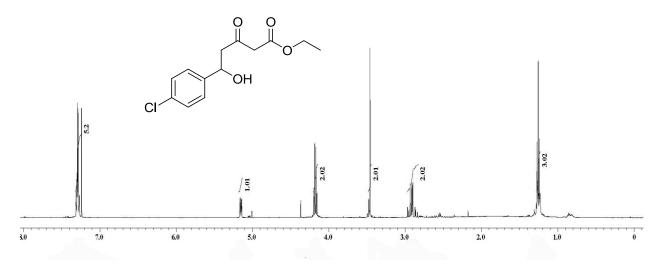


Figure 7: ¹H NMR spectrum of **4d** (CDCl₃, 500 MHz)

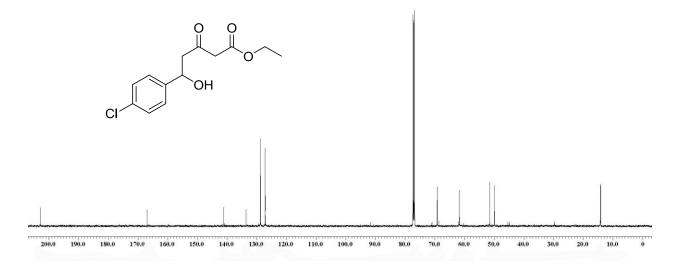


Figure 8: ¹³C NMR spectrum of **4d** (CDCl₃, 125 MHz)

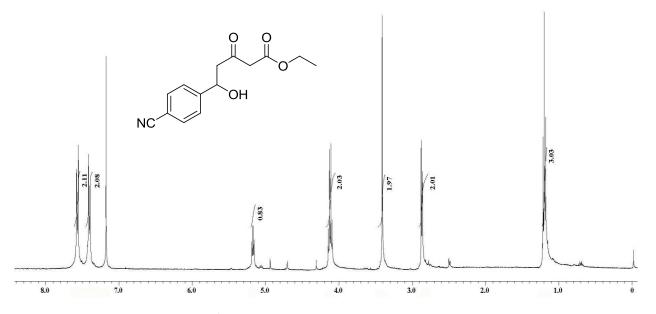


Figure 9: ¹H NMR spectrum of **4e** (CDCl₃, 400 MHz)

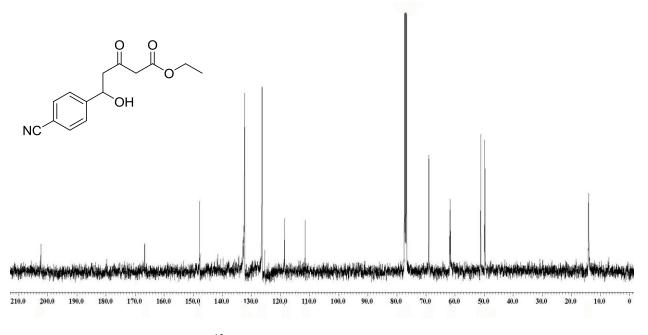


Figure 10: ¹³C NMR spectrum of **4e** (CDCl₃, 100 MHz)

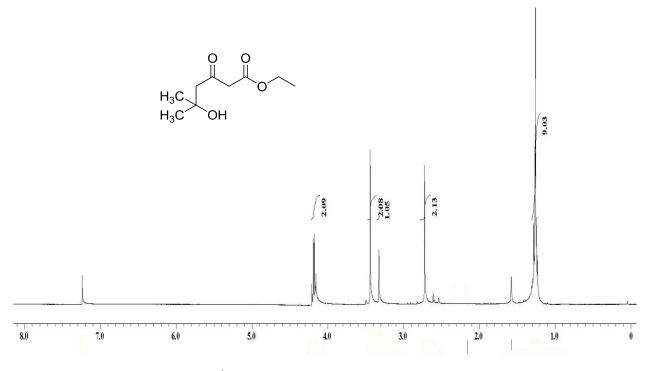


Figure 11: ¹H NMR spectrum of **4f** (CDCl₃, 400 MHz)

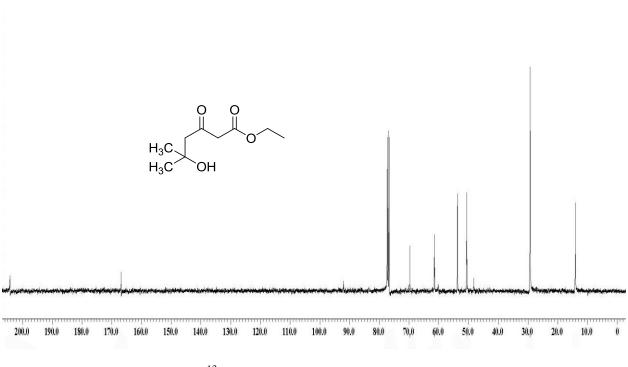


Figure 12: ¹³C NMR spectrum of **4f** (CDCl₃, 100 MHz)

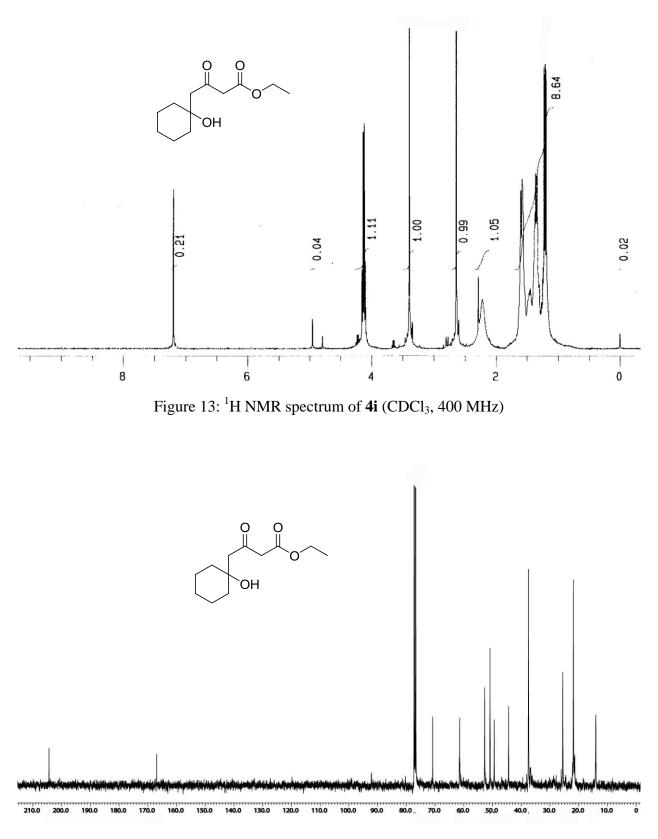


Figure 14: ¹³C NMR spectrum of **4i** (CDCl₃, 100 MHz)

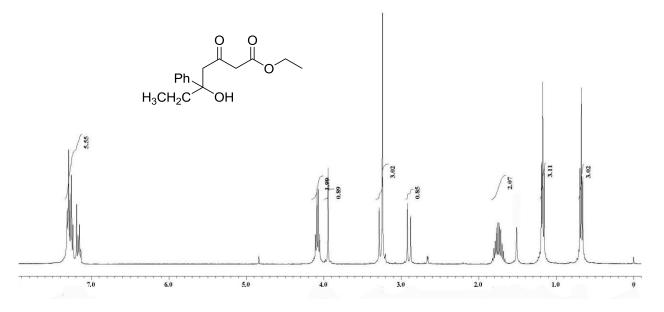


Figure 15: ¹H NMR spectrum of **4j** (CDCl₃, 400 MHz)

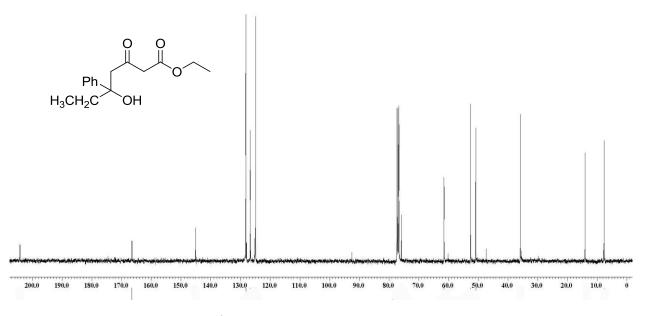


Figure 16: ¹³C NMR spectrum of **4j** (CDCl₃, 100 MHz)

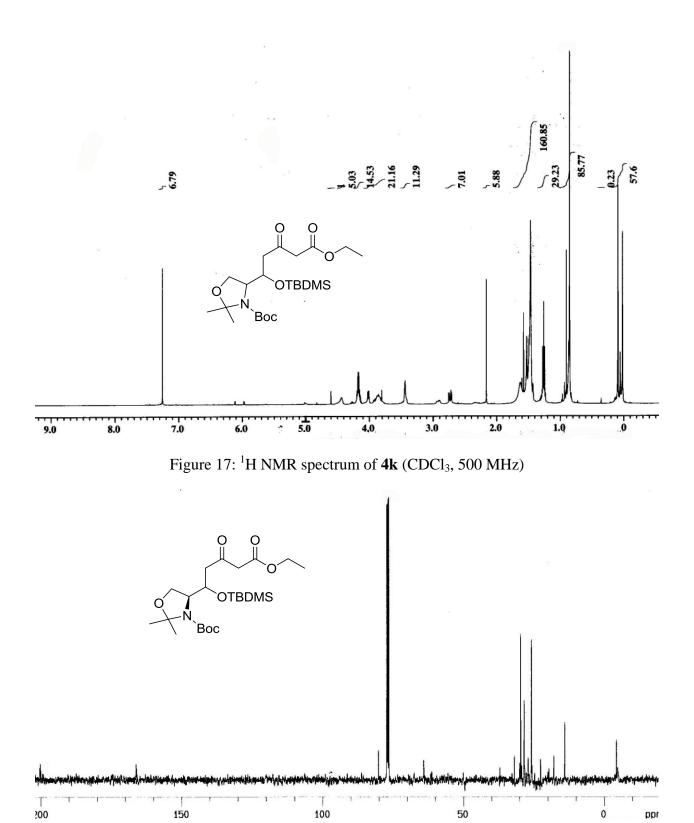


Figure 18: ¹³C NMR spectrum of **4k** (CDCl₃, 125 MHz)

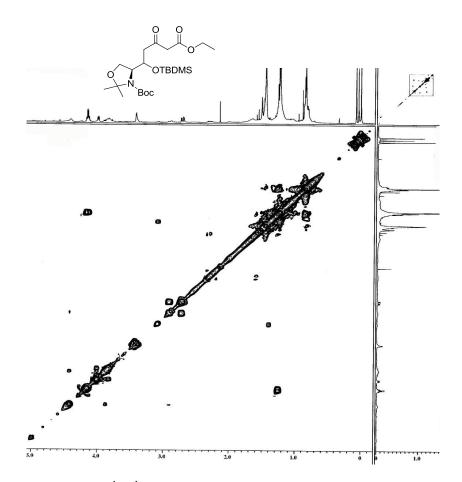


Figure 19: ¹H-¹H COSY spectrum of **4k** (CDCl₃, 500 MHz)

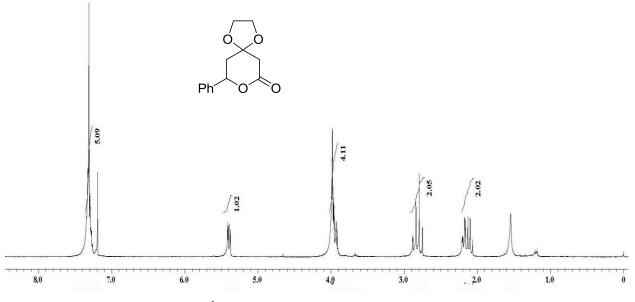
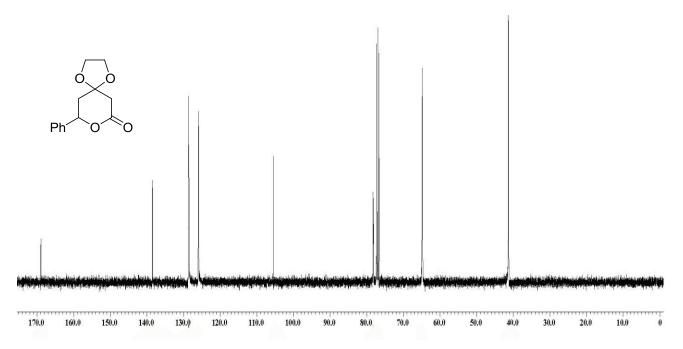
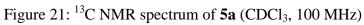
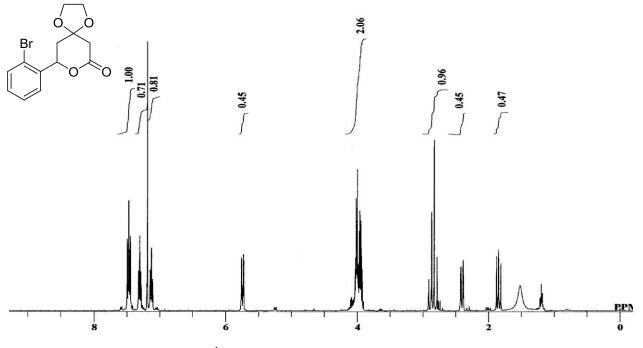
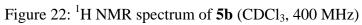


Figure 20: ¹H NMR spectrum of **5a** (CDCl₃, 400 MHz)









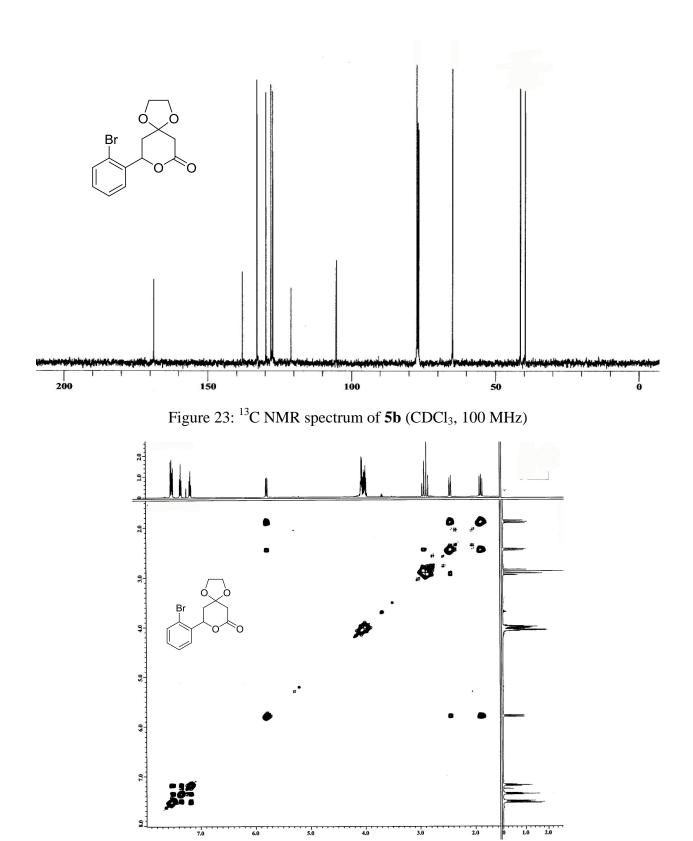


Figure 24: ¹H-¹H COSY spectrum of **5b** (CDCl₃, 500 MHz)

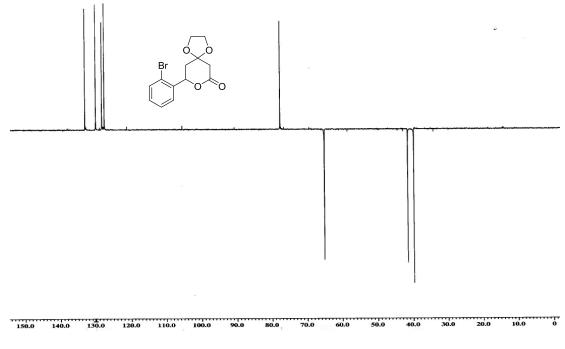
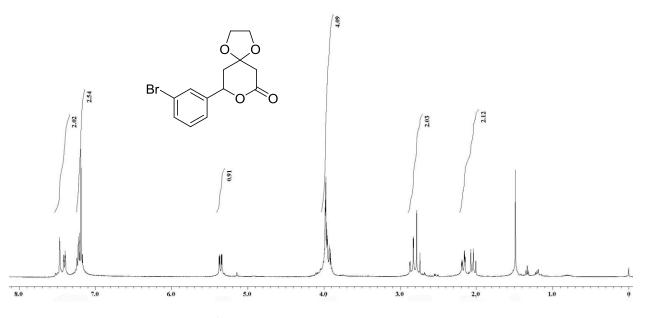
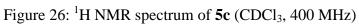


Figure 25: DEPT-135 spectrum of **5b** (CDCl₃, 125 MHz)





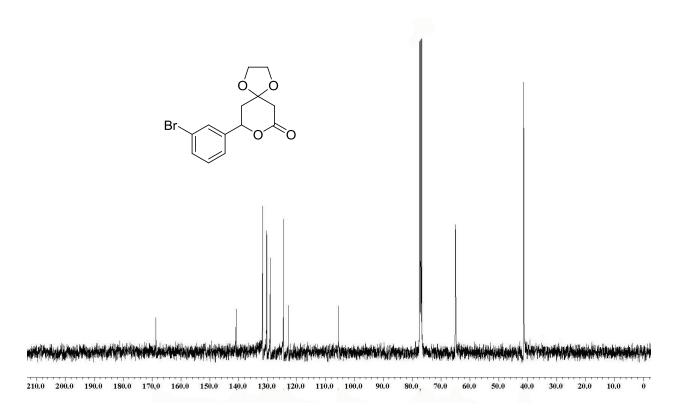


Figure 27: ¹³C NMR spectrum of **5c** (CDCl₃, 100 MHz)

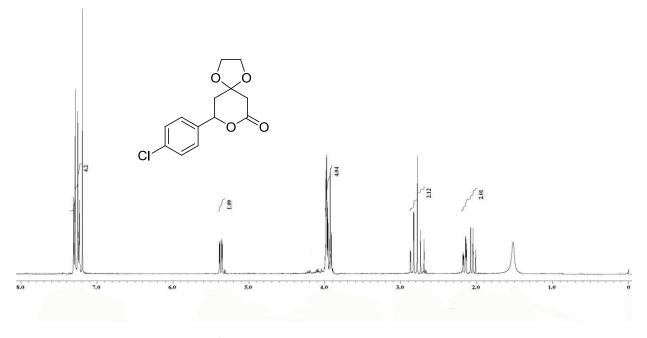


Figure 28: ¹H NMR spectrum of **5d** (CDCl₃, 500 MHz)

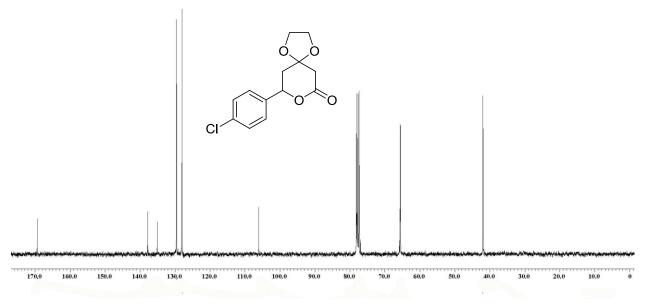
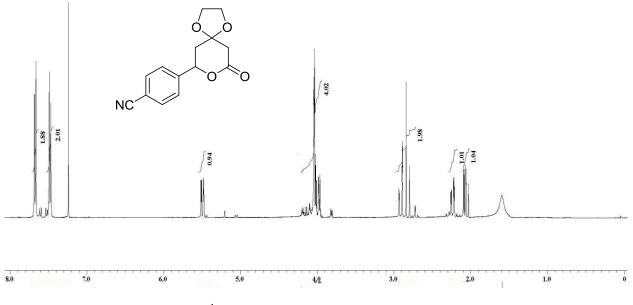
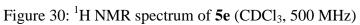


Figure 29: ¹³C NMR spectrum of **5d** (CDCl₃, 125 MHz)





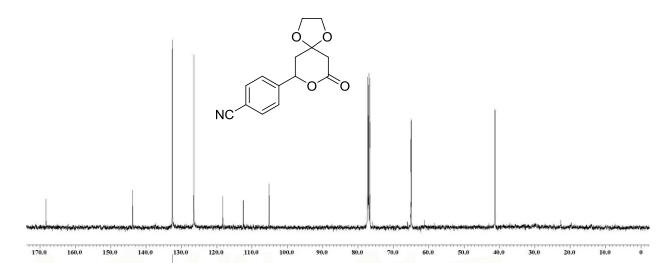


Figure 31: ¹³C NMR spectrum of **5e** (CDCl₃, 125 MHz)

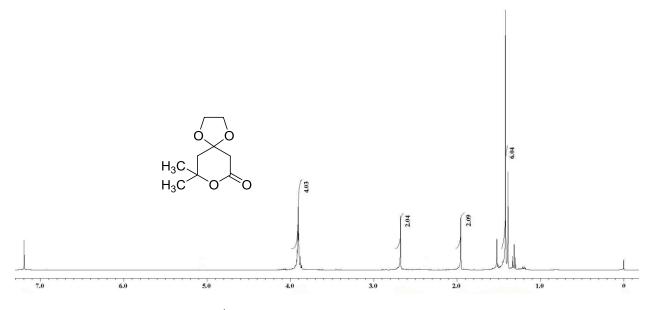
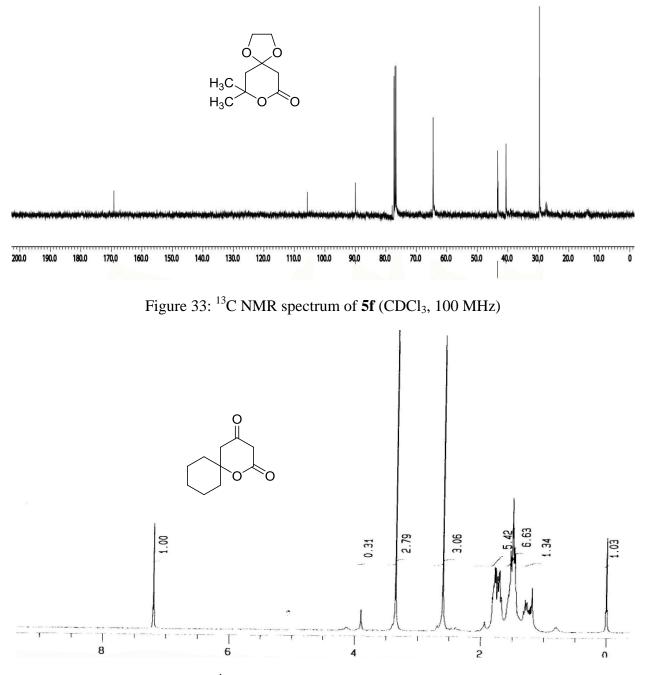
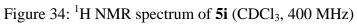


Figure 32: ¹H NMR spectrum of **5f** (CDCl₃, 400 MHz)





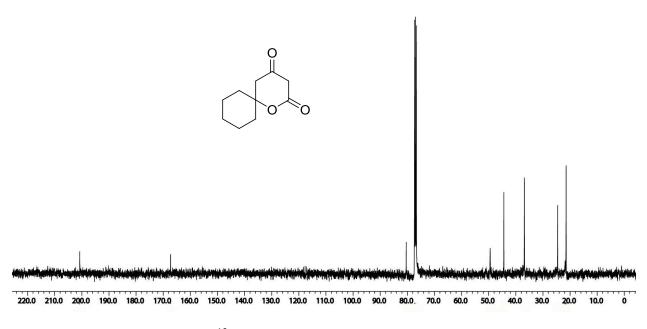


Figure 35: ¹³C NMR spectrum of **5i** (CDCl₃, 100 MHz)

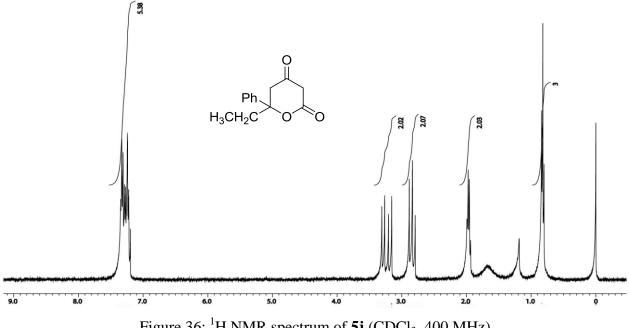
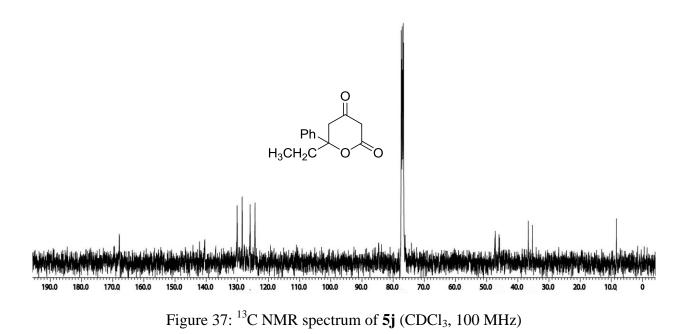


Figure 36: ¹H NMR spectrum of **5j** (CDCl₃, 400 MHz)



3. X-ray crystallographic studies:

The crystals used in the analyses were glued to a glass fiber and mounted on SMART APEX diffractometer. The instrument was equipped with CCD area detector and data were collected using graphite-monochromated Mo K α radiation (λ = 0.71069 Å) at low temperature (100K). Cell constants were obtained from the least-squares refinement of three-dimensional centroids through the use of CCD recording of narrow ω rotation frames, completing almost all-reciprocal space in the stated θ range. All data were collected with SMART 5.628 and were integrated with the SAINT² program. An empirical absorption correction was applied to collect reflections with SADABS³ using XPREP⁴. The structure was solved using SIR-97⁵ and refined using SHELXL-97⁶. The space group of the compounds was determined based on the lack of systematic absence and intensity statistics. Full matrix least squares / difference Fourier cycles were performed which located the remaining non-hydrogen atoms. All non-hydrogen atoms were refined with anisotropic displacement parameters. All the hydrogen atoms are fixed by using geometrical constrains using idealized geometries and have been defined isotropically.

4. X-ray crystal structures :

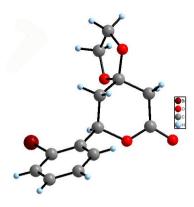


Figure 38: X-ray structure of **5b** CCDC No. 864222

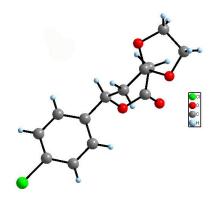


Figure 39: X-ray structure of **5d** CCDC No. 864223

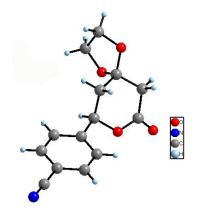


Figure 40: X-ray structure of **5e** CCDC No. 864224

Compounds	5b	5d	5e	
Formula	$C_{13}H_{13}BrO_4$	$C_{13}H_{13}ClO_4$	C ₁₄ H ₁₃ NO ₄	
Formula Weight	313.1439	268.6929	259.2573	
Crystal System	Triclinic	Monoclinic	Monoclinic	
Space Group	P-1	C2/c	P21/c	
Т, К	100 (2)	100 (2)	100 (2)	
Z	2	4	4	
a, Å	7.715 (5)	24.847 (5)	15.209 (5)	
b, Å	8.522 (6)	5.362 (6)	8.498 (5)	
c, Å	9.869 (4)	19.109 (3)	10.002 (5)	
a, deg	79.064(5)	90.000(5)	90.000(5)	
β, deg	86.523 (6)	112.004(5)	107.986 (5)	
γ, deg	72.387(4)	90.000(5)	90.000(5)	
$V, Å^3$	607.2 (6)	2360 (2)	1229.5 (10)	
d _{calcd} , g/cm ³	1.713	1.512	1.401	
μ, mm ⁻¹	3.388	0.327	0.104	
θ range, deg	0.60-0.65	3.39-26.49	2.82-26.50	
GOF (F ²)	1.056	1.157	1.075	
$R_1^{b}(wR_2^{c}), \%$	0.0563 (0.1203)	0.0612 (0.1364)	0.0617 (0.1241)	
[a] ^a Mo K α radiation, ^b R ₁ = $\sum F_0 - F_c / \sum F_0 $, ^c wR ₂ = { $\sum [w(F_0^2, F_c^2)^2] / \sum [w(F_0^2)^2]$ } ^{1/2}				

5. X-ray crystallographic data and structure refinement (Table 1):

6. References.

- 1. Perrin, D. D.; Armarego, W. L. F. Purification of Laboratory Chemicals; Third Edition; Pergamon Press: Oxford, 1988.
- 2. SAINT⁺ 6.02ed.; Bruker AXS, Madison, WI, 1999.
- 3. Sheldrick, G. M. SADABS, Empirical Absorption Correction Program, University of Göttingen, Göttingen, Germany, 1997.
- 4. XPREP, 5.1ed. Siemens Industrial Automation Inc., Madison, WI, 1995.
- 5. Altomare, A.; Burla, M. C.; Camalli, M.; Cascarano, G. L.; Giacovazzo, C.; Guagliardi, A.; Moliterni, A. G. G.; Polidori, G.; Spagna, R. J. Appl. Cryst. **1999**, *32*, 115.
- 6. Sheldrick, G. M. SHELXL-97: Program for Crystal Structure Refinement (University of Göttingen, Göttingen, Germany, 1997.