

# Application of machine-learning algorithms to predict calving difficulty in Holstein dairy cattle

Mahdieh Avizheh<sup>A</sup>, Mohammad Dadpasand<sup>A</sup>, Elena Dehnavi<sup>B</sup>  and Hamideh Keshavarzi<sup>C,\*</sup> 

For full list of author affiliations and declarations see end of paper

**\*Correspondence to:**

Hamideh Keshavarzi  
Agriculture and Food, Commonwealth  
Scientific and Industrial Research  
Organisation (CSIRO), Armidale,  
NSW 2350, Australia  
Email: [Hamideh.Keshavarzi@csiro.au](mailto:Hamideh.Keshavarzi@csiro.au)

**Handling Editor:**

Sue Hatcher

**Received:** 14 December 2022

**Accepted:** 24 April 2023

**Published:** 15 May 2023

**Cite this:**

Avizheh M *et al.* (2023)  
*Animal Production Science*, **63**(10–11),  
1095–1104.  
doi:[10.1071/AN22461](https://doi.org/10.1071/AN22461)

© 2023 The Author(s) (or their  
employer(s)). Published by  
CSIRO Publishing.  
This is an open access article distributed  
under the Creative Commons Attribution-  
NonCommercial-NoDerivatives 4.0  
International License (CC BY-NC-ND).

OPEN ACCESS

## ABSTRACT

**Context.** An ability to predict calving difficulty could help farmers make better farm-management decisions, thereby improving dairy farm profitability and welfare. **Aims.** This study aimed to predict calving difficulty in Iranian dairy herds using machine-learning (ML) algorithms and to evaluate sampling methods to deal with imbalanced datasets. **Methods.** For this purpose, the history records of cows that calved between 2011 and 2021 on two commercial dairy farms were used. Using WEKA software, four commonly used ML algorithms, namely naïve Bayes, random forest, decision trees, and logistic regression, were applied to the dataset. The calving difficulty was considered as a binary trait with 0, normal or unassisted calving, and 1, difficult calving, i.e. receiving any help during parturition from farm personnel involvement to surgical intervention. The average rate of difficult calving was 18.7%, representing an imbalanced dataset. Therefore, down-sampling and cost-sensitive techniques were implemented to tackle this problem. Different models were evaluated on the basis of *F*-measure and the area under the curve. **Key results.** The results showed that sampling techniques improved the predictive model ( $P = 0.07$ , and  $P = 0.03$ , for down-sampling and cost-sensitive techniques respectively). *F*-measure ranged from 0.387 (decision tree) to 0.426 (logistic regression) with the balanced dataset. However, when applied to the original imbalanced dataset, naïve Bayes had the best performance of up to 0.388 in terms of *F*-measure. **Conclusions.** Overall, sampling techniques improved the prediction model compared with original imbalanced dataset. Although prediction models performed worse than expected (due to an imbalanced dataset, and missing values), the implementation of ML algorithms can still lead to an effective method of predicting calving difficulty. **Implications.** This research indicated the capability of ML algorithms to predict the incidence of calving difficulty within a balanced dataset, but that more explanatory variables (e.g. genetic information) are required to improve the prediction based on an unbalanced original dataset.

**Keywords:** cost-sensitive technique, dairy cow, difficult calving, down-sampling, herd–cow factors, imbalanced dataset, machine-learning algorithms, predictive models.

## Introduction

Difficult calving, also known as dystocia, is one of the most important reproductive traits to influence dairy farm profitability and animal welfare. Difficult calving leads to longer birth interval (Deka and Das 2021) and increased open days (Montazeri-Najafabadi and Ghaderi-Zefrehei 2021), decrease in longevity (Ghavi Hossein-Zadeh 2016), a decline in milk lactose (Antanaitis *et al.* 2021), and an increase in the number of inseminations per conception (López de Maturana *et al.* 2007). Higher veterinary costs, premature culling and replacement costs are also related to difficult calving (López de Maturana *et al.* 2007; McGuirk *et al.* 2007). After excluding culling, veterinary, and other management costs, Mee (2004) has reported that reduced production (41%) is the most crucial factor of all losses due to difficult calving, with lower fertility (34%), and cow and calf morbidity and mortality (25%) following in importance.

Cows that experienced difficult calving are also more likely to suffer from other diseases such as mastitis (Juozaitienė *et al.* 2017), lameness (Malašauskienė *et al.* 2022), and delayed uterine repair (Hiew *et al.* 2016), and overall wellbeing of both calf and cow is at risk (Mee 2004). The annual wastage cost was estimated at up to NZ\$10 286 per 100 cows in a New Zealand pasture-based system attributed to calving trouble apart from non-pregnancy, mastitis, udder problems, and injury or accident (Kerslake *et al.* 2018). Sadeghi-sefidmazgi *et al.* (2012) estimated an economic weight (economic values multiplied by gene expressions) of –US\$1.35 and –US\$0.28 per unit of trait per calf born in a time horizon of 20 years for the percentage of direct and maternal calving difficulties per calf born in Iranian Holstein dairy cattle.

Despite the economic and welfare importance being generally well understood, and relative emphasis of including calving difficulty in selection indices (Mee 2008), a significant percentage of dairy cattle in different countries still requires assistance during partition, ranging from moderate (e.g. 20.5% in Italian Holstein cows (Probo *et al.* 2022) and 19.9% in Irish dairy herds (Fenlon *et al.* 2017)) to considerable assistance (5.9% in Ireland; Fenlon *et al.* 2017). A possible solution to overcoming the difficult calving problem might be to predict its probability on the basis of the associated factors. The prediction of calving difficulty would help farmers to introduce better breeding, calving, and culling management. Furthermore, according to the high correlation between difficult calving and stillbirth (Eriksson *et al.* 2004), calf loss might be reduced if difficult calving is predicted in advance.

Several factors have been identified as risk factors for difficult calving. They can be grouped into (1) maternal factors including parity (De Amicis *et al.* 2018), difficult calving experience at previous calving (Mee *et al.* 2011), body condition score (BCS), age of dam at birth, milk production, calving interval (Zaborski *et al.* 2014) and length of gestation (De Amicis *et al.* 2018), (2) factors related to the calf, including birth type (Weldeyohanes and Fesseha 2020), calf sex, and weight (De Amicis *et al.* 2018), and (3) factors related to the sire such as the type of inseminated sperm (Norman *et al.* 2010).

Season of birth, year of birth, and herd are other factors affecting calving difficulty according to Atashi *et al.* (2012). All these herd–cow parameters can be used to predict the likelihood of calving difficulty. Numerous methods, mostly based on logistic models (Johanson and Berger 2003; Bureš *et al.* 2008; Mee *et al.* 2011), have been developed to predict calving difficulty. Machine-learning (ML) algorithms are other useful tools to predict complex traits such as calving difficulty. The recent widespread use of these algorithms has been attributed to their ability to successfully classify unknown samples, modify and handle large datasets with missing values, and their robustness and flexibility in classification and prediction, particularly in non-linear systems (Sampson *et al.* 2011; Shahinfar *et al.* 2014). The maximum coefficient of determination for ML methods was 0.92 and for

regression methods it was 0.77, which indicates that machine learning has been able to obtain a better relationship between independent and dependent variables (Baaken and Hess 2021).

Although ML algorithms have been widely used for livestock research such as prediction of abortion (Keshavarzi *et al.* 2020), insemination outcomes (Shahinfar *et al.* 2014; Hempstalk *et al.* 2015), and milk yield and composition (Dallago *et al.* 2022), there have been few attempts to evaluate the predictive ability of calving-difficulty models by using ML algorithms (Fenlon *et al.* 2017). A series of studies identified unassisted and difficult calving for Polish Holstein-Friesians with classification trees, support vector machines, neural networks, and generalised linear models (Zaborski and Grzesiak 2011; Zaborski *et al.* 2014, 2016). However, there is no study predicting calving difficulty in Iranian dairy cows by using ML algorithms. Overall, the incidence rate of calving difficulty ranges from 1.5% to 22.0% worldwide (Mee 2008; Vincze *et al.* 2018), indicating a level of imbalance for this trait. This is where most ML algorithms would be expected to work best when there are approximately equal numbers of samples in each class. That is because most algorithms aim to maximise accuracy and minimise errors (Fernández *et al.* 2018). Therefore, this study was conducted to employ a range of ML algorithms to predict the likelihood of calving difficulty in Iranian dairy herds and to assess the performance of sampling techniques to deal with an imbalanced dataset.

## Materials and methods

### Data collection and trait definition

The cow-history records of two commercial dairy farms that calved between 2011 and 2021 were collected. Independent variables related to calving difficulty, including herd, parity number, milk yield, calving date, dry period, calving interval, calving status, birth type, gestation length, BCS, calf sex, calf bodyweight, and calving season were recorded. Data were edited using R (R Core Team 2022) and SQL Server Management Studio (Microsoft 2012). Cows with missing parity number, calving dates, or calving status were removed from the original dataset. Only cows of parity of 2 to  $\leq 6$  (cows in parity  $> 6$  were considered as 6) were used. The final edited data included 14 543 records. Calving difficulty was considered as binary trait, with 0 representing normal or unassisted calving, and 1 representing difficult calving, i.e. receiving any help during parturition, from farm-personnel involvement to surgical intervention. The average rate of difficult calving was 18.7%. The definition of all used traits is presented in Supplementary material Table S1. The explanatory variables used to predict the calving difficulty in this study are presented in Table 1.

**Table 1.** Description of features used to predict the calving difficulty in Iranian dairy cows.

No.	Feature	Type	Level	Minimum	Maximum	Mean $\pm$ s.d.	Missing value (%)
1	Calving difficulty	Binary	2	0	1	–	0
2	Calving status	Binary	2	0	1	–	0
3	Birth type	Binary	2	0	1	–	0
4	Previous difficult calving	Binary	2	0	1	–	1.95
5	Herd	Nominal	2	1	2	–	0
6	Parity number	Nominal	5	2	6	–	0
7	Calf sex	Nominal	3	1	3	–	2.67
8	Calving season	Nominal	4	1	4	–	0
9	Gestation length (days)	Numeric	–	261	294	276.20 $\pm$ 4.90	0
10	Calving interval (days)	Numeric	–	305	700	407.40 $\pm$ 73.77	0
11	Milk yield (305 days)	Numeric	–	5389	19 501	12630.0 $\pm$ 2054.90	0.06
12	Dry period (days)	Numeric	–	4	200	63.93 $\pm$ 26.30	0
13	Calf bodyweight (kg)	Numeric	–	22	60	41.39 $\pm$ 3.35	2.99
14	Body condition score	Numeric	–	1.5	5	3.38 $\pm$ 0.44	17.32

s.d., standard deviation.

## Machine-learning algorithms

Four distinctly different machine-learning algorithms were used to predict the likelihood of calving difficulty. These were decision trees (DT), naïve Bayes (NB), random forest (RF), and logistic regression (LR). A summary of the performance characteristics of these algorithms is given below.

### Decision trees (DT)

DT is a decision support tool with tree-shaped structures that chooses features on the basis of their level of information. Selection and testing of features is first undertaken at the root of the trees, while testing for other attributes is conducted in the subordinate nodes. The criteria for choosing which attribute to test at each node is based on the information-theoretic heuristic of minimising entropy (McQueen *et al.* 1995).

### Naïve Bayes (NB)

NB is one of the simplest machine-learning algorithms following Bayes' rule, assuming that all features are independent (Friedman *et al.* 1997). The posterior probability for a variable  $C$  is based on the features  $f_1, \dots, f_n$ , where  $n$  is the number of features that can be calculated by multiplying the probabilities of every feature in each class (Murty and Devi 2011).

### Random forest (RF)

A random forest is a type of ensemble method where multiple classifiers are trained using bootstrap samples from the training set and a random subset of features is used for generating each of these classifiers (Breiman 2001). In contrast to bagged decision trees, which also use randomly

selected subsets for each tree, the RF algorithm selects a random subset of features from the available pool for each split in the tree during the training phase (Hempstalk *et al.* 2015).

### Logistic regression (LR)

LR relates the independent variables to the probability of each category in the dependent variable. Despite the limitations of linear regression being unable to produce a probability between 0 and 1, as well as violating the assumption of independence and normal distribution of errors when dealing with categorical and binary variables, LR handles this by transforming the target variable, which is then approximated using weights, much as linear regression does. Maximising the log-likelihood determines the optimal weights for the model (Hempstalk *et al.* 2015; Witten *et al.* 2017).

### Prediction model

The analysis was performed using WEKA Machine Learning Workbench (Witten *et al.* 2017) to predict the likelihood of calving difficulty. A percentage method was used to randomly divide the original dataset into two subsets, namely, a training and a test dataset (at a ratio of 70:30), so that the rate of difficult calving in each subset was equal to the original one, i.e. 18.7%. In this study, two methods of down-sampling and cost-sensitive techniques were used to balance the original dataset and to improve the prediction method. SpreadSunSample of the filter group in WEKA (Witten *et al.* 2017) was used for down-sampling the majority class of the response variable. The cost-sensitive method moves the threshold towards the lower or minor classes to increase the error cost for the lower class in which less error occurs

(Jabeur *et al.* 2020). In this study, false negative on the cost was considered for false negative on the higher score (difficulty calving). Finally, three datasets, namely (1) original, including herd–cow information, (2) down-sampled dataset based on the original dataset, and (3) cost-sensitive dataset based on the original dataset, were available for the prediction model.

### Model evaluation

So as to identify the best predictive model for calving difficulty, each model was evaluated in terms of its own specific predictive ability. Performance comparisons have commonly been based on graphical performance assessments (i.e. receiver operating characteristic (ROC) curve and prediction-recall curve), which have also been applied to imbalanced datasets in recent years (Saito and Rehmsmeier 2015). Other parameters that have been recommended for the evaluation of the performance of predictive models for imbalanced datasets are the *F*-measure, weighted area under the curve (AUC), and Matthews correlation coefficient (Bekkar *et al.* 2013). Statistically, accuracy is not an appropriate measure of the performance of a prediction model when the dataset is imbalanced (Bekkar *et al.* 2013). In this study, the AUC, *F*-measure, and graphical performance assessments were used to evaluate the performance of different models, and algorithms. The AUC was also examined because it is still widely used despite reports that it does not always perform well for imbalanced datasets (Briggs and Zaretski 2008). Comparisons were presented on the basis of the original scales as means  $\pm$  s.d., with an acceptable significant difference when  $P < 0.05$ . Plots were drawn in the R environment (R Core Team 2022).

*F*-measure is a measure of the accuracy of a test. It is calculated from the precision or positive predictive value and recall or true positive rate, as follows:

$$F - \text{measure} = \frac{2 \times \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}$$

where  $\text{Precision} = \frac{TP}{TP+FP}$  and  $\text{Recall} = \frac{TP}{TP+FN}$ , where, TP = true positive, FN = false negative, FP = false positive and TN = true negative.

## Results

### Prediction model of calving difficulty based on herd–cow factors

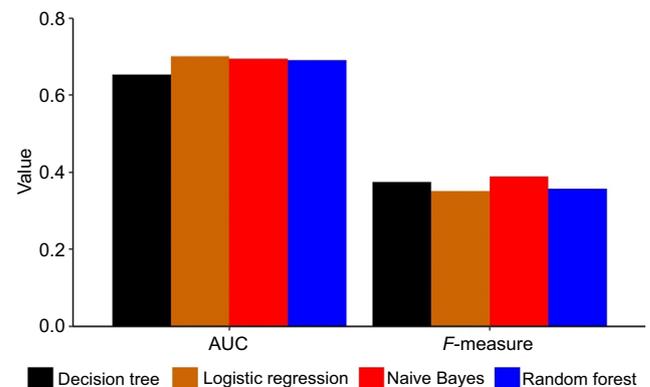
The incidence rate of difficult calving was 18.7%, presenting an imbalanced dataset. For instance, using the RF algorithm in this study, calf bodyweight and calving interval emerged as the highest rankings for predicting the incidence of calving difficulty (Table 2).

**Table 2.** The ranking of the most important predictors for calving difficulty in Iranian Holstein cows derived using the random forest algorithm.

Rank	Impurity decrease	Feature
1	0.38	Calf bodyweight
2	0.38	Calving interval
3	0.34	Calf sex
4	0.34	Gestation length
5	0.34	Dry period
6	0.33	Milk yield (305 days)
7	0.30	Parity number
8	0.28	Calving season
9	0.25	Body condition score
10	0.25	Previous difficult calving
11	0.23	Herd
12	0.13	Birth type
13	0.12	Calving status

### Results before data processing to counter imbalance

Four machine-learning algorithms (decision trees, random forest, logistic regression, and naïve Bayes) were used to predict the calving difficulty. The classification accuracy of the predictive models ranged from 82.98% to 83.33%. The performance (in terms of *F*-measure and AUC) of different algorithms to predict the calving difficulty on the basis of the original dataset is shown in Fig. 1. On the basis of our finding, ML algorithms did not perform as expected to predict the likelihood of calving difficulty (Fig. 1). The greatest value for *F*-measure with the original dataset was achieved with naïve Bayes, whereas the logistic regression recorded the lowest value (0.388 vs 0.350; Fig. 1). The average value of AUC for different ML algorithms was 0.685, which ranged from 0.654 (decision trees) to 0.701 (logistic regression; Fig. 1).



**Fig. 1.** *F*-measure and the area under the curve (AUC) for different algorithms within the original dataset to predict calving difficulty in dairy cattle.

## Improving prediction model with different sampling techniques

The two sampling techniques used to deal with imbalances in the original dataset improved the predictive model in terms of *F*-measure ( $P = 0.07$  and  $P = 0.03$ , for down-sampling and cost-sensitive methods; Table 3). An average difference of 0.031 was seen between the *F*-measure of the original model and those of the down-sampling and cost-sensitive methods, while AUC value was not changed by sampling techniques (Table 3). The difference between two sampling techniques was not statistically significant ( $P = 0.18$ , Table 3), although down-sampling did numerically improve the performance of the predictive model ( $F$ -measure =  $0.406 \pm 0.016$  vs  $F$ -measure =  $0.391 \pm 0.006$ , Table 3) compared with the cost-sensitive method. ROC curve analysis illustrated that the sampling methods had almost no effect on improving the prediction of calving difficulty (Fig. 2).

**Table 3.** The performance evaluation of different models to predict calving difficulty on the basis of *F*-measure and area under the curve (AUC).

Parameter	Paired comparisons (mean + s.d.) <sup>^</sup>			P-value
	Original dataset	Down-sampling	Cost-sensitive	
<i>F</i> -measure	0.367 ± 0.017	0.406 ± 0.016	–	0.07
	0.367 ± 0.017	–	0.391 ± 0.006	0.03
	–	0.406 ± 0.016	0.391 ± 0.006	0.18
Area under curve	0.685 ± 0.021	0.677 ± 0.036	–	0.40
	0.685 ± 0.021	–	0.677 ± 0.037	0.42
	–	0.677 ± 0.036	0.677 ± 0.037	0.91

<sup>^</sup>Results are from the imbalanced testing dataset.

## Performance of the algorithms using different dataset

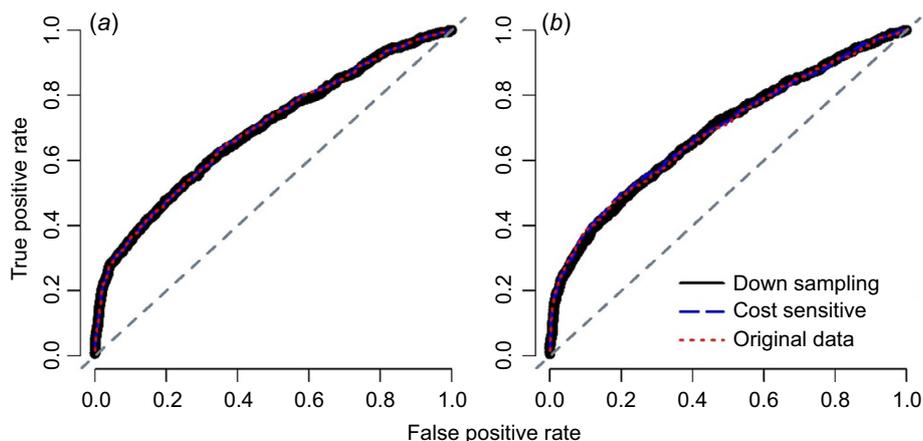
The performance of the four algorithms was evaluated in terms of AUC, and *F*-measure values for different sets of data are reported in Table 4. Figs 3, 4 demonstrate the precision-recall plots and ROC curves of these four algorithms with two sampling techniques. All algorithms showed considerable improvement in their productive performance with sampling techniques, as shown in their *F*-measure; however, AUC did not influence considerably (Table 4). These algorithms also showed similar results for different sampling techniques as shown by the analyses of precision-recall curve (Fig. 3) and ROC curves (Fig. 4).

Logistic regression and naïve Bayes were the two best methods to predict calving difficulty on the balanced dataset using a down-sampling method in terms of *F*-measure (0.426 and 0.410 respectively) and the AUC (0.705 and 0.692) in this study (Table 4). However, using the cost-sensitive method, naïve Bayes and DT were the first two algorithms in terms of their ability to predict the calving difficulty (Table 4).

## Discussion

### Prediction model of calving difficulty based on herd–cow factors

Using the RF algorithm, calf bodyweight and calving interval ranked highest for predicting the incidence of calving difficulty. Fenlon *et al.* (2017), using a neural network machine-learning model, reported that second parity, BCS at calving, and parity greater than three were the most important factors. However, in the same study, BSC, calving interval, and predicted transmitting ability of maternal calving difficulty were the highest effective factors when analysed via the RF algorithm (Fenlon *et al.* 2017). A study by Zaborski *et al.* (2014), using

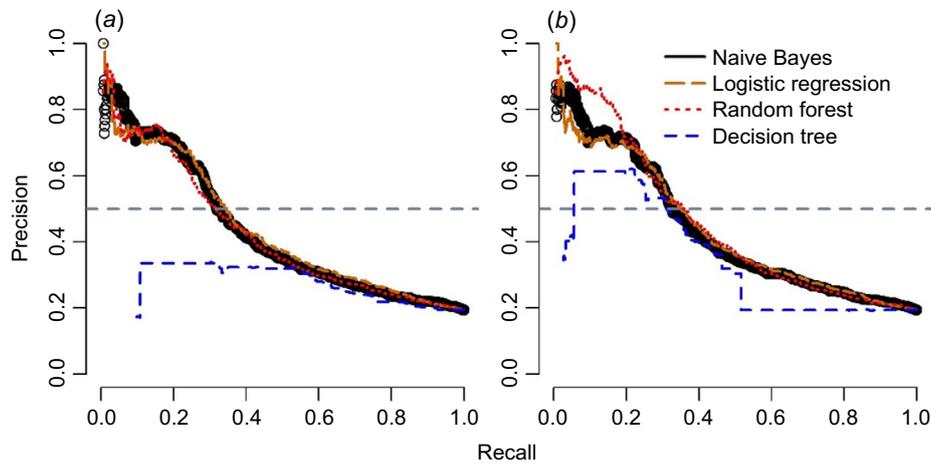


**Fig. 2.** Comparing the performance: area under the curve (ROC) of two algorithms of (a) logistic regression, and (b) random forest to predict the calving difficulty with different datasets. All plots were drawn on the basis of the results from testing dataset.

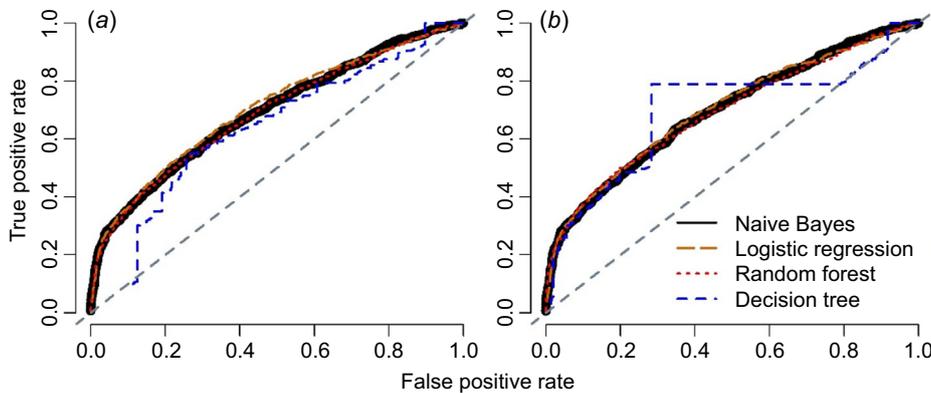
**Table 4.** F-measure and the area under the curve (AUC) for different algorithms within different sets of data to predict calving difficulty in dairy cattle.

Algorithm	Original dataset <sup>A</sup>		Down-sampling <sup>A</sup>		Cost-sensitive <sup>A</sup>	
	F-measure	AUC	F-measure	AUC	F-measure	AUC
Naïve Bayes	0.388	0.695	0.410	0.692	0.400	0.695
Logistic regression	0.350	0.701	0.426	0.705	0.391	0.701
Random forest	0.356	0.690	0.402	0.689	0.384	0.692
Decision tree	0.375	0.654	0.387	0.624	0.392	0.621

<sup>A</sup>The original dataset included herd–cow factors, and the sampling methods were implemented on the original dataset.



**Fig. 3.** Comparison of different machine-learning algorithms based on precision-recall curve for predicting calving difficulty in cows for (a) down-sampling method, and (b) cost-sensitive method. All plots were drawn on the basis of the results from the testing dataset.



**Fig. 4.** Comparison of different machine-learning algorithms based on area under the curve (ROC) for predicting calving difficulty in cows for (a) down-sampling method, and (b) cost-sensitive method. All plots were drawn on the basis of the results from the testing dataset.

boosted classification trees, showed that calving interval was the most important key factor for the prediction of calving difficulty. This is in accord with our findings, albeit with a different ML algorithm. However, in previous research, but using a neural classifier, they had found calving season and

gestation length to be the most effective predictors of calving difficulty (Zaborski and Grzesiak 2011). Some years later, they advocated calf sex as the most influential predictor for cows by using other artificial neural networks (Zaborski et al. 2018).

Along with calf sex, dry period and gestation length ranked second in the current study (see Table 2). Length of pregnancy has previously been reported as a risk factor for calving difficulty. Uematsu *et al.* (2013) found that the incidence rate of difficult calving in those cows that were pregnant for more than 301 days or less than 270 days was higher (odds ratio = 1.033, and 1.124 respectively) than in cows with a gestation length between 281 and 290 days. Probo *et al.* (2022) reported a probability of 1.22 for difficult calving for cows with a longer gestation length, and he also noted that gestation length and birth weight include direct maternal genetic components, which, in turn, provide additional information for predicting breeding value.

### Evaluation of herd–cow factors as predictors of calving difficulty

The current study is based on an original, highly imbalanced set of data collected over 10 years from two commercial dairy farms in Iran. ML algorithms did not perform very well (in terms of *F*-measure) to predict calving difficulty. Similar to this study, Fenlon *et al.* (2017) reported a poor performance of ML algorithms to predict calving difficulty on an imbalanced dataset. They found 0%, 4.44%, and 5.26% for *F*-measure when conducting decision trees, multinomial regression, and random-forest ML algorithms on test data to predict difficult calving. One reason for these results might be the highly imbalanced nature of the dataset. This leads to biased classification towards the majority class and misclassification of minority cases, since ML algorithms build classification models by maximising accuracy (Das *et al.* 2018). In addition to the imbalance in the dataset, any poor results might be attributed to the high proportion of missing values for BCS, which is a critical risk factor for calving difficulty since body size is one of the main maternal factors that impose calving difficulty. In addition, cows that did not receive an appropriate diet and have a low BCS are more susceptible to difficult births, while, at the same time, cows that have been fed excessively, will likely have a higher birth-weight calf (Boakari and Ali 2021) and more fat deposition in the pelvic area, leading to difficult calving (Zaborski and Grzesiak 2011; Boakari and Ali 2021).

### Balancing the dataset, success with the processed dataset

It has been reported that the adoption of sampling techniques is an effective way to deal with imbalanced datasets (Dubey *et al.* 2014). Tan *et al.* (2019) suggested that the use of a random forest with an up-sampling method was able to solve the classification imbalance problem and improve the performance of the algorithm. In Keshavarzi *et al.* (2020) study to predict abortion in Iranian Holstein cows, up-sampling and down-sampling methods were used to balance the dataset, and both sampling techniques significantly ( $P < 0.05$ )

improved the prediction models. For the present study, two resampled datasets were generated by applying the down-sampling or the cost-sensitive techniques to the original dataset. Collectively, these three sets (one original plus two modified) were used to predict the likelihood of calving difficulty. There were significant improvements in the prediction models with both sampling techniques used to handle the imbalance in the original dataset (in terms of *F*-measure and AUC).

A cost-sensitive algorithm is another common method to deal with the problem of imbalanced classes (He *et al.* 2021). However, cost-sensitive algorithms are less likely to be implemented properly and often need to be reclassified because of unknown misclassifications. This is the main reason for preferring techniques such as up-sampling and down-sampling instead of cost-sensitive learning methods (Weiss *et al.* 2007). Despite their efficacy in handling imbalanced datasets, resampling techniques also have disadvantages as they remove potentially useful data through down-sampling and overfitting, by making exact copies of existing samples while oversampling (Weiss *et al.* 2007). Additionally, oversampling increases the number of training examples, making learning more time-consuming (Weiss *et al.* 2007; Dubey *et al.* 2014). Any resampling of a dataset may also affect the ranking of features and their potential effect on the resulting variables. On the contrary, Chawla (2010) reviewed various studies that have declared the better performance of up-sampling across the various possible resampling techniques.

### General performance of different algorithms, and limitations of imbalanced dataset

Using a down-sampling method, logistic regression and naïve Bayes were the most accurate methods to predict calving difficulty. However, naïve Bayes and decision tree were the most effective prediction methods to predict calving difficulty with the cost-sensitive method. In contrast with our study, Zaborski *et al.* (2018) found a low performance for the naïve Bayes algorithm in predicting difficult calving. Fenlon *et al.* (2017) found that the decision trees algorithm had the lowest AUC (0.64) and multinomial regression showed the best performance among ML algorithms with an AUC of 0.79 and low true positive rate (2%). Zaborski *et al.* (2018) indicated that logistic regression with 100% true negative rate, and 96% accuracy, although zero true positive rate, showed good performance when applied to a cost-sensitive balanced dataset. In another study, Zaborski *et al.* (2014) detected RF as an unsuitable algorithm for predicting calving difficulty, with 84% true positive rate, 60% accuracy, and 48% true negative rate. However, Fenlon *et al.* (2017) advocated for the RF algorithm in the prediction of difficult calving (Score 3), with a low true positive rate (2%), high accuracy (75%), and high precision (100%). Performing decision trees, Zaborski *et al.* (2016) could not predict even one calving difficulty event correctly. In Keshavarzi *et al.* (2020) to predict abortion, the Bayes algorithms (naïve Bayes

and Bayesian network) showed no effects with the balanced or imbalanced type of data, while trees (DT and RF), and functions (LR and Neural network) performed better with balanced datasets. Overall, there is still considerable uncertainty about which type of sampling technique is best suited to each algorithm.

## Conclusions

In this study, we addressed (1) the most important predictors for calving difficulty, (2) the performance of sampling techniques to handle the imbalanced dataset, and (3) the performance of different ML algorithms within different datasets. The most important variables for predicting calving difficulty were calving interval and calf bodyweight, indicating areas in which appropriate management programs would help producers reduce calving difficulty. Both sampling techniques used to handle the imbalance in the original dataset improved the predictive model. It is concluded that the down-sampling method significantly improved the performance of the algorithms and that among those that we used, decision tree with a true positive rate (TPR) of 59.2% had the best performance for predicting the rate and/or extent of calving difficulty. However, without down-sampling the original dataset, no significant performance difference was observed among the different algorithms. Considering that the performance of the decision tree was the highest (in terms of TPR) for down-sampled data and the computational load of a decision tree is less, it is suggested that the decision tree might be used when time is critical, or the amount of data is large. In general, even though it is complicated to work with reproductive disorders that have a low frequency (i.e. with imbalanced datasets), the prediction of calving difficulty with ML algorithms can be a way to improve the farm profitability as well as animal welfare.

## Supplementary material

Supplementary material is available [online](#).

## References

- Antanaitis R, Juozaitienė V, Malašauskienė D, Televičius M, Urbutis M, Baumgartner W (2021) Influence of calving ease on in-line milk lactose and other milk components. *Animals* **11**, 842. doi:10.3390/ani11030842
- Atashi H, Abdolmohammadi A, Dadpasand M, Asaadi A (2012) Prevalence, risk factors and consequent effect of dystocia in Holstein dairy cows in Iran. *Asian-Australasian Journal of Animal Sciences* **25**, 447–451. doi:10.5713/ajas.2011.11303
- Baaken D, Hess S (2021) Forecasting regional milk production quantity: a comparison of regression models and machine learning. In '2021 Conference, Virtual 315117', 17–31 August 2021. (International Association of Agricultural Economists)
- Bekkar M, Djemaa HK, Alitouche TA (2013) Evaluation measures for models assessment over imbalanced data sets. *Journal of Information Engineering and Applications* **3**, 27–38.
- Boakari YL, Ali HE-S (2021) Management to prevent dystocia. In 'Bovine reproduction'. (Ed. RM Hopper) pp. 590–596. (John Wiley & Sons, Inc.) 10.1002/9781119602484.ch49
- Breiman L (2001) Random forests. *Machine Learning* **45**, 5–32. doi:10.1023/A:1010933404324
- Briggs WM, Zaretski R (2008) The skill plot: a graphical technique for evaluating continuous diagnostic tests. *Biometrics* **64**, 250–256. doi:10.1111/j.1541-0420.2007.00781\_1.x
- Bureš D, Bartoň L, Zahrádková R, Teslík V, Fiedlerová M (2008) Calving difficulty as related to body weights and measurements of cows and calves in a herd of Gascon breed. *Czech Journal of Animal Science* **53**, 187–194. doi:10.17221/312-CJAS
- Chawla NV (2010) Data mining for imbalanced datasets: an overview. In 'Data mining and knowledge discovery handbook'. (Eds O Maimon, L Rokach) pp. 875–886. (Springer US: Boston, MA, USA)
- Dallago GM, Pacheco JAS, dos Santos RA, de Frias Castro GH, Verardo LL, Guarino LR, Moreira EU (2022) The relationship between dry period length and milk production of Holstein dairy cows in tropical climate: a machine learning approach. *Journal of Dairy Research* **89**, 160–168. doi:10.1017/S0022029922000425
- Das S, Datta S, Chaudhuri BB (2018) Handling data irregularities in classification: foundations, trends, and future challenges. *Pattern Recognition* **81**, 674–693. doi:10.1016/j.patcog.2018.03.008
- De Amicis I, Veronesi MC, Robbe D, Gloria A, Carluccio A (2018) Prevalence, causes, resolution and consequences of bovine dystocia in Italy. *Theriogenology* **107**, 104–108. doi:10.1016/j.theriogenology.2017.11.001
- Deka RP, Das NK (2021) 'Dystocia in cattle: how to reduce its occurrence and improve farm economics.' (Directorate of Dairy Development: Assam, India)
- Dubey R, Zhou J, Wang Y, Thompson PM, Ye J, for the Alzheimer's Disease Neuroimaging Initiative (2014) Analysis of sampling techniques for imbalanced data: an  $n = 648$  ADNI study. *NeuroImage* **87**, 220–241. doi:10.1016/j.neuroimage.2013.10.005
- Eriksson S, Näsholm A, Johansson K, Philipsson J (2004) Genetic parameters for calving difficulty, stillbirth, and birth weight for Hereford and Charolais at first and later parturitions. *Journal of Animal Science* **82**, 375–383. doi:10.2527/2004.822375x
- Fenlon C, O'Grady L, Mee JF, Butler ST, Doherty ML, Dunning J (2017) A comparison of 4 predictive models of calving assistance and difficulty in dairy heifers and cows. *Journal of Dairy Science* **100**, 9746–9758. doi:10.3168/jds.2017-12931
- Fernández A, García S, Galar M, Prati RC, Krawczyk B, Herrera F (2018) Foundations on imbalanced classification. In 'Learning from imbalanced data sets'. (Eds A Fernández, S García, M Galar, RC Prati, B Krawczyk, F Herrera) pp. 19–46. (Springer International Publishing: Cham, Switzerland)
- Friedman N, Geiger D, Goldszmidt M (1997) Bayesian network classifiers. *Machine Learning* **29**, 131–163. doi:10.1023/A:1007465528199
- Ghavi Hossein-Zadeh N (2016) Effect of dystocia on subsequent reproductive performance and functional longevity in Holstein cows. *Journal of Animal Physiology and Animal Nutrition* **100**, 860–867. doi:10.1111/jpn.12460
- He S, Li B, Peng H, Xin J, Zhang E (2021) An effective cost-sensitive XGBoost method for malicious URLs detection in imbalanced dataset. *IEEE Access* **9**, 93089–93096. doi:10.1109/ACCESS.2021.3093094
- Hempstalk K, McParland S, Berry DP (2015) Machine learning algorithms for the prediction of conception success to a given insemination in lactating dairy cows. *Journal of Dairy Science* **98**, 5262–5273. doi:10.3168/jds.2014-8984
- Hiew MWH, Megahed AA, Townsend JR, Singleton WL, Constable PD (2016) Clinical utility of calf front hoof circumference and maternal intrapelvic area in predicting dystocia in 103 late gestation Holstein-Friesian heifers and cows. *Theriogenology* **85**, 384–395. doi:10.1016/j.theriogenology.2015.08.017
- Jabeur SB, Sadaaoui A, Sghaier A, Aloui R (2020) Machine learning models and cost-sensitive decision trees for bond rating prediction. *Journal of the Operational Research Society* **71**, 1161–1179. doi:10.1080/01605682.2019.1581405

- Johanson JM, Berger PJ (2003) Birth weight as a predictor of calving ease and perinatal mortality in Holstein cattle. *Journal of Dairy Science* **86**, 3745–3755. doi:10.3168/jds.S0022-0302(03)73981-2
- Juozaityienė V, Juozaitis A, Kardisauskas A, Žymantienė J, Žilaitis V, Antanaitis R, Ruzauskas M (2017) Relationship between dystocia and the lactation number, stillbirth and mastitis prevalence in dairy cows. *Acta Veterinaria Brno* **86**, 345–352. doi:10.2754/avb201786040345
- Kerslake JI, Amer PR, O'Neill PL, Wong SL, Roche JR, Phyn CVC (2018) Economic costs of recorded reasons for cow mortality and culling in a pasture-based dairy industry. *Journal of Dairy Science* **101**, 1795–1803. doi:10.3168/jds.2017-13124
- Keshavarzi H, Sadeghi-Sefidmazgi A, Mirzaei A, Ravanifard R (2020) Machine learning algorithms, bull genetic information, and imbalanced datasets used in abortion incidence prediction models for Iranian Holstein dairy cattle. *Preventive Veterinary Medicine* **175**, 104869. doi:10.1016/j.prevetmed.2019.104869
- López de Maturana E, Legarra A, Varona L, Ugarte E (2007) Analysis of fertility and dystocia in Holsteins using recursive models to handle censored and categorical data. *Journal of Dairy Science* **90**, 2012–2024. doi:10.3168/jds.2005-442
- Malašauskienė D, Antanaitis R, Juozaityienė V, Paulauskas A, Urbonavičius G, Televicius M, Urbutis M, Kajokiene L, Yilmaz A, Baumgartner W (2022) Impact of calving difficulty on lameness in dairy cows. *Agriculture* **12**, 960. doi:10.3390/agriculture12070960
- McGuirk BJ, Forsyth R, Dobson H (2007) Economic cost of difficult calvings in the United Kingdom dairy herd. *Veterinary Record* **161**, 685–687. doi:10.1136/vr.161.20.685
- McQueen RJ, Garner SR, Nevill-Manning CG, Witten IH (1995) Applying machine learning to agricultural data. *Computers and Electronics in Agriculture* **12**, 275–293. doi:10.1016/0168-1699(95)98601-9
- Mee JF (2004) Managing the dairy cow at calving time. *Veterinary Clinics of North America: Food Animal Practice* **20**, 521–546. doi:10.1016/j.cvfa.2004.06.001
- Mee JF (2008) Prevalence and risk factors for dystocia in dairy cattle: a review. *The Veterinary Journal* **176**, 93–101. doi:10.1016/j.tvjl.2007.12.032
- Mee JF, Berry DP, Cromie AR (2011) Risk factors for calving assistance and dystocia in pasture-based Holstein-Friesian heifers and cows in Ireland. *The Veterinary Journal* **187**, 189–194. doi:10.1016/j.tvjl.2009.11.018
- Microsoft (2012) Microsoft SQL server management studio. Available at <http://www8.cs.umu.se/education/examina/Rapporteur/EsquiviasFlarup.pdf>
- Montazeri-Najafabadi M, Ghaderi-Zefrehei M (2021) Data mining of some factors affecting dystocia in Iranian dairy cows. *Animal Production Research* **10**, 13–24. doi:10.22124/ar.2021.16272.1522
- Murty MN, Devi VS (2011) Bayes classifier. In 'Pattern recognition: an algorithmic approach'. (Eds M Narasimha Murty, V Susheela Devi) pp. 86–102. (Springer London: London, UK)
- Norman HD, Hutchison JL, Miller RH (2010) Use of sexed semen and its effect on conception rate, calf sex, dystocia, and stillbirth of Holsteins in the United States. *Journal of Dairy Science* **93**, 3880–3890. doi:10.3168/jds.2009-2781
- Probo M, Guadagnini M, Sala G, Amodeo P, Bolli A (2022) Calving ease risk factors and subsequent survival, fertility and milk production in Italian Holstein cows. *Animals* **12**, 671. doi:10.3390/ani12060671
- R Core Team (2022) 'R: a language and environment for statistical computing.' (R Foundation for Statistical Computing: Vienna, Austria)
- Sadeghi-Sefidmazgi A, Moradi-Shahrbabak M, Nejati-Javaremi A, Miraei-Ashtiani SR, Amer PR (2012) Breeding objectives for Holstein dairy cattle in Iran. *Journal of Dairy Science* **95**, 3406–3418. doi:10.3168/jds.2011-4573
- Saito T, Rehmsmeier M (2015) The precision-recall plot is more informative than the ROC plot when evaluating binary classifiers on imbalanced datasets. *PLoS ONE* **10**, e0118432. doi:10.1371/journal.pone.0118432
- Sampson DL, Parker TJ, Upton Z, Hurst CP (2011) A comparison of methods for classifying clinical samples based on proteomics data: a case study for statistical and machine learning approaches. *PLoS ONE* **6**, e24973. doi:10.1371/journal.pone.0024973
- Shahinfar S, Page D, Guenther J, Cabrera V, Fricke P, Weigel K (2014) Prediction of insemination outcomes in Holstein dairy cattle using alternative machine learning algorithms. *Journal of Dairy Science* **97**, 731–742. doi:10.3168/jds.2013-6693
- Tan X, Su S, Huang Z, Guo X, Zuo Z, Sun X, Li L (2019) Wireless sensor networks intrusion detection based on SMOTE and the random forest algorithm. *Sensors* **19**, 203. doi:10.3390/s19010203
- Uematsu M, Sasaki Y, Kitahara G, Sameshima H, Osawa T (2013) Risk factors for stillbirth and dystocia in Japanese Black cattle. *The Veterinary Journal* **198**, 212–216. doi:10.1016/j.tvjl.2013.07.016
- Vincze B, Gáspárdy A, Kézér FL, Pálffy M, Bangha Z, Szenci O, Kovács L (2018) Fetal metacarpal/metatarsal bone thickness as possible predictor of dystocia in Holstein cows. *Journal of Dairy Science* **101**, 10283–10289. doi:10.3168/jds.2018-14658
- Weiss GM, McCarthy K, Zabar B (2007) Cost-sensitive learning vs. sampling: which is best for handling unbalanced classes with unequal error costs? In 'Proceedings of the 2007 International Conference on Data Mining, DMIN 2007, 25–28 June 2007, Las Vegas, Nevada, USA'.
- Weldeyohanes G, Fesseha H (2020) Dystocia in domestic animals and its management. *International Journal of Pharmacy & Biomedical Research* **7**, 1–11.
- Witten IH, Frank E, Hall MA, Pal CJ (2017) 'Data mining: practical machine learning tools and techniques.' (Eds IH Witten, E Frank, MA Hall, CJ Pal) (Morgan Kaufmann: USA). Available at <https://www.sciencedirect.com/science/article/pii/B9780128042915000052> [Accessed 1 January 2017]
- Zaborski D, Grzesiak W (2011) Detection of difficult calvings in dairy cows using neural classifier. *Archives Animal Breeding* **54**, 477–489. doi:10.5194/aab-54-477-2011
- Zaborski D, Grzesiak W, Kotarska K, Szatkowska I, Jędrzejczak M (2014) Detection of difficult calvings in dairy cows using boosted classification trees. *Indian Journal of Animal Research* **48**, 452–458. doi:10.5958/0976-0555.2014.00010.7
- Zaborski D, Grzesiak W, Pilarczyk R (2016) Detection of difficult calvings in the Polish Holstein-Friesian Black-and-White heifers. *Journal of Applied Animal Research* **44**, 42–53. doi:10.1080/09712119.2014.987293
- Zaborski D, Proskura WS, Grzesiak W (2018) The use of data mining methods for dystocia detection in Polish Holstein-Friesian Black-and-White cattle. *Asian-Australasian Journal of Animal Sciences* **31**, 1700–1713. doi:10.5713/ajas.17.0780

**Data availability.** The data that support this study cannot be publicly shared due to ethical or privacy reasons and may be shared upon reasonable request to the corresponding author if appropriate.

**Conflicts of interest.** The authors declare that they have no conflicts of interest.

**Declaration of funding.** This research did not receive any specific funding.

**Acknowledgements.** The authors are grateful to the farmers to their contribution in this study. The authors are also grateful to Elham Askari (Isfahan University of Technology) for helping to collect data from one of the used dairy herds in this study.

**Author affiliations**

<sup>A</sup>Department of Animal Science, School of Agriculture, Shiraz University, Shiraz, Iran.

<sup>B</sup>AGBU, a Joint Venture of NSW Department of Primary Industries and University of New England, Armidale, NSW 2351, Australia.

<sup>C</sup>Agriculture and Food, Commonwealth Scientific and Industrial Research Organisation (CSIRO), Armidale, NSW 2350, Australia.