

Estimating the attainable soil organic carbon deficit in the soil fine fraction to inform feasible storage targets and de-risk carbon farming decisions

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ABSTRACT

Context. Defining soil organic carbon (SOC) ‘potential’ storage, underpins the economic feasibility of carbon sequestration; however, ‘potential’ storage is not quantifiable using historical and current empirical data. We propose a framework to define ‘attainable’ SOC storage that varies with soil properties, environmental conditions and management practices. **Aims.** Within the soil fine fraction, we quantified additional storage capacity of the fine fraction SOC attainable deficit ($FF_{SOC_Attainable_Def}$) by the difference between attainable ($FF_{SOC_Attainable}$) and actual fine fraction SOC. **Methods.** Using three analyses, we developed a framework to: (1) estimate the $FF_{SOC_Attainable_Def}$ of the fine fraction of Australian agricultural soils within broad mean annual precipitation ranges and soil depth classes; (2) establish rapid prediction capability for the $FF_{SOC_Attainable_Def}$ using infrared/partial least square regression modelling; and (3) generate spatial $FF_{SOC_Attainable_Def}$ estimates for agricultural regions with ensemble Random Forest modelling. **Key results.** Global analyses of $FF_{SOC_Attainable_Def}$ do not consider key environmental drivers of carbon inflows and outflows nor soil depth. Separate analyses of soils derived from different combinations of precipitation and soil depth need to include variations in environmental conditions and soil properties to accurately define $FF_{SOC_Attainable}$ and $FF_{SOC_Attainable_Def}$ within the fine fraction. Spatially estimated $FF_{SOC_Attainable_Def}$ stocks revealed an opportunity to increase current fine fraction SOC stock by 3.47 GT (0–0.10 m depth) and 3.24 GT (0.10–0.30 m depth). **Conclusions.** Our findings suggests that $FF_{SOC_Attainable_Def}$ is dynamic, not static. Caution is needed when interpreting the results from this analysis. **Implications.** Deriving estimates of $FF_{SOC_Attainable_Def}$ will reduce risks in decision making on carbon farming in national policies.

Keywords: attainable soil organic carbon, mid-infrared spectroscopy, mineral associated organic carbon, soil carbon storage, soil organic carbon deficit, soil organic carbon potential, soil organic carbon saturation, spatial machine learning modelling.

Introduction

The conversion of natural ecosystems into agricultural production systems, in general, has resulted in reduced soil organic carbon (SOC) stocks (Minasny *et al.* 2017; Sanderman *et al.* 2017). For example, Sanderman *et al.* (2017) reported the adoption of agriculture had caused a global carbon debt of 133 Pg C within the top 2 m of soil. Further, they noted that in the past 200 years of human civilisation, SOC was being lost at an alarming rate. Similar to global trends, over the past 40 years, approximately half the topsoil SOC has been lost under Australian agricultural production systems (Luo *et al.* 2010). Changing land management practices in an attempt to restore some of the lost SOC is therefore important for enhancing soil health and production (Lal 2016; Lehmann *et al.* 2020), natural capital (Robinson *et al.* 2017), and carbon sequestration (Lal 2004).

Increasing current SOC stocks, or reducing the loss of SOC stocks, can contribute to mitigating rising global greenhouse gas concentrations and its associated adverse effects

(Lal 2004; Minasny et al. 2017). The '4 per mille Soils for Food Security and Climate' initiative was launched at COP21 to increase global SOC stocks by 4 per mille (or 0.4 %) per annum (Minasny et al. 2017). A key aim of this initiative was to tackle greenhouse gas emissions caused by anthropogenic activities through the sequestration of carbon in soils. There are counter-arguments and evidence that question whether the target of the '4 per mille' initiative is realistic (White et al. 2018; Berthelin et al. 2022). Nevertheless, there is potential for enhancing SOC stocks in agricultural soils by increasing the flow of carbon into the soil by reducing constraints on agricultural production and minimising carbon flows out of the soil with the adoption of next-generation land management practices. For example, the Australian Government's domestic climate change policies have incentivised the adoption of improved land management activities that promote the sequestration of SOC in agricultural soils under Emissions Reduction Fund (ERF) activities (Paustian et al. 2019). As a result, where a soil carbon sequestration project has demonstrated a positive change in SOC stock in response to new land management activities, Australian Carbon Credit Units (ACCU) can be issued. Such policy directions encourage the adoption of agricultural practices that increase SOC stocks and can potentially diversify the income sources and reduce the farming risk profile for landholders.

An important question faced by landholders considering entry into a carbon sequestration project is: 'what is the quantity of SOC that can be added to soils and retained for the long-term through improved land management practices for a given land parcel/location?' The answer to this question is not straightforward. The SOC component of soils consists of a heterogeneous mixture of organic carbon, existing at different stages of decomposition and extents of interaction with the mineral phase, both of which can influence SOC turnover time. Various analytical methods have been used to simplify the complexity of SOC composition by allocating it to different fractions that are considered to represent components with varying cycling rates based on particle size, density, and/or chemical composition (e.g. Poeplau et al. 2018). These fractionation approaches have also been used as the basis for initialising and calibrating process-based multi-pool models of SOC cycling, such as, DayCent (Dangal et al. 2022) and RothC (Skjemstad et al. 2004). Further, SOC stocks vary significantly across spatial and temporal scales, adding additional complexities (Viscarra Rossel et al. 2014; Karunaratne et al. 2015; Gray et al. 2015, 2019). An understanding of the balance between the organic carbon entering a soil from an agricultural production system and its loss through erosion or release as CO₂ into the atmosphere through decomposition, and the cycling of carbon; i.e. transformation of labile carbon to more stable forms, within the soil matrix is required to identify location-specific land management strategies with a potential to increase SOC stocks.

Soil carbon sequestration projects tend to focus on increasing total SOC, but the SOC composition requires consideration due to differences in the relative rates of decomposition associated with different SOC fractions (Baldock et al. 2013a). Allocation of total SOC to component fractions can be used to characterise stabilisation mechanisms and microbial processes controlled through environmental drivers of carbon cycling (Baldock and Skjemstad 2000). The long-term stabilisation of SOC is governed by a combination of chemical composition and physical protection, resulting in distinct turnover rates (Cotrufo et al. 2019; Six et al. 2000, 2002). Conceptually, SOC fractions can be categorised based on biological stability (labile, stable, or inert), associated with varying turnover times (short, medium, or long). The labile and stable fractions of SOC assume turnover with time, following first-order kinetics, which characterises their own turnover rates.

Theoretically, SOC stocks can increase without limit provided the inputs of carbon continue to increase and losses from the soil are minimised. However, the concept of SOC stock stabilisation is an essential aspect of long-term carbon storage in soils. There are various mechanisms proposed to account for the stabilisation of carbon entering into the soil, including: (1) adsorption of SOC onto mineral surfaces (Baldock and Skjemstad 2000); (2) encapsulation of carbon within soil aggregates (Six et al. 2000); (3) adverse micro-environmental conditions that create unfavourable conditions for the soil biota, minimising decomposition; and (4) chemical composition of the SOC.

This paper focuses on quantifying and determining the extent of carbon stabilisation within the fine fraction of soil (FF_{Soil}) that will be defined as particles $\leq 50 \mu\text{m}$. Mineral surfaces provide a mechanism for stabilising organic carbon through adsorption reactions, with the capacity of the FF_{Soil} to stabilise SOC considered finite (Baldock and Skjemstad 2000; Six et al. 2002; Stewart et al. 2007). Ingram and Fernandes (2001) proposed that three distinct stock levels: (1) actual; (2) attainable; and (3) potential, could be defined for the more stable component of SOC with a half-life >10 years. This approach can equally be adapted to the explain SOC storage in the FF_{Soil}. The 'actual' SOC stock within the FF_{Soil} represents that existing under current management practices and will be denoted by FF_{SOC,Actual}. The attainable stock of SOC within the FF_{Soil}, denoted by FF_{SOC,Attainable}, represents the stock of FF_{SOC} that would be achieved for a soil if the input of organic carbon is constrained to that associated with maximum plant productivity that can be achieved on that soil. The 'attainable' SOC stock may increase in response to improvements in plant genetics and agricultural management practices that result in greater plant dry matter production and enhanced flows of organic carbon to the soil. Where the FF_{SOC,Actual} stock is lower than the FF_{SOC,Attainable} stock, an opportunity for sequestering additional atmospheric carbon within the FF_{Soil} exists (Baldock et al. 2019). The 'potential' SOC stock within the FF_{Soil}, denoted by

$FF_{SOC_Potential}$ represents the maximum plausible stock of carbon that can be stored within the FF_{Soil} when carbon inputs remain unconstrained. The $FF_{SOC_Potential}$ stock represents an upper limit of carbon sequestration and corresponds to the saturated condition described by Six *et al.* (2002) and Stewart *et al.* (2007). Once the $FF_{SOC_Potential}$ is reached, no further stabilisation of carbon by the FF_{Soil} can occur and any additional carbon entering the soil remains within the more labile fraction. The gap between $FF_{SOC_Potential}$ and FF_{SOC_Actual} has been termed the saturation deficit and interest exists as to how this soil property can be used to assess soil carbon sequestration potential (Stewart *et al.* 2007, 2008; Beare *et al.* 2014; McNally *et al.* 2017).

To derive estimates of the FF_{SOC} associated with saturation (i.e. the $FF_{SOC_Potential}$), research studies have often collected soils exhibiting a range of both FF_{Soil} and FF_{SOC_Actual} and used some form of regression to define an upper limit of FF_{SOC_Actual} . For example, Beare *et al.* (2014) used a 90th quantile regression approach to define saturation and thus the value of $FF_{SOC_Potential}$. However, does this approach define a value for $FF_{SOC_Potential}$ or is it defining an upper limit to the value of FF_{SOC_Actual} , equivalent to $FF_{SOC_Attainable}$? We hypothesise that such studies can only be used to define the value of $FF_{SOC_Attainable}$ given the soil properties, environmental conditions, and management practices associated with the soils included in the analysis. The value of $FF_{SOC_Attainable}$ may or may not be representative of $FF_{SOC_Potential}$ and it is likely to underestimate $FF_{SOC_Potential}$. The difference between $FF_{SOC_Attainable}$ and FF_{SOC_Actual} should be defined as the attainable SOC deficit of the soil fine fraction ($FF_{SOC_Attainable_Def}$) instead of simply as a SOC deficit. A theoretical framework explaining the concept of $FF_{SOC_Attainable_Def}$ is in Fig. 1.

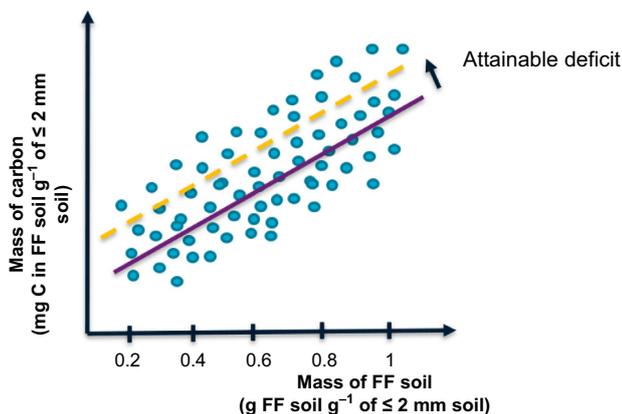


Fig. 1. The theoretical relationship between the gravimetric contents of the fine fraction mass (FF_{Soil} , x-axis) and the current/actual fine fraction soil organic carbon (FF_{SOC_Actual} , y-axis). The purple line indicates the least squares regression line depicting the general relationship between soil mass and carbon loadings; the yellow dash line indicates the attainable soil organic carbon ($FF_{SOC_Attainable}$) content. Attainable deficit indicates $FF_{SOC_Attainable_Def}$.

Traditionally, laboratory-intensive approaches that involve particle size fractionation followed by an analysis of samples to quantify elemental SOC concentration of the component fractions, and other soil properties such as surface area, pH and Al have been used to quantify the $FF_{SOC_Attainable}$ (Beare *et al.* 2014; McNally *et al.* 2017). However, scaling up such laboratory-based approaches is a costly and time-consuming operation. Pioneering work led by Baldock *et al.* (2019) demonstrated that the use of mid infrared spectroscopy enables us to quantify the $FF_{SOC_Attainable}$ directly or through the generation of the input datasets defined to estimate $FF_{SOC_Attainable}$ by Beare *et al.* (2014) and McNally *et al.* (2017).

Here, we developed a novel framework for rapid and cost-effective estimation of the $FF_{SOC_Attainable_Def}$. Our approach combines three analytical pipelines including the following: (1) estimation of the $FF_{SOC_Attainable_Def}$ of the fine fraction of Australian agricultural soils within broad mean annual precipitation ranges and soil depth classes; (2) establishing a rapid prediction capability for the $FF_{SOC_Attainable_Def}$ based on an infrared/partial least square regression (IR/PLSR) modelling approach; and (3) generation of spatial estimates of $FF_{SOC_Attainable_Def}$ across the major agricultural production regions in Australia using an ensemble Random Forest modelling approach.

Materials and methods

The framework for estimation, rapid and cost-effective quantification and spatial modelling of the $FF_{SOC_Attainable}$ and $FF_{SOC_Attainable_Def}$ across the major agricultural production regions in Australia is in Fig. 2.

Dataset

The data used in this study were derived primarily from a national dataset collected under the Soil Carbon Research Program (SCaRP), representing 4180 farmer paddock sites across Australia's cropping and pasture regions (Baldock *et al.* 2013b). The SCaRP dataset (<https://doi.org/10.25919/5ddfd688d4e5>) included SOC fractionation data for 312 soils and was augmented with fractionation data derived for an additional 163 SCaRP soils, fractionated after the completion of the original SCaRP project ($n = 475$). The dataset included gravimetric concentrations of total organic carbon and the following three component fractions: (1) particulate organic carbon (POC) representing the SOC associated with $>50 \mu m$ particles after removal of resistant organic carbon (ROC); (2) humus organic carbon (HOC) representing the SOC associated with $\leq 50 \mu m$ particles after removal of resistant organic carbon (ROC); and (3) ROC representing the SOC associated with $>50 \mu m$ and $\leq 50 \mu m$ particles having a polyaromatic chemical structure. The POC fraction has a turnover time of 1–2 years, while the HOC fraction is expected to remain in soils for up to 100 years.

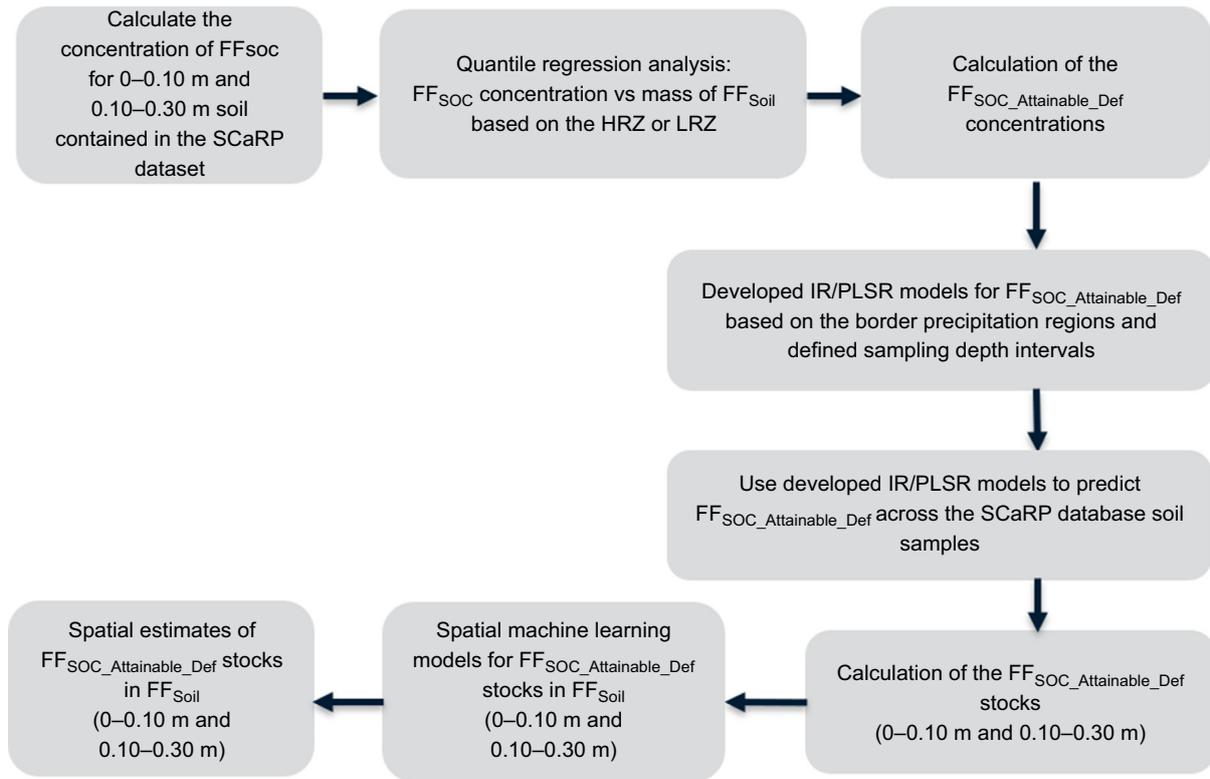


Fig. 2. The framework for quantification and modelling of the soil organic carbon attainable deficit ($FF_{SOC_Attainable_Def}$) in agriculture production regions.

The ROC fraction assumes a stable form of carbon, which has a turnover time of centuries to millennia.

The SOC contained within the HOC fraction was used to provide measured values for the current carbon concentration of FF_{SOC_Actual} in each fractionated soil in units of $mg\ FF_{SOC}\ g^{-1}\ \leq 2\ mm\ soil$. In addition to the data available within the published SCaRP dataset, the mass of soil fractionated and the mass of the fine fraction for each fractionated soil was obtained from archived SCaRP data files and were used to calculate the gravimetric concentration of the FF_{Soil} in units of $g\ FF_{Soil}\ g^{-1}\ \leq 2\ mm\ soil$. A detailed description of the distribution of the sampling sites, sampling design, and the procedure used to derive the total SOC, POC, HOC and ROC concentrations is described by Baldock et al. (2013a).

Quantile regression analysis and calculation of the attainable soil organic carbon deficit in the fine fraction of the soils

Initially, the $FF_{SOC_Attainable}$ analysis was performed as a global 90th quantile regression analysis in which soils ($n = 475$) collected from sites with annual precipitation values ranging from 277 mm to 1809 mm and collected from different depths (0–0.05 m; only for a few sites in New South Wales, sites; 0–0.10 m; 0.10–0.20 m; and 0.20–0.30 m) were pooled and

a single model was derived. This represents the typical approach taken when calculating SOC deficit. However, after exploring the initial results, it was evident that an approach that acknowledged variations in mean annual precipitation and soil depth would be more appropriate.

The significance of separating data based on precipitation and depth prior to fitting 90th quantile regressions (Eqn 1), was tested by converting both precipitation and depth into dummy variables. The dummy variables were created based on soil depth (0–0.10 m or 0.10–0.30 m) and mean annual precipitation ($\leq 600\ mm$ or $> 600\ mm$) from 1991 to 2010 at the site from which the soils were collected. The 90th quantile regression model was fitted including a higher order interaction term (precipitation class \times depth class), which was significant ($P < 0.0001$), indicating an inhomogeneity of slopes and/or of the intercepts. The inference is carried out using the ‘bootstrapped’ approach and the quantile regression modelling is performed using R package quantreg (Koenker et al. 2023). The 90th quantile regression analysis (Eqn 1) of the gravimetric content of FF_{SOC_Actual} ($mg\ FF_{SOC}\ g^{-1}\ \leq 2\ mm\ soil$) as a function of the gravimetric content of FF_{Soil} ($g\ FF_{Soil}\ g^{-1}\ \leq 2\ mm\ soil$) was applied to all soils for each depth and precipitation combination (Table 1), and the slope (β) and intercept terms were defined. The 90th quantile predicted values for FF_{SOC_Actual} were used to define the $FF_{SOC_Attainable}$ for each soil, and the $FF_{SOC_Attainable_Def}$ of

Table 1. Summary of the quantile regression model based on the sampling depth and broad classification of the mean annual cumulative precipitation.

Model names	Number of samples (n)	Remarks
Depth 0–0.10 m and precipitation ≤600 mm	124	Depth of samples between 0 and 0.10 m and low rainfall zone (LRZ) where annual cumulative rainfall is less than 600 mm
Depth 0–0.10 m and precipitation >600 mm	172	Depth of samples between 0 and 0.10 m and high rainfall zone (HRZ) where annual cumulative rainfall is greater than 600 mm
Depth 0.10–0.30 m and precipitation ≤600 mm	72	Depth of samples between 0.10 and 0.30 m and low rainfall zone (LRZ) where annual cumulative rainfall is less than 600 mm
Depth 0.10–0.30 m and precipitation >600 mm	107	Depth of samples between 0.10 and 0.30 m and high rainfall zone (HRZ) where annual cumulative rainfall is greater than 600 mm

each soil was calculated as the difference between the $FF_{\text{SOC_Attainable}}$ and the measured $FF_{\text{SOC_Actual}}$ (Eqn 2).

$$FF_{\text{SOC_actual}} = \beta \times FF_{\text{soil mass}} + \text{intercept} \quad (1)$$

where β and *intercept* refer to quantile regression analysis parameters associated.

$$FF_{\text{SOC_Attainable_Def}} = FF_{\text{SOC_Attainable}} - FF_{\text{SOC_Actual}} \quad (2)$$

Chemometric model development for the attainable soil organic carbon deficit

During SCaRP, infrared (IR) spectra were acquired and archived for all 475 soils and the additional 20 020 soils that SCaRP analysed (see Baldock *et al.* (2013c) for details about the acquisition of the IR spectra). The IR spectral datasets covered both the MIR and part of the NIR spectrum sections of the electromagnetic region. The derived $FF_{\text{SOC_Attainable_Def}}$ values and the concomitant IR spectra acquired for the 475 soils were used to assess the ability to generate predictive IR/PLSR algorithms to predict the $FF_{\text{SOC_Attainable_Def}}$ values of each soil.

The raw spectra acquired by SCaRP were pre-processed as follows: (1) reflection was converted into absorbance (absorbance = $\log(1/\text{reflectance})$); (2) the spectra were truncated and resampled between 6000 and 600 cm^{-1} with a resolution of 4 cm^{-1} ; and (3) a baseline offset transformation was applied (i.e. baseline corrected). In the baseline offset transformation, the lowest absorbance value of the spectrum was subtracted from all other spectral values. Baldock *et al.* (2013c) built successful PLSR prediction models with MIR

spectra to which only baseline correction was applied and noted that including additional pre-processing methods did not improve the resultant PLSR models. Soil samples were finely grounded prior to scanning using Fourier transform MIR spectroscopy, and minimum pre-processing of the spectra is performed in the current study.

Due to the limited number of calibration soils, instead of splitting the dataset into calibration and validation datasets, the chemometric models were developed as bootstrapped IR/PLSR models. The optimum number of factors was determined using the ‘*onesigma*’ approach as explained in the ‘*pls*’ R package through a leave-one-out-cross validation approach (Liland *et al.* 2022). A total of 100 bootstrapped models were developed and were validated using ‘in-the-bag’ and ‘out-of-bag’ datasets. The out-of-bag validation approach can be considered as an independent validation since the soils included were not included in the model calibration process. The models were evaluated by the root mean square error (RMSE), as a measure of the model accuracy, and Lin’s concordance correlation coefficient (LCCC) (Lawrence 1989), as a measure of how good the fit between measured and predicted values was. Model prediction quality was considered superior when RMSE and LCCC of the developed models were close to zero and one, respectively.

The developed IR/PLSR models were applied to the remaining 20 020 SCaRP MIR spectra to predict their $FF_{\text{SOC_Attainable_Def}}$ values. The IR/PLSR prediction uncertainty was assessed by calculating the lower (i.e. 0.05) and upper (i.e. 0.95) percentiles derived from the 100 bootstrapped model outputs for each sample.

Spatial modelling of soil carbon saturation deficit

Spatialisation of the point $FF_{\text{SOC_Attainable_Def}}$ concentrations was performed using the IR/PLSR predicted values for all SCaRP soils. Before spatial modelling, the $FF_{\text{SOC_Attainable_Def}}$ concentrations were converted into $FF_{\text{SOC_Attainable_Def}}$ stocks with Eqn 3.

$$FF_{\text{SOC_Attainable_Def}} \text{ stock} = FF_{\text{SOC_Attainable_Def}} \text{ concentration} \\ \times \text{Bulk density} \times \text{Depth} \\ \times (1 - \text{gravel fraction}) \times (1 - P_{\text{rt}}) \quad (3)$$

where $FF_{\text{SOC_Attainable_Def}}$ stock is expressed in Mg C ha^{-1} , $FF_{\text{SOC_Attainable_Def}}$ is expressed as mg C g^{-1} soil, bulk density is expressed as g soil cm^{-3} , depth/thickness is expressed in cm, gravel correction factor (1 - gravel fraction), P_{rt} correction for the proportion of the land area within the sampling unit allocated to rocks and/or trees. Measured values for bulk density, gravel correction factor and P_{rt} were provided for each soil as part of the SCaRP dataset.

Spatialisation was then performed by an ensemble approach using a Random Forest (RF) model (Breiman 2001). Two global spatial models (i.e. 0–0.10 m and 0.10–0.30 m)

were fitted with estimates generated from four different IR/PLSR model estimates associated with depth and mean annual precipitation.

Environmental covariates

A set of environmental covariates that may act as important drivers of $FF_{SOC_Attainable_Def}$ stock were identified for inclusion in the subsequent spatial machine learning prediction function. For a list of environmental covariates and their broad classification into the *scorpan* factors as described by [McBratney et al. \(2003\)](#), see Supplementary Table S1. Before spatial modelling, all the environmental covariates (Table S1) were re-sampled to a spatial resolution of 90 m.

Development of predictive machine learning spatial models for soil carbon attainable deficit

A total of 4011 (for 0–0.10 m depth) and 4012 (0.10–0.30 m depth) locations with IR/PLSR estimated $FF_{SOC_Attainable_Def}$ stock values were used for the current analysis. In the spatial model development, due to the limited dataset covering the large parcel of landmass across the Australian continent, the full dataset was bootstrapped to create 100 bootstrapped models by sampling with a replacement rather than simply splitting the dataset into a single disjoint pair of model calibration and validation sets. Similar to IR/PLSR model fitting, model validation was performed using in-the-bag and out-of-bag validation datasets, where the latter was used as an independent validation dataset. The model

quality was evaluated using RMSE and LCCC. The RF model was implemented using the computationally efficient ‘ranger’ R package ([Wright and Ziegler 2017](#)). In summary, the environmental covariates that were included in the RF model were used to explain the deterministic component of the relationship between the $FF_{SOC_Attainable_Def}$ stock and other features of the physical environment.

The spatial prediction was performed at three arc-second (~90 m) resolutions using the environmental covariates listed in Table S1 using 100 bootstrapped models. The resulting spatial predictions were used to construct a pixel-wise predictive distribution and percentiles (0.05 and 0.95) using the pixel-wise ensemble estimates. In addition, mean and median predictions of the $FF_{SOC_Attainable_Def}$ were also calculated. The modelling and prediction pipeline used for this workflow used custom R scripts developed previously, and the analysis was performed using the ‘Petrichor’ CSIRO High-Performance Computing facility.

Results

Quantifying fine fraction soil organic carbon storage parameters

The global analysis performed to derive the $FF_{SOC_Attainable}$ is in [Fig. 3](#). It was revealed that not considering key environmental drivers of carbon inflows and outflows into soils; e.g. mean

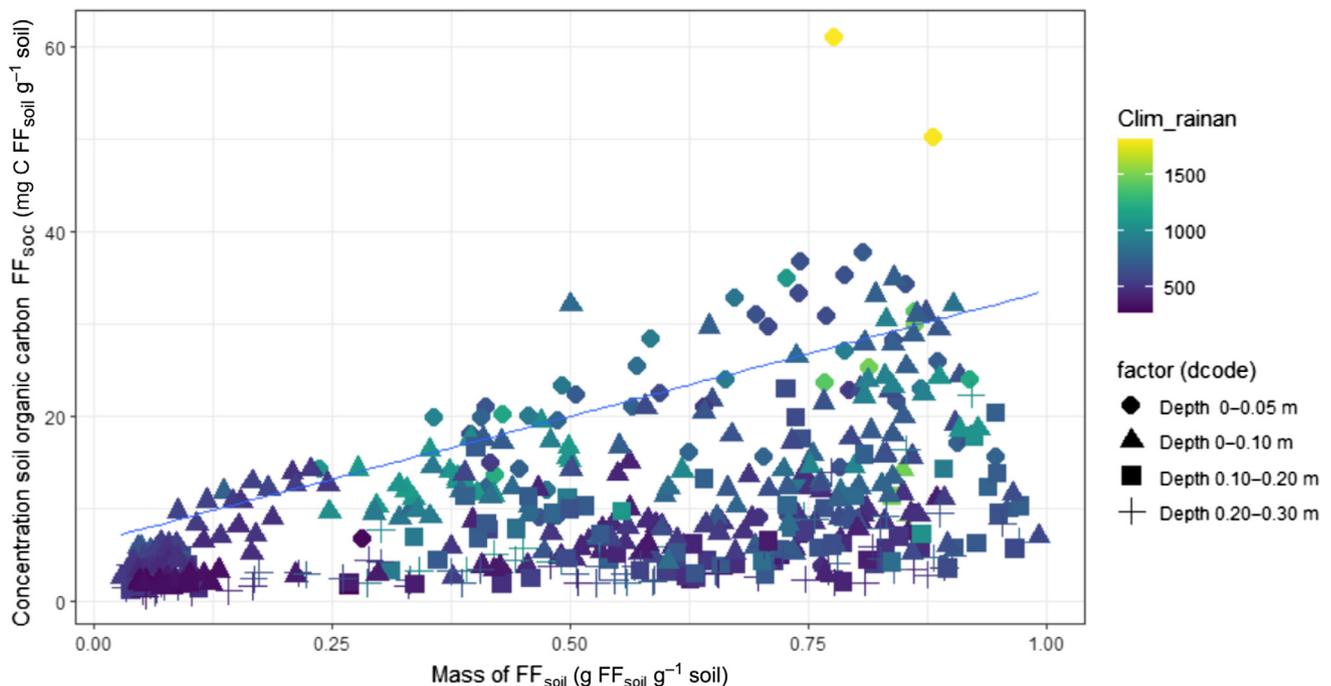


Fig. 3. Relationship between soil organic carbon concentrations in the fine fraction of soils (FF_{Soil}) with the fine fraction mass. The colour gradient in dots represents the variation in the mean annual precipitation associated with each sampling site and the symbols represent the different soil depths from which soils were collected. The blue colour line indicates 90th quantile regression line.

annual precipitation and other factors such as the sampling depth, generated unrealistic estimates of $FF_{SOC_Attainable_Def}$. As seen in Fig. 3, the 90th quantile regression line (Blue colour) that defined the $FF_{SOC_Attainable}$ was governed by the FF_{SOC_Actual} of the soils collected from the 0–0.10 m soil layer and from sites with higher mean annual precipitation. As a result, the estimated $FF_{SOC_Attainable_Def}$ was overestimated for regions with low mean annual precipitation and lower depth intervals even with the same FF_{Soil} mass. The overestimation of the $FF_{SOC_Attainable_Def}$ is due to fitting a global 90th quantile regression where the upper limit of the $FF_{SOC_Attainable_Def}$ is defined using higher values of the FF_{SOC_Actual} reported in topsoils and higher precipitation regions. The likelihood of achieving the global estimated $FF_{SOC_Attainable}$ might not be physically possible under current environmental constraints that affect the carbon inflows and outflows.

Given the limitations associated with the global analysis (Fig. 3), and the significant ($P < 0.0001$) higher order interaction terms, showing an inhomogeneity of slopes and/or of the intercepts, were obtained when the precipitation class and depth class were included in the 90th quantile regression analysis, performing 90th quantile regression separately for each combination precipitation and depth was justified (Table 1). As a result, $FF_{SOC_Attainable}$ and subsequent calculations of the $FF_{SOC_Attainable_Def}$ were performed separately for soils derived from different depths and from sites with different mean annual precipitations. The results of the quantile regression analyses completed for each combination of soil depth (0–0.10 m and 0.10–0.30 m) and mean annual precipitation (≤ 600 mm and > 600 mm) are in Fig. 4. The $FF_{SOC_Attainable}$, as defined by the 90th quantile regression line, in the 0–0.10 m soil layer was approximately twice that associated with the 0.10–0.30 m soil layer. The $FF_{SOC_Attainable}$ associated with soils collected from sites with > 600 mm mean annual precipitation was approximately twice that associated with soils collected from sites with ≤ 600 mm mean annual precipitation.

The distribution of $FF_{SOC_Attainable_Def}$ concentrations and some summary statistics are in Fig. 5 and Table 2. The density plot for the calculated $FF_{SOC_Attainable_Def}$ associated with each combination of depth and mean annual precipitation is depicted in Fig. 5. Based on the median $FF_{SOC_Attainable_Def}$ values, soils from the HRZ had higher concentrations of $FF_{SOC_Attainable_Def}$ than soils from the LRZ for both depth intervals (Table 2). Further, a higher standard deviation of $FF_{SOC_Attainable_Def}$ was found for soils from the 0–0.10 m depth than the 0.10–0.30 m depth within the HRZ. In contrast to the HRZ, the magnitude of the difference between $FF_{SOC_Attainable_Def}$ median values between the two soil depth layers was higher for the LRZ. In fact, the 0–0.10 m depth layer had $FF_{SOC_Attainable_Def}$ values three times larger than the 0.10–0.30 m depth layer. The quantile regression models estimated parameters are summarised in the Supplementary Table S2.

Chemometric modelling of attainable soil organic carbon deficit concentrations in the fine fraction of soil

The four separate IR/PLSR calibration models (in-the-bag) for 0–0.10 m and 0.10–0.30 m in the LRZ and HRZ for both soil depth layers, respectively, had mean LCCC values ≥ 0.85 (Table 3). The out-of-bag validation of the fitted models, except for IR/PLSR model fitted for depth interval 0.10–0.30 m and LRZ, reported an LCCC value greater than 0.75. Model performance appeared to correspond with the number of samples included suggesting that increasing the number of soils in each class through the fractionation of additional soils covering wider spatial variation could increase model performance. In-the-bag and out-of-bag mean RMSE values varied over the combinations of soil depth and mean annual precipitation (Table 3). This was due to variations in the concentrations of the FF_{SOC_Actual} across those groupings. Nonetheless, these results suggested that the IR/PLSR algorithms derived could be used to confidently predict $FF_{SOC_Attainable_Def}$.

The mean β coefficients associated with 100 bootstrapped for each of the four IR/PLSR calibration models show which spectral components contributed to the prediction of the $FF_{SOC_Attainable_Def}$ (Fig. 6). Examination of these β coefficients showed distinct positive contributions from spectral features at $3640\text{--}3604\text{ cm}^{-1}$, $2296\text{--}1872\text{ cm}^{-1}$, 1284 cm^{-1} , 1180 cm^{-1} , 980 cm^{-1} and 812 cm^{-1} , corresponding with mineral-associated peaks of gibbsite, quartz, clay and O-Si-O. Conversely, strong negative effects were noted from spectral features at 3700 cm^{-1} , $3208\text{--}2806\text{ cm}^{-1}$, $1624\text{--}1552\text{ cm}^{-1}$ associated with OH-, organic matter, and amide C=O bonds. The negative contribution from organic matter to the predictions was also observed in the β coefficients of $FF_{SOC_Attainable_Def}$ models in Baldock *et al.* (2019) and as they discuss, is consistent with $FF_{SOC_Attainable_Def}$ declining as organic carbon content increased.

Spatial modelling of attainable soil organic carbon deficit stocks in the fine fraction of soil

The in-the-bag and out-of-bag spatial machine learning model validation statistics are in Table 4. Both fitted models reported similar model quality assessment statistics with a model accuracy of $\sim 5\text{ Mg C ha}^{-1}$ as defined by RMSE out-of-bag values. The top 10 environmental covariates for both depth intervals were identified and were predominately associated with climate (Fig. 7). This demonstrated the importance of climatic variables that affect biomass production and carbon flow into the soils. The SOC stabilisation was spatially represented by digitally mapped clay mineral types and the summation of the clay and silt fractions across the study area. The SOC stabilisation properties were ranked 12th and 14th in the VIP plots generated for the fitted spatial random forest

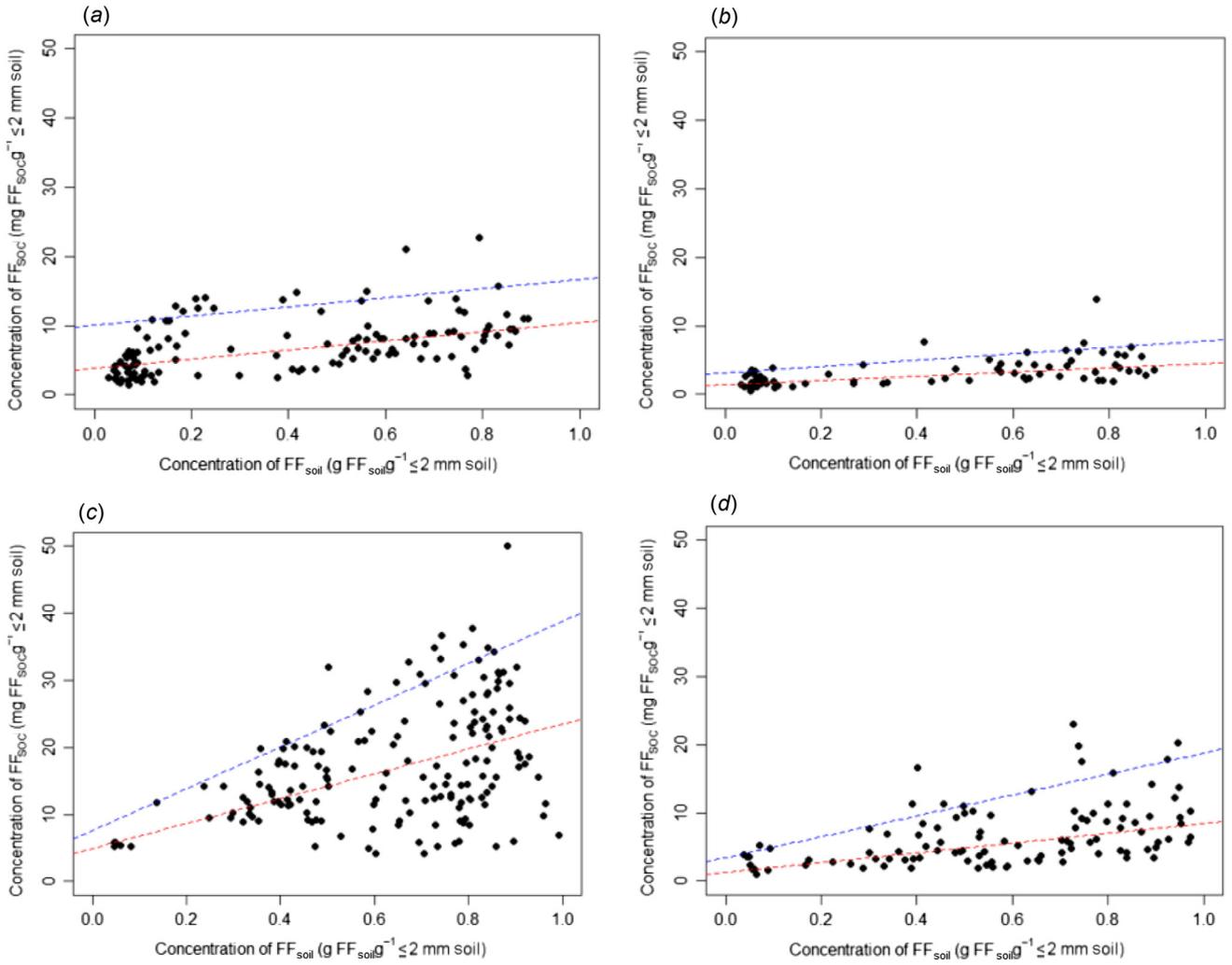


Fig. 4. The summary of the regression analysis that is used to derive the FF_{Attainable_SOC}. (a) Depth 0–0.10 m and LRZ. (b) Depth 0.10–0.30 m and LRZ. (c) Depth 0.0–0.10 m and HRZ. (d) Depth 0.10–0.30 m and HRZ.

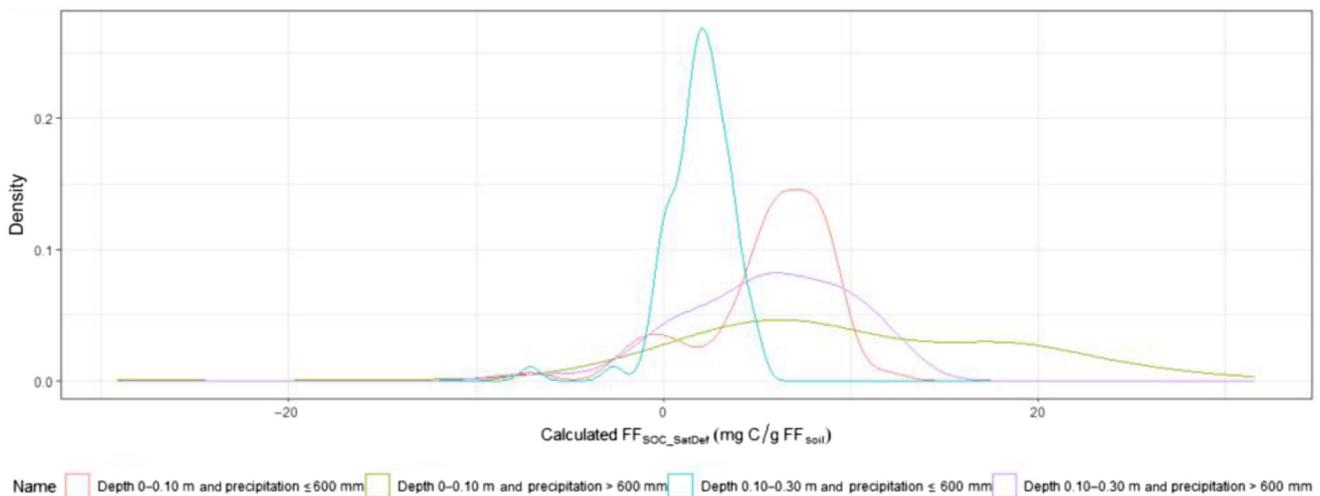


Fig. 5. Density plots for the calculated FF_{SOC_Attainable_Def} concentrations by depth/precipitation classes.

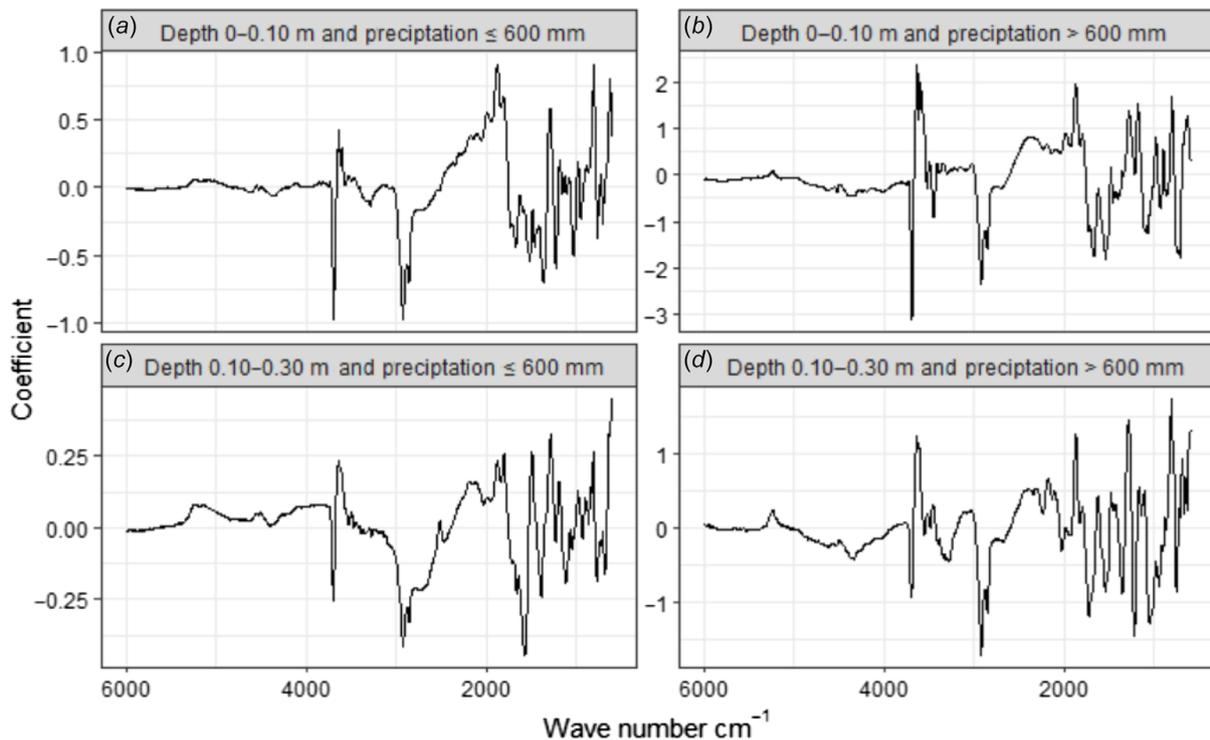
Table 2. Summary of the $FF_{SOC_Attainable_Def}$ concentrations by depth/precipitation classes. Units are expressed as $mg\ C\ FF\ g^{-1}$ of $<2\ mm$ soil.

Name	Min	Quantile: 0.10	Median	Mean	s.d.	Quantile: 0.90	Max
Depth 0–0.10 m and precipitation ≤ 600 mm	–7.49	0.00	6.23	5.52	3.56	8.97	12.28
Depth 0–0.10 m and precipitation > 600 mm	–29.14	0.00	8.90	9.90	9.02	21.58	31.61
Depth 0.10–0.30 m and precipitation ≤ 600 mm	–7.13	0.01	2.12	1.91	1.81	3.73	4.96
Depth 0.10–0.30 m and precipitation > 600 mm	–8.44	0.00	6.01	5.57	4.48	11.36	13.65

Table 3. Model evaluation statistics of the four optimal infrared/partial least squares regression (IR/PLSR) models of soils from 0–0.10 m and 0.10–0.30 m in low rainfall (LRZ; ≤ 600 mm) and high rainfall (HRZ; > 600 mm) zones.

Model	<i>n</i>	LCCC (in-the-bag validation)	LCCC (out-of-bag validation)	RMSE (in-the-bag validation) ($mg\ C\ g^{-1}\ soil$)	RMSE (out-of-bag validation) ($mg\ C\ g^{-1}\ soil$)
LRZ					
0–0.10 m	124	0.88	0.77	1.56	2.13
0.10–0.30 m	72	0.86	0.56	0.83	1.48
HRZ					
0–0.10 m	172	0.90	0.83	3.67	4.66
0.10–0.30 m	107	0.92	0.78	1.56	2.61

The model evaluation statistics were generated using the mean of the hundred bootstrapped models.

**Fig. 6.** The mean β coefficients derived from the 100 bootstrapped partial least squares regression (PLSR) equations for (a) 0–0.10 m in low rainfall zone (LRZ; ≤ 600 mm); (b) 0–0.10 m in high rainfall zone (HRZ; > 600 mm); (c) 0.10–0.30 m in the LRZ; and (d) 0.10–0.30 m in the HRZ.

models, respectively, for 0–0.10 m and 0.10–0.30 m depth intervals. Having climate-driven variables, clay-silt content (fine fraction) and clay mineral (i.e. illite, kaolinite and

smectite) as environmental covariates for the prediction of $FF_{SOC_Attainable_Def}$ demonstrated their importance on the inflow and stabilisation of the SOC within the FF_{Soil} .

Table 4. Model evaluation statistics of the two spatial random forest models fitted considering the 0–0.10 m and 0.10–0.30 m.

Model	n	LCCC (in-the-bag validation)	LCCC (out-of-bag validation)	RMSE (in-the-bag validation) (Mg C ha ⁻¹)	RMSE (out-of-bag validation) (Mg C ha ⁻¹)
0–0.10 m	4011	0.84	0.84	5.04	5.05
0.10–0.30 m	4012	0.87	0.87	5.37	5.38

The model evaluation statistics were generated using the mean of 100 bootstrapped spatial models.

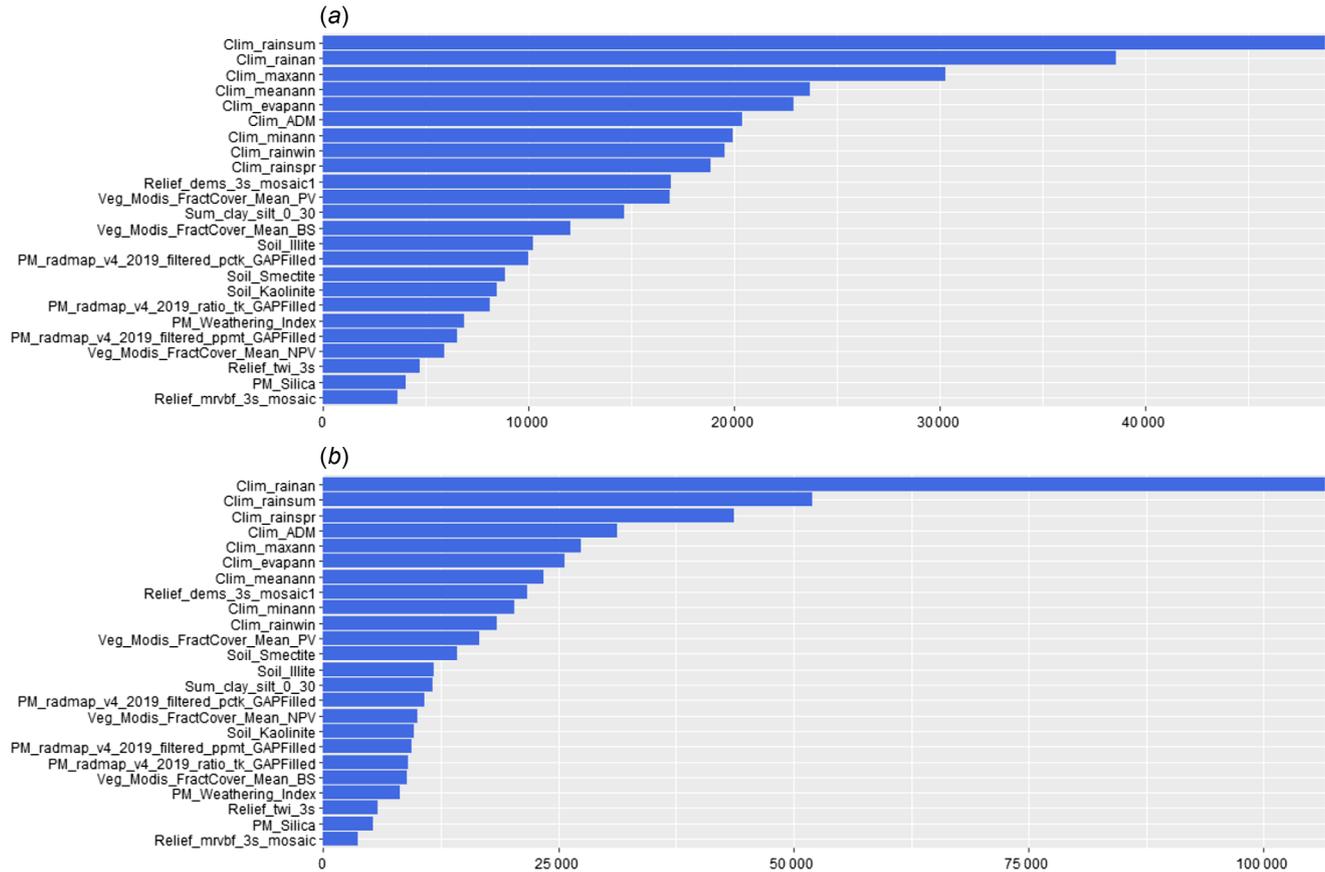


Fig. 7. The estimated mean importance value for the considered environmental covariates used to estimate the $FF_{SOC_Attainable_Def}$ through fitted 100 bootstrapped spatial Random Forest models. Table S1 describes the covariates. (a) Variable importance of covariates, 0–0.10 m. (b) Variable importance of covariates, 0.10–0.30 m.

Derived spatial estimates are in Fig. 8, and prediction uncertainty was calculated as 0.05 and 0.95 percentiles (see Supplementary Fig. S1). When the $FF_{SOC_Attainable_Def}$ values were closer to zero or negative, those regions have less potential to enhance the SOC in the FF_{Soil} . The spatial pattern of the $FF_{SOC_Attainable_Def}$ demonstrates that some of the land areas under both LRZ and HRZ have $FF_{SOC_Attainable_Def}$ close to zero or negative. For example, in the state of Victoria, the HRZ Gippsland region generally reported less area under the positive stocks of $FF_{SOC_Attainable_Def}$ for the depth interval 0–0.10 m compared to 0.10–0.30 m depth interval estimates.

Similarly, in LRZ, the Mallee region reported less area under the positive stocks of $FF_{SOC_Attainable_Def}$ indicating less opportunity to increase the stable form of SOC in the

FF_{Soil} . The eastern boundary of Australia, mainly southern Queensland and New South Wales, reported higher positive stock values for the $FF_{SOC_Attainable_Def}$ indicating an opportunity to further enhance the SOC in the FF_{Soil} . The predicted $FF_{SOC_Attainable_Def}$ stocks across Australia revealed an opportunity to increase current FF_{SOC} by 3.47 GT and 3.24 GT for the 0–0.10 m and 0.10–0.30 m depth intervals, respectively. The average estimated positive $FF_{SOC_Attainable_Def}$ stock values were 13.35 Mg C ha⁻¹ and 12.49 Mg C ha⁻¹, respectively, for the 0–0.10 m and 0.10–0.30 m depth intervals. There was also discontinuity of spatial estimated $FF_{SOC_Attainable_Def}$ stock values in the north-south direction in the east of Australia caused by changes in the rainfall gradients of the input covariates.

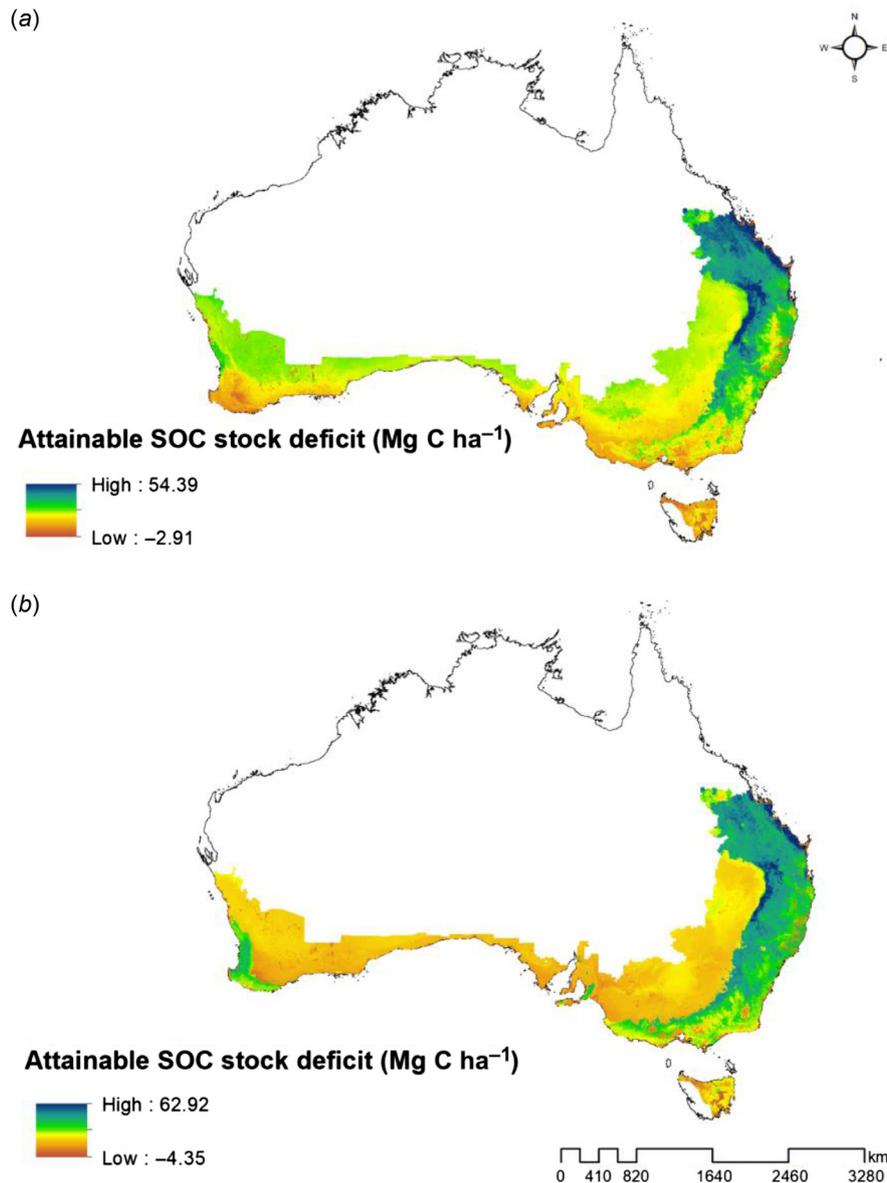


Fig. 8. Distribution of the soil organic carbon attainable deficit stocks across major agricultural production regions of Australia. The spatial estimates were made for specified two depth intervals: (a) 0–0.10 m; and (b) 0.10–0.30 m.

Discussion

Developing a framework for rapid and cost-effective estimation of $\text{FF}_{\text{SOC_Attainable_Def}}$ concentrations using IR/PLSR modelling framework

Chemometric models are frequently used to predict various chemical, physical and biological soil properties (Janik *et al.* 1998; Viscarra Rossel *et al.* 2006; Stenberg *et al.* 2010). A range of studies have now demonstrated the ability to predict SOC and its fraction contents from IR spectra using chemometric modelling approaches (Baldock *et al.* 2013c, 2018).

For the SCaRP soil samples used in this study, Baldock *et al.* (2013c) demonstrated that SOC, SOC fractions, and total nitrogen contents could be predicted using IR spectral data and chemometric modelling. Baldock *et al.* (2018) extended the use of IR/PLSR estimated SOC contents and SOC fractions to characterise the vulnerability of SOC to subsequent loss in agricultural soils from New Zealand.

Only a few studies (see Baldock *et al.* 2019) have used a combined IR dataset and chemometric modelling approach, similar to that developed in this study, to derive a unique prediction algorithm for quantifying the $\text{FF}_{\text{SOC_Attainable_Def}}$ of the FF_{Soil} . Baldock *et al.* (2019) demonstrated an ability to derive the values of key soil properties identified as drivers of

$FF_{\text{SOC_Attainable}}$ by Beare et al. (2014) as well as an ability to directly predict measured $FF_{\text{SOC_Attainable}}$ and $FF_{\text{SOC_Attainable_Def}}$ from the acquired IR spectra. However, neither Baldock et al. (2019) nor the supporting work of Beare et al. (2014) or McNally et al. (2017) examined the potential impacts that rainfall and soil depth or similar drivers of SOC accumulation would have on the derivation of both $FF_{\text{SOC_Attainable}}$ and $FF_{\text{SOC_Attainable_Def}}$. Further, these previous studies (Beare et al. 2014; McNally et al. 2017; Baldock et al. 2019) did not define the deficit as $FF_{\text{SOC_Attainable_Def}}$. Instead, the application of a 90th quantile regression to the $FF_{\text{SOC_Actual}}$ across a range of soils was assumed to provide a measure of the potential upper limit that $FF_{\text{SOC_Actual}}$ could reach. This upper limit of $FF_{\text{SOC_Actual}}$ was considered an appropriate value on which to base the calculation of saturation deficit irrespective of soil properties, environmental conditions, or applied management practices that can all influence the amount of carbon captured by plants and added to the soil.

Deriving the soil organic carbon attainable deficit concentrations using broad drivers

A range of environmental factors and soil properties affect the flow of carbon into the soil, how that carbon can be stabilised within the soil matrix, and the fraction that is lost from the soil back to the atmosphere. In Australian dryland agricultural systems, potential dry matter production of crops and pastures is dominantly dictated by rainfall. While an attempt was made to develop different quantile regression models considering soil depth (i.e. 0–0.10 m vs 0.10–0.30 m) and using a broad classification of environmental drivers that affect carbon flows (i.e. LRZ vs HRZ), we acknowledge that, if available, a higher number of measurements could and enable $FF_{\text{SOC_Attainable_Def}}$ to be derived based on local properties that govern the inputs and their subsequent biological processing as well the stability of SOC. Although the capacity of soils to store SOC in a stable form is commonly attributed to the FF_{Soil} (clay + silt), there are other properties such as specific surface area, extractable aluminium (pyrophosphate) content of soils, and soil pH that affect the SOC stabilisation capacity (Beare et al. 2014; McNally et al. 2017). In contrast, the emphasis in this study was focused on quantifying the loading of organic carbon within the FF_{Soil} (i.e. the $FF_{\text{SOC_Actual}}$ concentration) within soils collected from different depths from sites having different mean annual precipitations.

The framework adopted allowed us to create scalable products on $FF_{\text{SOC_Attainable_Def}}$ from point estimates to spatial estimates using readily available datasets. In contrast to the current study, McNally et al. (2017) developed an empirical model to predict the SOC stabilisation based on the broader soil types (allophanic vs non-allophanic soils) covering topsoils (0–0.15 m) of New Zealand permanent pasture and cropping soils. Our analysis revealed that estimated quantile regression coefficients reported different SOC loadings of the FF_{SOC} mass (y-axis in Fig. 4) and also the rate of stabilisation

(slope of the regression respective models, supplied in the supplement Table S2) with change in FF_{Soil} mass after considering the broad rainfall classification and depth intervals. This result demonstrated that performing quantile regression analysis to estimate the $FF_{\text{SOC_Attainable_Def}}$ is interlinked to the key drivers that govern the flow of carbon into soil systems. In fact, we found unrealistic values of the $FF_{\text{SOC_Attainable_Def}}$ if the values were derived from the global analysis (Fig. 3), due to input datasets consisting of different precipitation gradients and sampling depth intervals. In this study, due to limited measurement dataset, only broad rainfall classification and depth intervals were considered in defining $FF_{\text{SOC_Attainable_Def}}$. We concluded that local analysis to derive $FF_{\text{SOC_Attainable_Def}}$ is a more appropriate way forward compared to the use of a single global analysis in future studies.

Are attainable deficit estimates static or dynamic?

The key assumption made in the current analysis is that the upper 90th quantile regression, used to define the $FF_{\text{SOC_Attainable}}$, should be applied separately to groups of soils differentiated based on the magnitude of $FF_{\text{SOC_Actual}}$ drivers. The magnitude of carbon input to soil represents an additional driver that was not included in the analyses completed due to a lack of site-specific data. Although the results were strongly influenced by mean annual precipitation, plant productivity and thus carbon inputs to the soil within dryland agricultural regions of Australia are significantly impacted by management practice. Hochman et al. (2016) reported that the average wheat yield in Australia was 1.7 Mg ha⁻¹ (1996–2010), and the average simulated water-limited yield potential was 3.5 Mg ha⁻¹. Their analysis revealed an average wheat yield gap of 1.8 Mg ha⁻¹ representing 51% of the potential yield. If the yield gap can be reduced without altering the harvest index or root/shoot ratios and stubbles are retained the flow of carbon to the soil can be increased. Under such conditions, calculated $FF_{\text{SOC_Attainable_Def}}$ values for a given soil depth and mean annual precipitation might change due to increased carbon flow to the soil. Thus, values obtained for $FF_{\text{SOC_Attainable}}$ and $FF_{\text{SOC_Attainable_Def}}$ should be considered dynamic and continually recalculated as improvements in productivity are realised through the use of improved genetic material and management practices.

The above discussion then leads to the question of what is the appropriate manner to refer to the SOC deficit when values are derived from a range of soils considered to be representative of current agricultural management practices? Do the values derived provide an indication of the potential upper limits of $FF_{\text{SOC_Actual}}$ that may be possible for the soils or are they only representative of what can be obtained using previous and current management practices and types of crop/pasture types grown? As a result, we argue that the approach used in this study and previous studies

(e.g. Beare *et al.* 2014; McNally *et al.* 2017; Six *et al.* 2002) defines an FF_{SOC_Actual} stock that is attainable (i.e. the $FF_{SOC_Attainable}$) given the current soil properties, environmental conditions and applied management practices and not a potential upper limit of $FF_{SOC_Attainable_Def}$. The potential upper limit of $FF_{SOC_Attainable_Def}$ should be considered as conceptual, unknown and undefinable. Thus, when attempting to quantify the $FF_{SOC_Attainable_Def}$ based on FF_{SOC_Actual} values obtained across a range of soils, it should be defined as an attainable deficit rather than a potential deficit.

Identification of spatial drivers of $FF_{SOC_Attainable_Def}$

Previous work on Australian continental scale spatial modelling of SOC includes mapping of SOC stocks (Viscarra Rossel *et al.* 2014), and SOC fractions (Viscarra Rossel *et al.* 2019; Román Dobarco *et al.* 2022); however, no literature was found on spatial modelling of the $FF_{SOC_Attainable_Def}$. Therefore, a comparison of the spatial drivers of $FF_{SOC_Attainable_Def}$ found in this study was completed against previously published Australian literature on total SOC and HOC. Similar to the current analysis of $FF_{SOC_Attainable_Def}$ spatial modelling (0–0.10 m and 0.10–0.30 m), Viscarra Rossel *et al.* (2014) reported that for the Australian cool temperate and temperate-Mediterranean regions rainfall was a key driver of the SOC stocks (0–0.30 m). Viscarra Rossel *et al.* (2014) used annual cumulative rainfall as a driver of SOC stocks, while in the current analysis, season rainfall was used in addition to cumulative annual rainfall. Further, Viscarra Rossel *et al.* (2019) identified climate drivers, namely, mean annual temperature, mean annual precipitation, and potential evapotranspiration, as the highest ranking important variables for estimating SOC using a global variable importance analysis for the continental scale mapping of the HOC. Similar climate drivers governed the spatial distribution of $FF_{SOC_Attainable_Def}$ in the current study for both depth intervals (Fig. 7). Román Dobarco *et al.* (2022), used isometric log-ratio transformation (ilr) for the SOC fractions, namely POC, HOC and ROC, instead of mapping those fractions using individual models. Therefore, direct comparison with the current study is not feasible. However, their two ilr models revealed that radiometric and climate variables were key drivers for the respective models fitted for the considered depth intervals, namely 0–0.05 m, 0.05–0.15 m, and 0.15–0.30 m. Only one radiometric variable was included in the current study when considering the top 15 variables based on VIP ranking. Overall, it can be concluded that key drivers of the $FF_{SOC_Attainable_Def}$ followed a similar pattern to drivers of the SOC and its fraction stocks for the Australian context.

How the current proposed framework differs from the existing approaches used to quantify soil organic carbon storage

As discussed earlier, our approach was based on defining the $FF_{SOC_Attainable_Def}$ associated with the FF_{Soil} . Further, we

deployed an empirical approach through the fusion of: (1) measurements of FF_{SOC_Actual} and FF_{Soil} masses; (2) statistical modelling (i.e. quantile and IR/PLSR regression modelling); and (3) spatial upscaling through an ensemble machine learning approach. From the carbon accounting perspective, the total SOC is used to define the carbon storage capacities. This can cause volatility due to residence time of different SOC fractions, particularly labile forms of SOC. On the other hand, the current approach is conservative and focuses on the more stable forms of SOC resulting in less vulnerability and potential volatility. For example, Padarian *et al.* (2021) used an empirical approach to quantify the SOC storage capacity using total SOC stocks through the development of a machine learning quantile regression model. In contrast in the current analysis, the $FF_{SOC_Attainable_Def}$ was derived using the loading of carbon in the FF_{Soil} .

Implications

Current analysis revealed the successful use of the IR/PLSR models beyond the prediction of concentration of SOC and its component fractions and their vulnerability to loss. In fact, IR/PLSR models developed herein for $FF_{SOC_Attainable_Def}$ can be used along with other IR tools to evaluate the current status of the SOC, its composition, vulnerability and attainable space, adding extra value for the landholder and carbon aggregators. These IR-derived datasets will provide a better assessment of the attainable SOC deficit and aid in the derivation of realistic carbon sequestration targets within future carbon projects.

Further, derived spatial estimates and their prediction uncertainties can be used to evaluate the potential of land parcels to increase SOC sequestration in the FF_{Soil} . We have derived the spatial estimates at a resolution of 90 m, which will provide a meaningful insight at the regional scale, but applications are limited to the paddock scale. These derived estimates will provide insight into the attainable mineral-associated SOC that can be further increased. Although these estimates are derived based on the previous and current land management practices, the estimates will provide firsthand information for the aggregators to explore land parcels that have a higher potential to increase SOC, higher ability to retain carbon/permanence through mineral association and minimise the risk of reversal. With the spatialised outputs generated at a 90 m spatial resolution, the outputs could be used as guidance information rather than explicit information. However, the framework developed in the current study could be used to generate project-specific information through a combination of IR/PLSR and spatial modelling using the project specific datasets. For policymakers, these spatial estimates will enable the identification of regions where targeted policies can be implemented when designing SOC projects. For project and policy scale applications, the generated spatial outputs should be used while considering

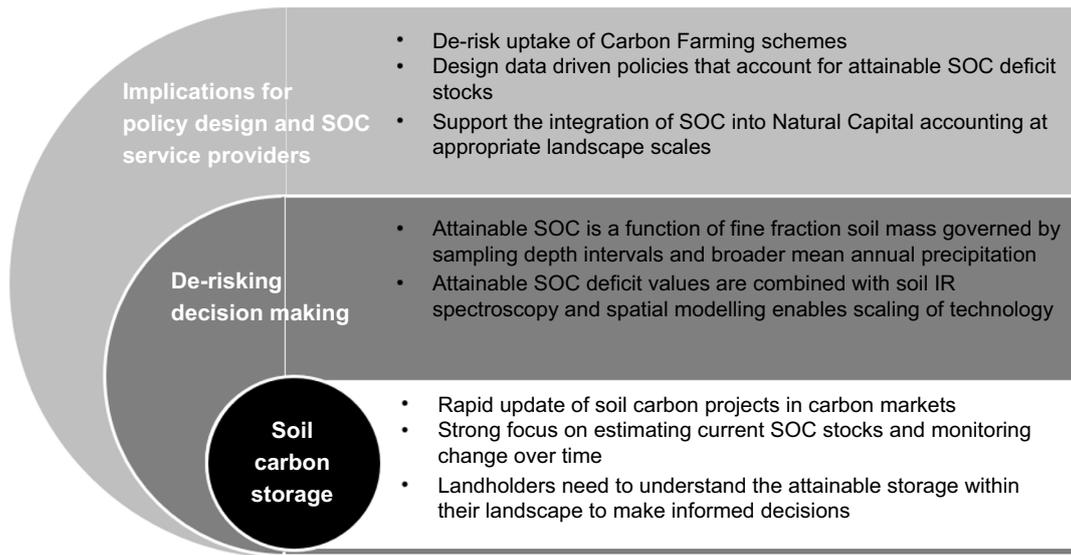


Fig. 9. Pathways to market and linkage to carbon policies for the derived $FF_{SOC_Attainable_Def}$ datasets.

their uncertainties. The graphical summary of the pathways to market and policy directions is depicted in Fig. 9.

Conclusions

The framework developed to evaluate the $FF_{SOC_Attainable_Def}$ used a previously collected dataset generated via the fractionation scheme presented by Baldock et al. (2013a). Continuous update of the derived $FF_{SOC_Attainable_Def}$ values will be required when new datasets covering different or new management practices capable of improving the capture of carbon and its addition to soil become available. The derived $FF_{SOC_Attainable_Def}$ values were based on the previous and current land management practices operating at the time the soils were collected. With improvements to productivity and an emphasis on carbon retention within agricultural systems over the last decade, the $FF_{SOC_Attainable}$ and $FF_{SOC_Attainable_Def}$ values derived for the soils included in this study may require updating through the collection and analysis of new soil samples. Therefore, the analysis framework developed provides a more appropriate mechanism for assessing the potential additional accumulation that is possible within the fine fraction of a soil.

Supplementary material

Supplementary material is available [online](#).

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Data availability. Primary input datasets used in the current analysis are not available publicly due to privacy of the individual landholders. Derived spatial outputs are available through CSIRO subjected to terms and conditions set by the CSIRO under a contractual agreement.

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