

# Wildland fire emission factors in North America: synthesis of existing data, measurement needs and management applications

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**Abstract.** Field and laboratory emission factors (EFs) of wildland fire emissions for 276 known air pollutants sampled across Canada and the US were compiled. An online database, the Smoke Emissions Repository Application (SERA), was created to enable analysis and summaries of existing EFs to be used in smoke management and emissions inventories. We evaluated how EFs of select pollutants (CO, CO<sub>2</sub>, CH<sub>4</sub>, NO<sub>x</sub>, total particulate matter (PM), PM<sub>2.5</sub> and SO<sub>2</sub>) are influenced by combustion phase, burn type and fuel type. Of the 12 533 records in the database, over a third ( $n = 5637$ ) are represented by 23 air pollutants, most designated as US Environmental Protection Agency criteria air pollutants, greenhouse gases, hazardous air pollutants or known air toxins. Among all pollutants in the database, including the most common pollutants PM, CO, CO<sub>2</sub> and CH<sub>4</sub>, records are unevenly distributed with a bias towards flaming combustion, prescribed burning and laboratory measurements. Across all EFs, records are most common for south-eastern and western conifer forests and western shrubland types. Based on identified data gaps, we offer recommendations for future studies, including targeting underrepresented air pollutants, smouldering combustion phases and improved source characterisation of wildland fire emissions.

**Additional keywords:** air quality, greenhouse gas emissions, particulate matter, prescribed fire emissions, smoke management, wildfire emissions.

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## Introduction

Over the past decade, field and laboratory studies have made substantial progress in characterising the chemical composition of wildland fire smoke and quantifying emission factors (EFs) in North America. EFs are defined as the mass of a gas or aerosol pollutant per dry weight unit mass of consumed fuel (Urbanski 2014). Since the seminal review of Andreae and Merlet (2001), several reviews have summarised existing EFs by vegetation type or region (Akagi *et al.* 2011; Yokelson *et al.* 2013; Urbanski 2014), and studies were compiled into a summary dataset using unpublished and published data from the 1960s to 2011 (Lincoln *et al.* 2014). These developments, including several more recent studies, represent a large advancement in emissions characterisation and justify the creation of a master repository of EFs that can be used to produce consistent values applicable for wildland

fire management, smoke modelling and emission inventories in North America.

The Smoke Emissions Repository Application (SERA) presented here is a searchable online database of existing EFs of 276 known air pollutants in standardised units ( $\text{g kg}^{-1}$ ) (<https://depts.washington.edu/nwfire/sera>, accessed 26 November 2019). EF records include modified combustion efficiency (MCE, Yokelson *et al.* 1996), fuel type, study type (laboratory or field), measurement platform (ground, tower or air-based), geographic location and source reference. The database was created to enable analysis and summaries of existing EFs, and creation of average EFs to be used in decision support tools for smoke management, including Consume (Prichard 2007), FOFEM (Reinhardt *et al.* 1997) and the BlueSky Smoke Modelling Framework (Larkin *et al.* 2009), as well as future updates to national emissions

inventories (e.g. US EPA 2017). Prior to updates provided by Urbanski (2014), models that predict wildland fire emissions such as Consume, FOFEM and BlueSky used EFs published within the 2001 Smoke Management Guide (Hardy *et al.* 2001) and supported a relatively small list of pollutants, including particulate matter (PM), carbon monoxide (CO), carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), non-methane organic compounds (NMOCs) and sulfur dioxide (SO<sub>2</sub>). SERA was designed to provide a synthesis of existing EFs and summary values for emissions modelling that can facilitate more rapid incorporation of EFs than was previously available through a relational database maintained by the US Forest Service Pacific Wildland Fire Sciences Laboratory (Seattle, WA).

For many air pollutants, selecting the most appropriate EFs to represent emissions from biomass burning needs to consider the combustion of fuels that burn in smouldering combustion and low-heat pyrolysis phases (e.g. coarse wood and organic soils). Modified combustion efficiency ( $MCE = \Delta CO_2 / (\Delta CO_2 + \Delta CO)$ ) is a measure of the relative fraction of flaming and smouldering combustion, and has been demonstrated to be highly correlated with EFs for pollutant emissions (such as CO, CH<sub>4</sub> and NMOCs) that are more concentrated in smouldering combustion phases (Urbanski 2014). Emissions studies often include a measure of MCE to characterise source combustion phase (Yokelson *et al.* 1996; Urbanski 2014). For example, Urbanski (2013) found that Rocky Mountain wildfire emissions from prescribed fires in moderate to heavy coarse wood had a much lower MCE than that observed in studies of prescribed fires in the south-east, from where the majority of the EFs reported in the literature for NMOCs and PM<sub>2.5</sub> have been derived. More recently, Sekimoto *et al.* (2018) examined relationships between high- and low-temperature pyrolysis and found that these two variables explained most of the variability in non-methane organic gas emissions and outperformed MCE. The relationship between wildland fire emissions and combustion highlights the need to develop algorithms for extrapolating EFs to broadly representative fuel types and burning conditions (e.g. flaming *v.* smouldering and prescribed underburn *v.* crown fire). Because many smoke management issues associated with wildland fire emissions are from residual long-term smouldering sources, characterising the fuels and EFs associated with these burning conditions is critical for fire management applications (Urbanski 2013; Yokelson *et al.* 2013; Hu *et al.* 2018; Peterson *et al.* 2018). Some studies also suggest that factors driving burning conditions including wind, fuel moisture and fire behaviour may more strongly influence pollutant emissions than differences in fuel type or region (Yokelson *et al.* 2013; Koss *et al.* 2018).

EFs are generally presented as best estimates, based on compiled datasets of either fire-average values (i.e. representing pollutant emissions from a combination of concurrent flaming and smouldering fuel consumption) or combustion phase (e.g. flaming, short-term smouldering and residual long-term smouldering). Flaming combustion is defined as high-temperature combustion in the presence of flames. Short-term smouldering combustion, hereafter referred to as smouldering combustion, occurs at lower temperatures without flames and is often associated with incomplete fuel combustion. Long-term residual smouldering is

generally associated with marginally combustible fuels such as coarse wood (i.e. stumps and downed logs >7.6 cm in diameter) and duff that generally have incomplete combustion and smoulder for extended periods (Ottmar 2014).

Smoke management guides have traditionally summarised EFs by major vegetation or fuel type. For example, the 2001 Smoke Management Guide (Hardy *et al.* 2001) compiled pollutant EFs from existing studies of prescribed burns, including EFs summarised from broadcast burned slash of Douglas fir, juniper and ponderosa pine forests (Ward *et al.* 1989), broadcast burned brush (Hardy *et al.* 1996) and wildfires (Hardy *et al.* 1992). Hardy *et al.* (2001) also provided EFs by flaming, short-term smouldering and long-term residual smouldering phases of combustion. Summarising EFs by combustion phase is generally useful for fire managers to inform smoke reduction techniques, such as finding optimal burn windows that maximise flaming combustion and minimise long-term smouldering (MACTEC Federal Programs 2004), which can contribute to greater overall pollutant emissions of PM and CO and nighttime intrusions into communities (Miller *et al.* 2019).

Since the original smoke management guide was published in 2001, a large number of prescribed burn studies and a relatively small number of wildfire emissions studies have been conducted. Urbanski (2014) synthesised fire-average EFs for major pollutant species by burn type (i.e. prescribed burns and wildfires) and region and/or vegetation type. Fire-average flaming and smouldering EFs and their estimated uncertainty were summarised based on six prescribed fire groupings in the US, including south-eastern (SE) conifer, south-western (SW) conifer and north-western (NW) conifer forests, western shrublands and western grasslands, and two wildfire categories including W conifer wildfires and boreal wildfires. Additional residual smouldering EFs were included for coarse wood, temperate forest organic soils and boreal forest organic soils (referred to hereafter as duff). More recently, sampled plumes from western US wildfires suggest that the quantity and composition of fuels burned in summer (May–October) wildfires may substantially increase estimates of pollutant emissions, including greenhouse gases (e.g. CO<sub>2</sub> and CH<sub>4</sub>) and particulate matter (Liu *et al.* 2017).

The objectives of this study were to develop a centralised repository for evaluating and synthesising emissions factor datasets for major fuel types in North America and to provide updates to best-estimate EFs for major pollutant species. Because wildland fire emissions research is a rapidly developing field, many additional studies have been conducted since the Wildland Fire Emissions Factor Database was released in 2014 (Lincoln *et al.* 2014) and the publication of best-estimate EFs by Urbanski (2014). In the present study, we introduce SERA, an online repository of wildland fire EFs and source references, and provide revised and summarised EFs that can be used for smoke management planning for wildland fire events. The web application provides the most complete compilation of wildland EFs to date and a searchable, online database. Using the large sample size for some pollutants, we evaluated how EFs are influenced by combustion phase, burn type and fuel type. We offer recommendations for future studies including targeting under-represented air pollutants and the relative influence of fuel type, measurement platform and combustion phase on estimated pollutant emissions.

**Table 1.** Fields and definitions of the wildland fire emissions database (Smoke Emissions Repository Application; SERA)

Variable name	Definition	Input options or units
EPA_CAP	Critical air pollutant	True or False
EPA_HAP	Hazardous air pollutant	True or False
EPA_GHG	Greenhouse gas	True or False
EPA_TOX	Toxic air pollutant	True or False
Pollutant ID	Unique identification for each record	e.g. 275 = pyrene
Formula or acronym	Pollutant chemical formula or acronym	e.g. CO, CO <sub>2</sub> , PM, PM <sub>2.5</sub>
Pollutant name	Common name of pollutant	
Pollutant name 2	Secondary common name of pollutant	
Other synonyms	Synonyms (other common names)	
Fuel category	Major fuel category (e.g. shrub, herb, coarse wood, duff)	Defined in <a href="#">Table 3</a>
Regional vegetation type	Major vegetation by region	e.g. SE pine, W conifer
Regional vegetation subtype	Subtype of major vegetation/fuel by region	e.g. SE pine – longleaf sandhill, W conifer – Douglas fir crown
Burn type	Type of burn including measurement platform. WF = wildfire, Rx = prescribed burn	Laboratory, WF-Ground WF-Tower, WF-Airborne, Rx-Ground, Rx-Tower, Rx-Airborne
EF	Emissions factor	g kg <sup>-1</sup>
MCE	Modified combustion efficiency	Ratio (0–1)
Combustion phase	Assigned phase based on MCE or CE or field observation. F = flaming, S = smouldering, RS = residual smouldering	F: MCE >0.89, S: MCE 0.8 – 0.89, RS: MCE <0.8
Ref ID	Unique identification for each source reference	e.g. 238 = <a href="#">Aurell et al. (2015)</a>
WFED database ID	Cross reference ID for each reference within the Wildland Fire Emissions Factor Database ( <a href="#">Lincoln et al. 2014</a> )	
Lead author	Lead author of source reference	
Publication year	Publication year of source reference	
Coauthors	List of co-authors for source reference	
Location	Place name (city, town or management area)	
Country	Country (if non-US)	
State/province	State or province	
Burn name	Burn unit name (if applicable)	e.g. Rim Fire, Lolo 1
Measurement date	Date of burn	
Fuel type desc	Description of fuel type	e.g. Douglas fir crown fuels – fine branches and needles

## Methods

As part of a broader study on fire behaviour and emissions funded by the Strategic Environmental Research and Development Program (SERDP), [Lincoln et al. \(2014\)](#) compiled existing emissions factor data from the 1960s through ~2010 into annotated tables, with companion references available to download (<https://www.fs.usda.gov/rds/archive/Product/RDS-2014-0012>, accessed 26 November 2019). We converted the tabular dataset into a relational database and reviewed all source references to add greater attribution and correct input errors, including location, major vegetation type, MCE and/or combustion phase and burn type (e.g. laboratory, prescribed fire or wildfire and measurement platform). Each source reference was reviewed to ensure that the EF observation was fully attributed with fields defined in [Table 1](#). Supported pollutants were assigned a molecular weight, common name, an International Union of Pure and Applied Chemistry database identification number and US Environmental Protection Agency (EPA) Substance Registry Services ID and pollutant category (SRS, [https://ofmpub.epa.gov/sor\\_internet/registry/substreg/LandingPage.do](https://ofmpub.epa.gov/sor_internet/registry/substreg/LandingPage.do), accessed 26 November 2019) to allow cross-referencing to other air quality and pollutant databases. Reported EF units were standardised to grams of pollutant per kilogram of dry weight

biomass consumed (g kg<sup>-1</sup>). In many cases, EFs were converted from lb ton<sup>-1</sup> to g kg<sup>-1</sup> or from g kg<sup>-1</sup>-C to g kg<sup>-1</sup> if the study also reported the fuel carbon content. We did not attempt to convert emission ratio data into EFs. For each study, we added individual burn data as opposed to summary data. We also confirmed that all source records were original EF data and not duplicated in other studies. For records having a measured MCE, combustion phases were assigned as flaming (MCE ≥ 0.9), smouldering (MCE = 0.8 to <0.9) and residual smouldering (MCE <0.8) (after [Akagi et al. 2011](#)). In older records in which CE was reported instead of MCE, we either used the reported phase or same thresholds to assign combustion phase. Once the existing dataset was quality assured and standardised, we added records from recent published studies (2010–18). See Supplementary Appendix 1 for the list of references augmenting the [Lincoln et al. \(2014\)](#) work. Currently, there are 12 533 records in the database from 49 source references.

The goal of SERA was to compile available EF data into a single repository with ease of access to the data. In this sense, all records are treated equally. We did not attempt to identify whether one study might be better than another study. The online, searchable database makes it straightforward to lookup the original references, allowing users to customise data for their particular application.

### Statistical analysis

To evaluate the influence of combustion phase, MCE, burn type and vegetation or fuel type on estimated EFs, we concentrated on major pollutants with sufficient record counts and of high relevancy to wildland fire planners and regulators: carbon dioxide (CO<sub>2</sub>); carbon monoxide (CO); methane (CH<sub>4</sub>); nitrogen oxides (NO<sub>x</sub>); particulate matter (PM<sub>2.5</sub> and total PM); and sulfur dioxide (SO<sub>2</sub>).

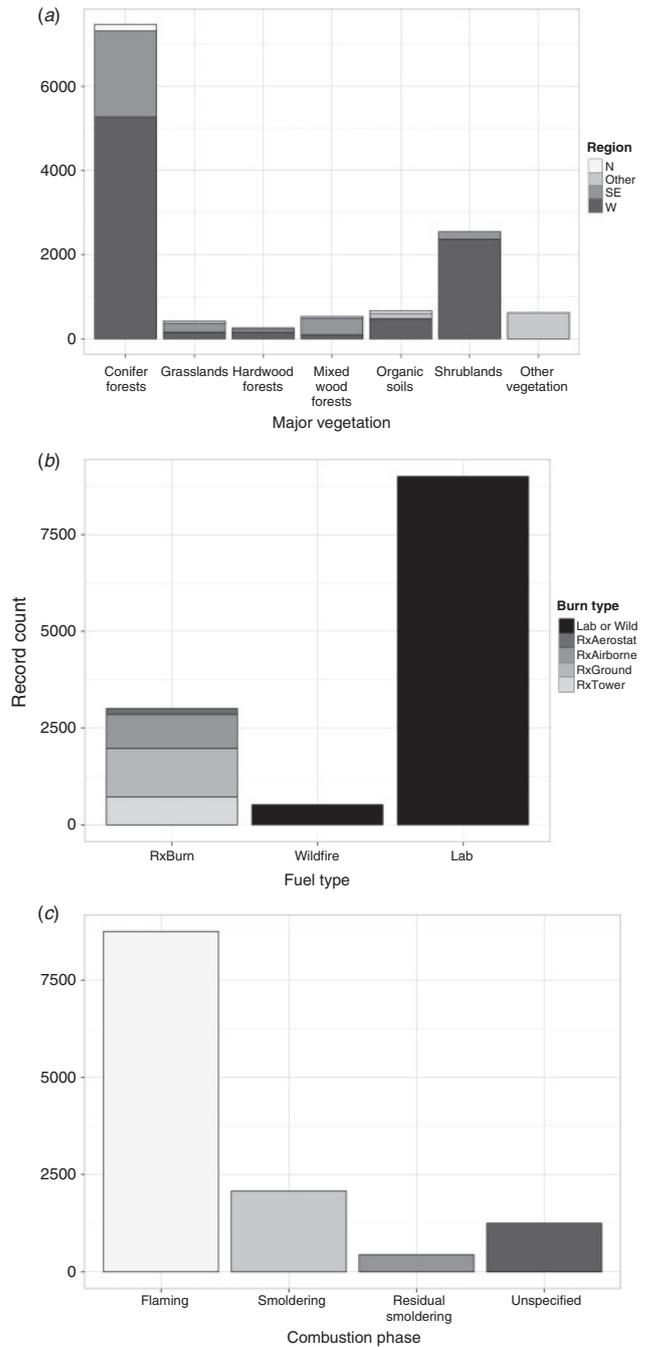
Explanatory variables of EFs were evaluated using Analysis of Variance tests (R statistical programming, ANOVA) (R Studio, Supplementary Appendix 2). Tukey's Honest Significant Difference testing was used to evaluate for significant differences among predictor categories (e.g. combustion phase, burn type or fuel type). Due to unequal distribution of records across predictor variables, one-way ANOVA tests were conducted for each variable and on subsets of pollutant emissions data with sufficient and comparable record counts. For example, combustion phase was evaluated as a potential predictor variable of CO<sub>2</sub> EFs, but because few records were available for the residual smouldering ( $n < 10$ ), only those records recorded as either flaming or smouldering were evaluated.

We evaluated how EFs vary by burn type, which is a combination of fire type (i.e. laboratory, prescribed fire and wildfire) and measurement platform (i.e. ground-based, tower and airborne) (Fig. 1). Due to imbalances in record counts within each burn type category, pollutant datasets were subset to exclude any burn types with insufficient record counts ( $n < 10$ ).

Because vegetation and/or fuel type are generally used to summarise and apply EFs in wildland fire management and emissions inventories, we evaluated regional vegetation type as an explanatory variable of EFs by major pollutant (Table 2). Regional vegetation types were first analysed, subsetting the dataset to exclude sparsely populated types; we also evaluated fuel category, which assigns a dominant fuel (e.g. grass, shrub, pine needles, organic soil) regardless of region for each EF record (also listed in Table 2). Fuel consumption is influenced by a range of factors, including mass of available fuel, fuel moisture, surface area-to-volume ratios, packing ratio and presence of volatile compounds (Ottmar 2014). In the absence of fuel moisture content data for each EF record, regional vegetation type may provide a surrogate for climatic differences in site moisture. In contrast, comparing EFs among fuel categories evaluates potential differences in inherent combustion properties, including differences in packing and surface area-to-volume ratios.

### Sample application

To demonstrate how EFs can be used for prescribed burn planning, we used a dataset of prescribed understorey burns in SE and W conifer forests of the US that reported mean fuel consumption by major surface fuel category (Prichard *et al.* 2017). For PM<sub>2.5</sub>, we had sufficient records in SERA to calculate EFs specific to flaming and smouldering consumption in addition to a fire-average short-term flaming and smouldering EF. For fuel categories that contribute to long-term smouldering, we used the residual coarse wood EF of 33 g kg<sup>-1</sup> and residual duff EF of 50 g kg<sup>-1</sup>, after Urbanski (2014). Currently, there are no empirical models to estimate consumption by combustion



**Fig. 1.** Emissions factor record counts by (a) region and vegetation type, (b) burn type, including prescribed burn emissions sampled by aerostat (Rx-Aerostat), airborne (Rx-Airborne), ground (Rx-Ground) and tower (Rx-Tower) measurement platforms, laboratory burns (Laboratory) and wildfire emissions sampled using airborne platforms (Wild-Airborne) and (c) combustion phase. See Table 1 for variable definitions.

phase, and consumption was not reported by phase in Prichard *et al.* (2017). The two main operational consumption models in the US have different ways of allocating consumption into flaming *v.* smouldering consumption and making emissions factor assignments. Consume *v.* 5.0 assumes a generic proportion

**Table 2. Regional vegetation type and fuel category definitions**

Regional vegetation type	Definition	Fuel category	Definition
<i>Northern</i>			
N conifer forests	Boreal and sub-boreal conifer forests (e.g. black spruce, white spruce forests)	Duff Grass	Organic soils Grassland
N duff	Boreal and sub-boreal organic soil	Hardwood	Broadleaf deciduous forest (understorey fuels)
N grass	Boreal and sub-boreal grassland	Mixed conifer	Mixed conifer forest (understorey and canopy fuels)
N hardwood	Boreal and sub-boreal broadleaf deciduous forest	Other	
N mixed wood	Boreal and sub-boreal mixed broadleaf and conifer forest	Pine needles Pine shrub	Pine forest with needle layer understorey Pine forest with shrub understorey
<i>South-eastern</i>			
SE duff	South-eastern organic soil	Pine forest understorey	Pine forest understorey (general)
SE grass	South-eastern grassland	Shrub	Shrubland
SE hardwood	South-eastern broadleaf deciduous and evergreen forest	Slash	Logging slash
SE mixedwood	South-eastern mixedwood forests		
SE pine forests	South-eastern pine forests (e.g. loblolly and longleaf pine forests)		
SE shrub	South-eastern shrublands		
<i>Western</i>			
W conifer forest	Western mixed conifer and ponderosa pine forests		
W duff	Western organic soil		
W grass	Western grassland		
W mixedwood	Western mixed broadleaf and mixed conifer forest		
W shrub	Western shrubland		
W hardwood	Western broadleaf deciduous forest		
<i>Other</i>			
Other	Other fuel types that are not regionally specific (e.g. lumber, excelsior)		
Other duff	Other organic soil types		

for each fuel category (e.g. herbs, shrubs, 1-h wood, 1000-h wood, litter or duff) to partition consumption values in flaming, smouldering and residual consumption (Prichard 2007). In contrast, FOFEM uses the BURNUP model (Albini and Reinhardt 1997) to calculate intensity of the burn with a threshold of  $>15 \text{ kW m}^{-2}$  representing flaming combustion and  $<15 \text{ kW m}^{-2}$  representing smouldering combustion. For these sample calculations, we used Consume's assumed proportional consumption by combustion phase to allocate the average fuel consumption reported for south-eastern forest and western ponderosa pine sites in Prichard *et al.* (2017) into flaming, smouldering and residual smouldering phases. Emissions by fuel category were then estimated by two methods – first by calculating flaming, smouldering and residual emissions by fuel category, and second by estimating total emissions using a fire-average EF. Variability in total emission estimates were also calculated using a single standard deviation of EF ( $\pm 1$  s.d.).

## Results

Of the 12 533 records in the database, 45% ( $n = 5637$ ) are represented by 23 air pollutants, most of which are designated as EPA criteria air pollutants (CAP), greenhouse gases (GHG), hazardous air pollutants (HAP) or known air toxins (TOX) (Table 3). A list of the 274 pollutants currently supported in the database, including record counts and summary statistics for EF and MCE, is available as an online appendix table (Supplementary Appendix 3). Among all pollutants in the

database, including the most common pollutants (e.g. PM, CO, CO<sub>2</sub> and CH<sub>4</sub>), records are unevenly distributed with a bias towards flaming combustion, prescribed burning and laboratory measurements. Overall, EF records are most common for SE and W conifer forests and W shrubland types of the US (Fig. 1). Considering EF records by fuel category, EF records are most numerous for western mixed conifer, south-eastern and western pine understorey fuels and western shrublands.

### Carbon dioxide and carbon monoxide

CO<sub>2</sub> and CO are well represented in the database, with a total of 578 and 640 records respectively, excluding outliers. Early emission studies did not generally record combustion phase (MCE or combustion efficiency, CE), and more than a quarter of records lack an assigned phase. Of the records with an assigned phase, around 50% of CO<sub>2</sub> and CO EFs were sampled during flaming combustion. Although there is a positive relationship between CO<sub>2</sub> and MCE, the relationship is weak ( $R^2 = 0.4113$ ,  $P < 0.0001$ , Fig. 2). There are significant differences in CO<sub>2</sub> EFs among flaming, smouldering and residual smouldering combustion phases, with the highest EFs in flaming and lowest EFs in residual smouldering (Fig. 3).

Regional vegetation type is not a strong predictor of CO<sub>2</sub> EFs, with only a significant difference between SE shrub and SE pine CO<sub>2</sub> EFs. Comparing fuel categories, duff EFs are significantly lower than most other categories (e.g. grass, mixed conifer, mixed wood, pine forest understorey, shrub and slash).

**Table 3. Pollutants with a minimum of 100 record counts in the Smoke Emissions Repository Application (SERA), including record counts (*n*) and summary statistics of reported emission factors (EFs) including mean and standard deviation (s.d.)**

Pollutant categories include US Environmental Protection Agency Criteria Air Pollutant (CAP), greenhouse gas (GHG), hazardous air pollutant (HAP) and toxic air pollutant (TOX). Summary values exclude outlier and residual smouldering EFs but otherwise include all records in the database, including 'other' vegetation types and records without a regional fuel group assignment. MCE, modified combustion efficiency

Pollutant name	Category	Acronym or formula	Molecular weight	<i>n</i>	Mean EF	s.d.	Mean MCE	s.d.
Acetic acid		C <sub>2</sub> H <sub>4</sub> O <sub>2</sub>	60.052	153	2.13	2.03	0.92	0.04
Acetylene		C <sub>2</sub> H <sub>2</sub>	26.038	291	0.83	1.27	0.93	0.04
Ammonia	CAP, TOX	NH <sub>3</sub>	17.031	216	1.55	1.87	0.91	0.07
Black carbon		BC		115	0.96	1.00	0.93	0.03
Carbon dioxide	GHG	CO <sub>2</sub>	44.009	597	1549.98	312.47	0.91	0.06
Carbon monoxide	CAP	CO	28.010	640	103.51	58.25	0.90	0.06
Ethene	TOX	C <sub>2</sub> H <sub>4</sub>	28.054	261	1.10	2.12	0.93	0.04
Formaldehyde	HAP, TOX	H <sub>2</sub> CO	30.026	204	1.59	1.13	0.92	0.04
Formic acid	TOX	HCOOH	46.025	192	0.33	0.41	0.93	0.03
Furan	TOX	C <sub>2</sub> H <sub>4</sub> O	68.075	179	0.34	0.36	0.92	0.04
Hydrogen cyanide	HAP, TOX	HCN	27.026	188	0.46	0.59	0.92	0.04
Methane	GHG	CH <sub>4</sub>	16.043	451	5.53	14.76	0.90	0.06
Methanol	HAP, TOX	CH <sub>3</sub> OH	32.042	219	1.44	1.44	0.92	0.04
Nitric oxide	CAP	NO	30.006	193	2.29	2.08	0.93	0.04
Nitrogen dioxide	CAP	NO <sub>2</sub>	46.005	160	1.26	1.16	0.94	0.03
Nitrogen oxides	CAP	NO <sub>x</sub>		117	3.36	3.73	0.90	0.09
Nitrous acid		HNO <sub>2</sub>	47.013	164	0.49	0.24	0.93	0.03
Non-methane hydrocarbons		NMHC		112	5.87	4.60	0.86	0.08
Particulate matter 2.5 µm	CAP	PM <sub>2.5</sub>		337	27.87	43.09	0.90	0.07
Phenol	HAP, TOX	C <sub>6</sub> H <sub>6</sub> O	94.113	137	0.71	0.80	0.93	0.03
Propene	TOX	C <sub>3</sub> H <sub>6</sub>	42.081	295	0.68	1.11	0.92	0.04
Sulfur dioxide	CAP	SO <sub>2</sub>	64.058	127	1.11	0.72	0.93	0.03
Total particulate matter		PM		289	23.57	25.69	0.87	0.08

Pine forest understorey EFs have a narrow range of observed values and are significantly higher than some other fuel categories (e.g. duff, slash, pine needles). When only flaming CO<sub>2</sub> EFs are considered, no significant differences are found among fuel categories. However, when considering only smouldering CO<sub>2</sub> EFs, duff EFs are significantly lower than mixed conifer and pine forest understorey fuels, and mixed conifer EFs are significantly higher than grass.

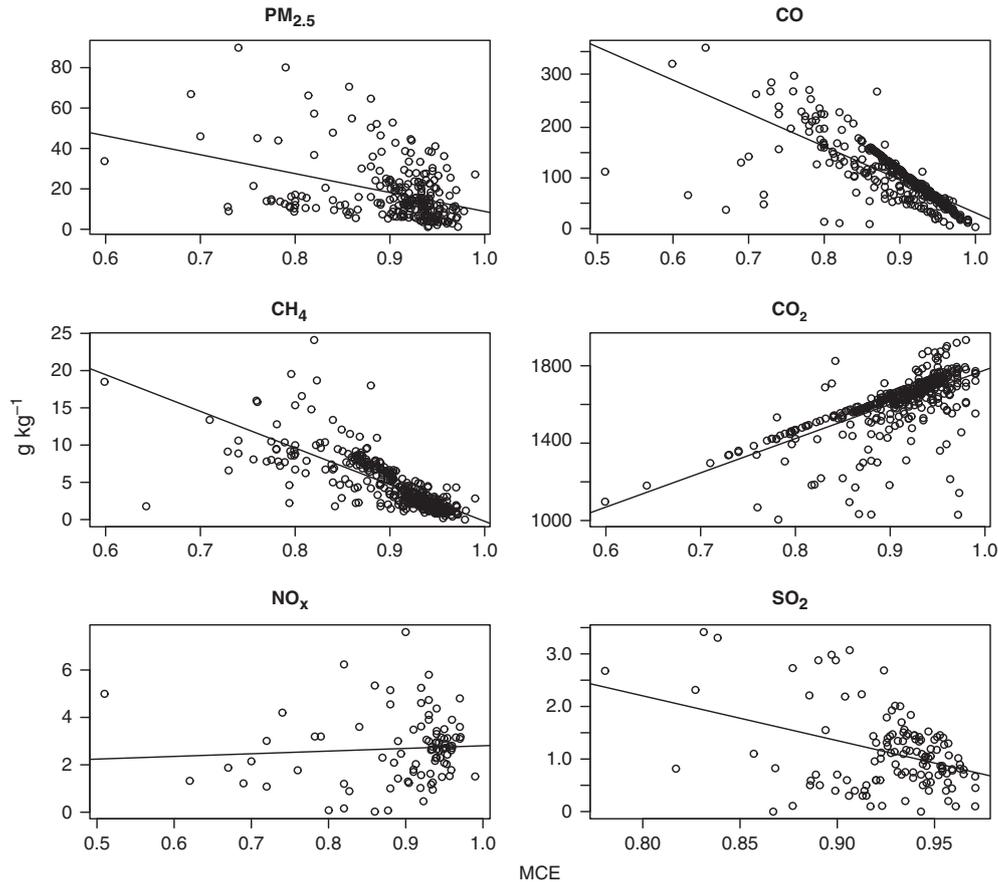
Among burn types (Laboratory, Rx-Air, Rx-Ground and WF-Air), laboratory CO<sub>2</sub> EF values have the widest representation and range of observed values, with no significant difference among other burn types. Rx-Tower observations range widely but are significantly lower than Rx-Ground and WF-Air. When CO<sub>2</sub> EFs are partitioned into flaming and smouldering combustion classes, no significant differences are found among burn types.

The CO EFs are significantly different among combustion phases, with highest and most variable CO EFs in the residual smouldering phase, moderate EFs in smouldering and lowest EFs in flaming combustion (Fig. 3). CO is negatively related to MCE ( $R^2 = 0.6444$ ,  $P < 0.0001$ , Fig. 2). Regional vegetation types and fuel categories are unevenly distributed among CO EFs. CO EFs are generally not statistically different among regional vegetation, with only significant differences between SE grass and W conifer. However, there are notable differences in CO EFs by fuel category with significantly higher duff EFs than some other fuel categories (grass, pine understorey and shrub), and also differences between slash and shrubs, grass and pine understorey fuels, and between grass and shrub. Due to

significant differences by combustion phase, CO EF datasets are subset into combustion phases to evaluate the influence of burn type and fuel type. Flaming CO EFs significantly differ among tested fuel categories, with significantly lower values in grass and slash than the other types. Smouldering CO have low record counts, and comparisons can only be made among duff, mixed conifer, shrub and slash. Smouldering CO values are widely distributed but are significantly higher in mixed conifer than in shrub fuels. Overall, CO EFs are significantly higher in Rx-Tower than Laboratory, Rx-Airborne and Rx-Ground.

#### Methane

There are 389 records of CH<sub>4</sub> in the database, with 59% of observations representing flaming combustion. CH<sub>4</sub> is negatively correlated with MCE ( $R^2 = 0.7144$ ,  $P < 0.0001$ , Fig. 2), but the linear model has a wide scatter in residuals, particularly with lower MCE values. CH<sub>4</sub> EFs are significantly different between flaming, smouldering and residual smouldering combustion phases, with the highest EFs in residual smouldering and lowest in flaming (Fig. 3). Only nine observations are available for the residual smouldering phase of combustion and are widely distributed. Regional vegetation is a significant predictor of CH<sub>4</sub> EFs. EFs for W conifer are significantly higher than SE grass, and W duff EFs are significantly higher than N conifer, SE grass, SE pine and W shrub. EFs also significantly differ by fuel category, with significantly higher EFs in coarse wood and duff than all other fuel categories except coarse wood v. duff. Mixed conifer EFs are significantly lower than coarse



**Fig. 2.** Correlation plots between modified combustion efficiency and emission factor of major pollutants including  $\text{PM}_{2.5}$ , CO,  $\text{CH}_4$ ,  $\text{CO}_2$ ,  $\text{NO}_x$  and  $\text{SO}_2$ .

wood and duff, but are significantly higher than grass, pine–shrub understorey, pine–understorey and shrubs. Slash is significantly higher than grass and pine–shrub understorey.

Considering only flaming combustion, mixed conifer has greater EFs than grass, pine forest understorey, shrub and slash fuel categories. However, there are no significant differences in smouldering EFs by fuel category.  $\text{CH}_4$  EFs also significantly differ by burn type, with significantly higher EFs in Rx-Tower and WF-Air burns than in Laboratory and Rx-Ground burns. Considering only flaming combustion, WF-Air  $\text{CH}_4$  EFs are significantly higher than other burn types. However, there are no significant differences among burn types in smouldering  $\text{CH}_4$  EFs.

#### Particulate matter

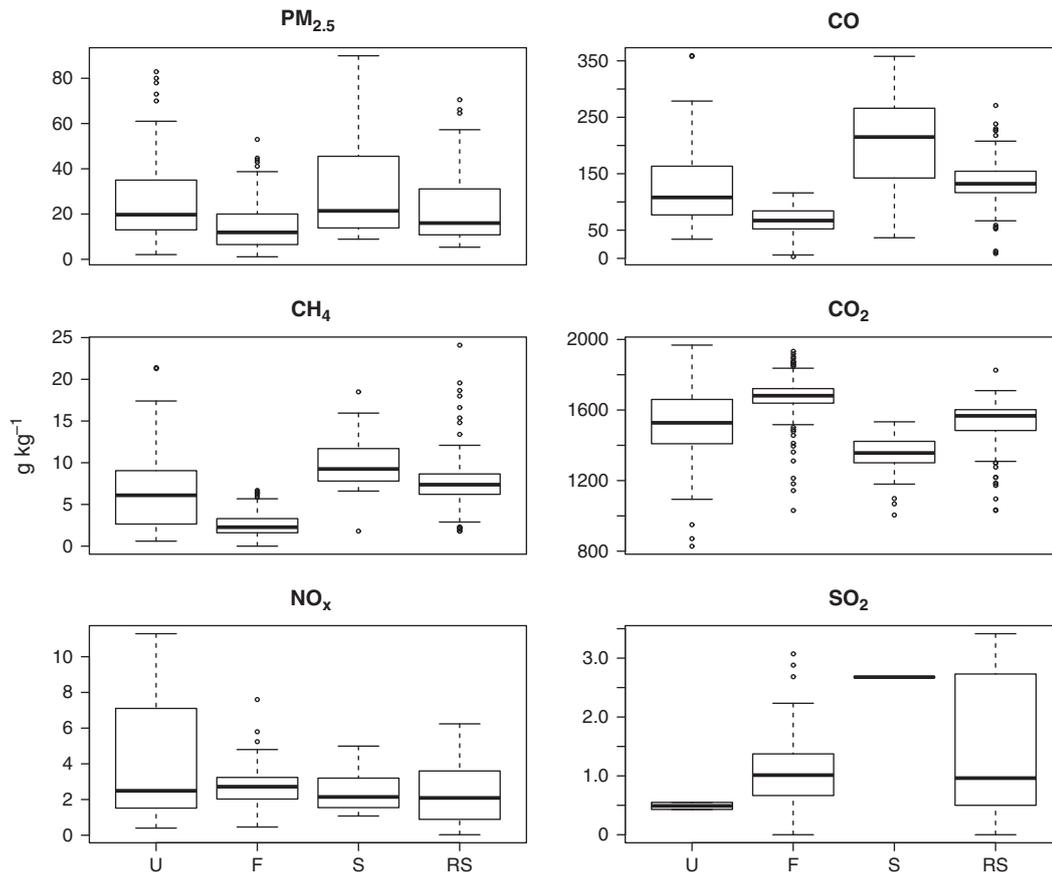
Particulate matter records are recorded across a range of size fractions in the database (i.e.  $<1 \mu\text{m}$ ,  $<2 \mu\text{m}$ ,  $<2.5 \mu\text{m}$ ,  $<3 \mu\text{m}$ ,  $<4 \mu\text{m}$  and total PM). The most common records are for total PM and  $\text{PM}_{2.5}$ . Because the majority of PM is represented by the  $<2.5 \mu\text{m}$  size classes, we evaluated  $\text{PM}_{2.5}$  EFs and also grouped all records in a generic PM category to evaluate the larger dataset.

$\text{PM}_{2.5}$  EFs are negatively related to MCE, with a wide scatter in model residuals at lower MCE values ( $R^2 = 0.4735$ ,  $P < 0.0001$ , Fig. 2). Of the records with MCE values, observations are most represented by flaming combustion ( $n = 177$  records

$v. n = 45$  smouldering and  $n = 11$  residual smouldering) (Fig. 3). Even with a wide spread in observed values,  $\text{PM}_{2.5}$  EFs significantly differ by flaming and smouldering combustion phase. Considering all  $\text{PM}_{2.5}$  EFs, there are no significant differences among burn types. However, smouldering  $\text{PM}_{2.5}$  EFs are significantly higher in laboratory burns than in Rx-Ground and Rx-Tower observations.

$\text{PM}_{2.5}$  EF observations are generally too unevenly distributed to evaluate statistical differences among regional vegetation types with the majority of observations in SE pine and W conifer (Fig. 1).  $\text{PM}_{2.5}$  EFs are significantly higher in SE pine than W conifer. Comparisons among fuel categories are also challenged by imbalanced data with the majority of observations being in pine understorey fuels. Considering only flaming  $\text{PM}_{2.5}$  emissions, pine forest understorey EFs are significantly greater than shrub EFs. Comparing smouldering  $\text{PM}_{2.5}$  emissions, shrub and pine forest understorey emissions are significantly higher than slash fuels.

Total PM EFs have higher record counts ( $n = 580$ ) but follow similar trends with  $\text{PM}_{2.5}$  emissions ( $n = 266$ ). PM EFs are weakly and negatively correlated with MCE ( $R^2 = 0.1478$ ). Flaming PM EFs are significantly lower than smouldering and residual smouldering PM EFs. There are few significant differences among burn type; laboratory PM EFs are significantly



**Fig. 3.** Box and whisker plots of emission factor by combustion phase (U, undesigned; F, flaming; S, smouldering; RS, residual smouldering combustion phases) and major pollutant (PM<sub>2.5</sub>, CO, CH<sub>4</sub>, CO<sub>2</sub>, NO<sub>x</sub> and SO<sub>2</sub>).

higher than Rx-Airborne and Rx-Tower observations, and Rx-Ground PM EFs are significantly higher than Rx-Airborne values. PM EFs are significantly higher in western shrub than in SE pine and SE shrub. Also, PM EFs are higher in shrub than in grass and pine needle fuel categories, and higher in pine understory fuels than in grass and slash. Smouldering PM values are rare, and the only possible comparison was between pine understory fuels, which were significantly higher than slash EFs.

#### Nitrogen oxides

Nitrogen oxides are represented as NO, NO<sub>2</sub> and NO<sub>x</sub> in database records, and records are combined in this analysis to maximise the number of observations ( $n = 462$ ). NO<sub>x</sub> is weakly correlated with MCE ( $R^2 = 0.0694$ , Fig. 2). There are also no significant differences between combustion phases and particularly wide distributions of values in the residual smouldering phase (Fig. 3). Most NO<sub>x</sub> observations were sampled in laboratory burns ( $n = 427$ ), and although sample sizes are too unevenly distributed for statistical comparisons, Laboratory EFs are substantially higher than Rx and WF EFs. Although there are sufficient record counts to compare NO<sub>x</sub> EFs among regional vegetation and fuel categories, only W shrub is significantly different (higher) than the SE Pine

vegetation types, and shrub fuel EFs are greater than Pine forest understory and slash fuel categories. Among flaming NO<sub>x</sub>, only shrub EFs are significantly different (higher) than pine understory fuels. Record counts are low for smouldering NO<sub>x</sub> observations, and there is no statistical difference among fuel categories.

#### Sulfur dioxide

Of the 127 SO<sub>2</sub> EFs, 82 were sampled during the flaming phase of combustion. SO<sub>2</sub> EFs are negatively but weakly correlated with MCE ( $R^2 = 0.1436$ , Fig. 2), with significant differences between flaming and smouldering combustion phases (Fig. 3). Almost all SO<sub>2</sub> values were collected in the laboratory, with an emphasis on W shrubs and W conifer vegetation types (Figs 2 and 3). Due to the uneven distribution of EFs within regional vegetation types and fuel categories, only a few statistical comparisons are possible. Of these, W conifer SO<sub>2</sub> EFs are statistically higher than western shrubs. Of the fuel categories, shrub EFs are significantly lower than duff and mixed conifer EFs.

#### Updated EFs by combustion phase

To meet land manager needs for EFs by combustion phase and vegetation type, we used SERA to produce a standardised

**Table 4. Summarised emission factors (g kg<sup>-1</sup>) for the south-eastern US by combustion phase for particulate matter <2.5 µm (PM<sub>2.5</sub>), carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), methane (CH<sub>4</sub>), ammonia (NH<sub>3</sub>), sulfur dioxide (SO<sub>2</sub>), nitrogen oxide (NO), nitrogen dioxide (NO<sub>2</sub>) and oxides of nitrogen (NO<sub>x</sub>)**

Mean, mean emission factor (g kg<sup>-1</sup>); s.d., standard deviation (g kg<sup>-1</sup>); *n*, count of data points; fire-average F/S, combined flaming, smouldering and unspecified combustion phases. F, flaming-phase EF, S, smouldering-phase EF. Cells are missing when there were insufficient records

Pollutant	Fire-average F/S			F			S		
	Mean	s.d.	<i>n</i>	Mean	s.d.	<i>n</i>	Mean	s.d.	<i>n</i>
<i>Conifer forest (SE pine)</i>									
PM <sub>2.5</sub>	25.29	16.00	102	20.91	10.95	60	29.35	18.23	18
CO <sub>2</sub>	1576.04	248.04	86	1691.78	51.78	39	1462.00	170.14	11
CO	97.09	43.11	109	73.88	21.54	39	165.87	38.09	11
CH <sub>4</sub>	4.12	5.02	47	2.41	1.26	38	11.36	8.06	9
NH <sub>3</sub>	0.82	1.25	31	0.52	0.76	22	1.56	1.87	9
NO	1.86	1.80	28	1.20	0.81	18	0.78	0.94	3
NO <sub>2</sub>	1.23	0.83	23	1.62	0.74	15	0.93		1
NO <sub>x</sub>	3.34	2.80	27	2.43	1.10	15	1.56	0.80	3
SO <sub>2</sub>	0.99	0.40	10	1.06	0.31	7	1.55		1
<i>Shrublands</i>									
PM <sub>2.5</sub>	12.03	4.25	5	12.03	4.25	5			
CO <sub>2</sub>	1707.96	192.21	15	1746.03	95.84	13	1460.5	515.48	2
CO	73.50	17.00	16	70.40	14.01	13	93.75	32.17	2
CH <sub>4</sub>	2.38	0.87	12	2.20	0.45	10	3.30	2.12	2
NH <sub>3</sub>	2.06	2.27	6	1.15	0.50	5	6.60		1
NO	4.71	2.45	7	3.77	2.24	5	7.05	0.78	2
NO <sub>2</sub>	0.95	0.43	3	0.95	0.43	3			
NO <sub>x</sub>	2.86	0.78	4	2.86	0.78	4			
SO <sub>2</sub>	0.74	0.60	6	0.67	0.64	5	1.1		1
<i>Mixed forest</i>									
PM <sub>2.5</sub>	14.78	0.94	4	14.78	0.94	4			
CO <sub>2</sub>	1650.50	61.10	10	1658.33	59.24	9	1580.0		1
CO	84.19	20.30	12	76.87	12.10	9	129.50		1
CH <sub>4</sub>	2.67	1.28	9	2.27	0.45	8	5.90		1
NH <sub>3</sub>	2.13	1.29	5	1.74	1.09	4	3.70		1
NO	3.69	3.09	3	2.39	2.98	2	6.30		1
NO <sub>2</sub>	1.14	0.62	2	1.14	0.62	2			
NO <sub>x</sub>	2.18	1.31	2	2.18	1.31	2			
SO <sub>2</sub>	0.70	0.16	4	0.77	0.12	3	0.50		1
<i>Grasslands</i>									
PM <sub>2.5</sub>	12.080	5.24	10	12.08	5.24	10			
CO <sub>2</sub>	1685.82	81.19	15	1696.38	72.78	14	1538.0		1
CO	68.17	27.16	16	64.6	25.27	14	119.0		1
CH <sub>4</sub>	2.53	1.51	10	2.21	1.20	9	5.4		1
NH <sub>3</sub>	1.30	0.70	4	1.37	0.84	3	1.1		1
NO	5.33	2.14	4	5.83	2.30	3	3.8		1
NO <sub>2</sub>									
NO <sub>x</sub>	3.53	0.40	3	3.53	0.40	3			
SO <sub>2</sub>	0.83	0.34	4	0.93	0.32	3	0.5		1

dataset of summarised EFs for PM<sub>2.5</sub>, CO, CO<sub>2</sub>, CH<sub>4</sub>, NH<sub>3</sub>, SO<sub>2</sub> and NO<sub>x</sub> by regional fuel type (conifer forest, broadleaf deciduous forest, mixed conifer and broadleaf deciduous forest, shrubland, grassland and organic soil) for the south-eastern US (Table 4, Box 1), western US and Canada (Table 5), and northern US and Canada (Table 6). Summary EFs are listed by combustion phase, including a fire-average EF value, representing short-term flaming and smouldering emissions, in addition to separate flaming EF and smouldering EFs where available. Due to uneven record counts, EFs by combustion phase are only available for some pollutant–region

combinations, and highlight data gaps for some pollutants. Slash EFs are excluded in these summary tables, using the ‘exclude slash’ option in SERA.

Table 7 presents sample calculations of estimated PM<sub>2.5</sub> emissions for SE pine and W pine forests. For SE pine forests, using specific EFs to estimate flaming, smouldering and residual emissions resulted in a lower estimated total PM<sub>2.5</sub> emissions than the fire-average EFs. In contrast, estimates using the two approaches were markedly similar in the W pine example, reflecting the importance of coarse wood and duff on total emissions.

**Box 1. Using summarised emission factors to estimate wildland fire emissions**

There are two approaches to estimating PM<sub>2.5</sub> emissions using summaries in Tables 4 and 5 to explore differences in estimated values using comparative approaches. We use EF values available for south-eastern conifer (Table 4) and western mixed conifer forests (Table 5) to represent flaming and smouldering emissions as well as fire average (flaming and/or smouldering; F/S) emissions. Following Urbanski (2014), we use the recommended residual coarse wood EF of 33 g kg<sup>-1</sup> and residual duff EF of 50 g kg<sup>-1</sup> to estimate residual emissions from coarse wood and duff. Using estimates of flaming, smouldering and residual smouldering consumption, based on assumed proportions in Consume v5.0 (see Eqn 1), we can multiply consumption in Mg ha<sup>-1</sup> by the recommended EF by phase (g kg<sup>-1</sup>) to calculate PM<sub>2.5</sub> emissions (kg ha<sup>-1</sup>).

Site	Flaming EF (g kg <sup>-1</sup> )	Smouldering EF (g kg <sup>-1</sup> )	Residual Smouldering Coarse wood (g kg <sup>-1</sup> )	Residual smouldering duff (g kg <sup>-1</sup> )	Fire average EF (F/S) (g kg <sup>-1</sup> )
SE conifer	20.91	29.35	33	50	25.29
W conifer	13.5	20.05	33	50	14.32

**Sample calculations**

To estimate emissions from sound coarse wood (7.6 to 22.9 cm diameter) in a south-eastern US forest with hurricane damage, we can apply three separate EFs to represent flaming, smouldering and residual consumption (where EFs are expressed in kg Mg<sup>-1</sup> because 1 g kg<sup>-1</sup> = 1 kg Mg<sup>-1</sup>):

$$\begin{aligned} \text{PM}_{2.5} \text{ emissions of coarse wood} = \\ (22.4 \text{ Mg ha}^{-1} \times 0.6 \text{ Flaming} \times 20.91 \text{ kg Mg}^{-1}) + \\ (22.4 \text{ Mg ha}^{-1} \times 0.3 \text{ Smouldering} \times 29.35 \text{ kg Mg}^{-1}) + \\ (22.4 \text{ Mg ha}^{-1} \times 0.1 \text{ Residual} \times 33 \text{ kg Mg}^{-1}) = 552.18 \text{ kg ha}^{-1} \text{ (1)} \end{aligned}$$

For a more general estimate, fire-average EFs are available and can be used instead. For SE conifer forests, this results in a slightly higher estimation of emissions.

$$\begin{aligned} \text{PM}_{2.5} \text{ emissions of 1000-h wood} = \\ 22.4 \text{ Mg ha}^{-1} \times 25.29 \text{ kg Mg}^{-1} = 566.5 \text{ kg ha}^{-1} \text{ (2)} \end{aligned}$$

Where fuel consumption is not available by fuel category, the fire average EF can be used to estimate consumption based on total site fuel loading. This calculation can be appropriate for some fuels such as the SE conifer forest in this example, or potentially significantly underestimate PM<sub>2.5</sub> emissions in sites dominated by heavier fuels and organic soils.

For example, for an SE conifer site dominated by pine litter and shrubs that are mostly consumed in the flaming phase of combustion, the fire average EF may overestimate emissions compared with using the flaming EF:

$$\begin{aligned} \text{Fire-average EF estimate of PM}_{2.5} \text{ emissions} = \\ 13 \text{ Mg ha}^{-1} \text{ consumed} \times 25.29 \text{ kg Mg}^{-1} = 328.77 \text{ kg ha}^{-1} \text{ (3)} \end{aligned}$$

$$\begin{aligned} \text{Flaming EF estimate of PM}_{2.5} \text{ emissions} = \\ 13 \text{ Mg ha}^{-1} \text{ consumed} \times 20.91 \text{ kg Mg}^{-1} = 271.83 \text{ kg ha}^{-1} \text{ (4)} \end{aligned}$$

For a W conifer site dominated by coarse wood, using the fire-average EF may substantially underestimate emissions:

$$\begin{aligned} \text{Fire-average EF estimate of PM}_{2.5} \text{ emissions} = \\ 20 \text{ Mg ha}^{-1} \text{ consumed} \times 14.32 \text{ kg Mg}^{-1} = 286.40 \text{ kg ha}^{-1} \text{ (5)} \end{aligned}$$

$$\begin{aligned} \text{Residual coarse wood estimate of PM}_{2.5} \text{ emissions} = \\ 20 \text{ Mg ha}^{-1} \text{ consumed} \times 33 \text{ kg Mg}^{-1} = 660.00 \text{ kg ha}^{-1} \text{ (6)} \end{aligned}$$

**Discussion**

The online EF database, SERA, was designed as a clearinghouse for field and laboratory-based EFs for Canada and the US. By recording existing EFs by fuel type, MCE and burn type, the tool supports summaries of EFs for use in emissions inventories and wildland fire management. Our sample calculations for SE pine and W pine sites, presented in Table 7, demonstrate that EFs summarised by combustion phase can lead to substantially different emissions estimates than fire-average EFs in certain fuel types. For example, consumption in SE pine sites is dominated by fuels that burn in the flaming phase of combustion. Applying specific EFs for flaming, smouldering and residual smouldering

combustion produced a much lower estimate of total PM<sub>2.5</sub> emissions than the fire-average EF value. In contrast, the W pine site was dominated by coarse wood and duff, and both approaches produced a comparable estimate of total PM<sub>2.5</sub> emissions.

As more EFs are compiled by combustion phase and fire type (e.g. flaming v. smouldering, prescribed v. wildfire), some pollutants that are highly dependent on combustion phase or fire type (e.g. CO, CH<sub>4</sub> and PM<sub>2.5</sub>) may be better characterised to assist with smoke management techniques that identify fuels likely to burn in short- and long-term smouldering combustion and mitigate smoke impacts. Flaming-phase EFs are more represented in SERA than are smouldering and residual smouldering EFs, but in certain vegetation and fuel types, long-term

**Table 5. Summarised emission factors (g kg<sup>-1</sup>) for the western US by combustion phase for particulate matter <2.5 µm (PM<sub>2.5</sub>), carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), methane (CH<sub>4</sub>), ammonia (NH<sub>3</sub>), sulfur dioxide (SO<sub>2</sub>), nitrogen oxide (NO), nitrogen dioxide (NO<sub>2</sub>) and oxides of nitrogen (NO<sub>x</sub>)**

Mean, mean emission factor (g kg<sup>-1</sup>); s.d., standard deviation (g kg<sup>-1</sup>); *n* = count of data points. Fire-average F/S, combined flaming, smouldering and unspecified combustion phases; F, flaming-phase EF; S, smouldering-phase EF

Pollutant	Fire-average F/S			F			S		
	Mean	s.d.	<i>n</i>	Mean	s.d.	<i>n</i>	Mean	s.d.	<i>n</i>
<i>Conifer forest (western pine and mixed conifer)</i>									
PM <sub>2.5</sub>	14.32	5.51	24	13.50	5.18	21	20.05	4.97	3
CO <sub>2</sub>	1629.54	63.43	147	1662.92	52.08	87	1579.40	44.20	58
CO	104.01	34.93	147	79.97	20.85	87	140.30	15.57	58
CH <sub>4</sub>	5.05	2.41	144	3.41	1.33	85	7.59	1.16	57
NH <sub>3</sub>	0.90	0.57	54	0.83	0.53	49	1.74	0.09	3
NO	1.79	1.09	58	1.71	0.70	53	2.60	3.12	5
NO <sub>2</sub>	1.38	0.75	56	1.40	0.71	52	1.05	1.23	4
NO <sub>x</sub>	1.41	0.95	6	1.49	0.77	2	1.08	1.43	2
SO <sub>2</sub>	1.38	0.61	50	1.35	0.54	47	1.73	1.44	3
<i>Shrublands</i>									
PM <sub>2.5</sub>	7.88	2.21	14	7.12	1.22	10	9.79	3.15	4
CO <sub>2</sub>	1587.88	141.8	98	1684.48	82.4	43	1538.81	72.42	7
CO	97.03	54.82	116	63.87	17.6	51	76.32	40.34	17
CH <sub>4</sub>	2.16	1.37	46	1.87	1.16	38	4.14	1.15	6
NH <sub>3</sub>	1.60	1.52	53	1.16	0.98	39	3.13	2.05	12
NO	2.42	1.29	35	2.22	0.91	32	4.53	2.84	3
NO <sub>2</sub>	0.79	0.66	34	0.80	0.67	33	0.50		1
NO <sub>x</sub>	3.33	1.97	35	3.11	1.21	22	2.89	2.40	10
SO <sub>2</sub>	0.73	0.28	27	0.75	0.28	25	0.55	0.21	2
<i>Mixed forest</i>									
PM <sub>2.5</sub>	6.83		1	6.83		1			
CO <sub>2</sub>	1669.50	134.67	4	1669.50	134.67	4			
CO	55.26	22.07	4	55.26	22.07	4			
CH <sub>4</sub>	2.35	1.78	4	2.35	1.78	4			
NH <sub>3</sub>	0.60	0.34	3	0.60	0.34	3			
NO	1.65	1.39	4	1.65	1.39	4			
NO <sub>2</sub>	1.53	1.97	4	1.53	1.97	4			
NO <sub>x</sub>	2.55	1.40	4	2.55	1.40	4			
SO <sub>2</sub>	0.49	0.33	3	0.49	0.33	3			
<i>Grasslands</i>									
PM <sub>2.5</sub>									
CO <sub>2</sub>	1421	208.48	8	1547.3	52.17	5	1210.5	200.83	3
CO	56.26	38.89	8	29.62	11.91	5	100.67	16.68	3
CH <sub>4</sub>	2.90	1.86	5	1.63	0.75	3	4.80	0.84	2
NH <sub>3</sub>	0.56	0.53	4	0.30	0.08	3	1.35		1
NO	2.86	1.58	7	2.77	1.50	5	3.09	2.42	2
NO <sub>2</sub>	3.13	2.36	2	4.80		1	1.46		1
NO <sub>x</sub>									
SO <sub>2</sub>	2.98		1				2.98		1

smouldering can be the largest contributor to pollutant emissions and pose the greatest smoke management challenge. The SERA database also offers a standard clearinghouse for new pollutants that are just being documented in wildland smoke, including a wide range of non-methane organic gases, including volatile organic compounds and other air toxins (Urbanski 2014; Koss *et al.* 2018). It also could be expanded to support EFs in other parts of the world and adapted to incorporate other variables, such as trace indicators of low-heat and high-heat pyrolysis (Gilman *et al.* 2015; Sekimoto *et al.* 2018).

Summarising pollutants in our database by fuel type, burn type and combustion phase revealed several data gaps and a bias in the type of measurements collected to date. Across all pollutants in the database, most EFs were measured during the flaming phase of combustion. Given that some pollutants, such as PM<sub>2.5</sub>, CO, CH<sub>4</sub> and a wide range of NMOCs, are more concentrated in smoke produced in short- and long-term smouldering combustion, a greater emphasis on EF characterisation during these phases is needed. For local smoke management applications including prescribed burning support, there is a particular need to

**Table 6. Summarised emission factors (EFs; g kg<sup>-1</sup>) for northern boreal forests and grasslands by combustion phase for particulate matter <2.5 microns (PM<sub>2.5</sub>), carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), methane (CH<sub>4</sub>), ammonia (NH<sub>3</sub>), sulfur dioxide (SO<sub>2</sub>), nitrogen oxide (NO), nitrogen dioxide (NO<sub>2</sub>) and oxides of nitrogen (NO<sub>x</sub>)**Mean, mean emission factor (g kg<sup>-1</sup>); s.d., standard deviation (g kg<sup>-1</sup>); *n*, count of data points; fire-average F/S, combined flaming, smouldering and unspecified combustion phases; F, flaming-phase EF; S, smouldering-phase EF

Pollutant	Fire-average F/S			F			S		
	Mean	s.d.	<i>n</i>	Mean	s.d.	<i>n</i>	Mean	s.d.	<i>n</i>
<i>Northern forest</i>									
PM <sub>2.5</sub>	10.53	0.81	3	10.53	0.81	3			
CO <sub>2</sub>	1614.26	116.24	11	1624.11	132.23	8	1616.00		1
CO	86.49	35.27	11	72.79	18.41	8	113.00		1
CH <sub>4</sub>	2.60	1.39	12	2.03	0.78	9	4.70		1
NH <sub>3</sub>	1.03	0.48	7	1.10	0.49	6			
NO	2.28	1.64	4	2.94	1.19	3	0.29		1
NO <sub>2</sub>	1.94	1.13	3	2.40	1.13	2	1.03		1
NO <sub>x</sub>	1.28	0.32	2						
SO <sub>2</sub>	0.13	0.06	3	0.13	0.06	3			
<i>Northern grassland</i>									
PM <sub>2.5</sub>	9.89	6.90	4	9.89	6.90	4			
CO <sub>2</sub>	1697.38	39.83	5	1697.38	39.83	5			
CO	64.44	16.18	5	64.44	16.18	5			
CH <sub>4</sub>	2.04	0.99	5	2.04	0.99	5			
NH <sub>3</sub>	0.77		1	0.77		1			
NO	2.27		1	2.27		1			
NO <sub>2</sub>									
NO <sub>x</sub>									
SO <sub>2</sub>									

**Table 7. Comparison of PM<sub>2.5</sub> emissions (g kg<sup>-1</sup>) from representative prescribed burn consumption data for south-eastern US and western US pine ecosystems using two methods**

In the first method, consumption is allocated into flaming (F), smouldering (S) and residual (R) smouldering phases using Consume v5.0 defaults (Prichard 2007). In the second method, emissions by fuel category are estimated by summing the individually calculated flaming, smouldering and residual emissions. Taken from Tables 4 and 5, south-eastern conifer EF values are F = 20.91, S = 29.35, fire-average = 25.29, and western conifer EF values are F = 13.5, S = 20.05, fire average = 14.32. Smouldering and residual smouldering EFs for coarse wood and duff are assumed to be 33 and 50 g kg<sup>-1</sup>, after Urbanski (2014)

Fuel category	Proportion by combustion phase			South-eastern US			Western US		
	F	S	R	Consumption Mg ha <sup>-1</sup>	Individual emissions kg ha <sup>-1</sup>	Fire average emissions kg ha <sup>-1</sup>	Consumption Mg ha <sup>-1</sup>	Individual emissions kg ha <sup>-1</sup>	Fire average emissions kg ha <sup>-1</sup>
Herb	1.00	0.00	0.00	1.00	20.91	25.29	0.00	38.22	38.66
Shrub	1.00	0.00	0.00	3.60	75.28	91.04	0.00	42.59	42.96
1h	0.95	0.05	0.00	0.80	17.07	20.23	0.00	110.76	171.60
10 h	0.90	0.10	0.00	0.60	13.05	15.17	2.70	136.08	178.20
100 h	0.85	0.10	0.05	1.10	24.17	27.82	3.00	43.88	44.39
Sound 1000 h	0.60	0.30	0.10	0.10	2.47	3.30	5.20	561.83	605.00
Rotten 1000 h	0.60	0.30	0.10	0.00	0.00	0.00	5.40	38.22	38.66
Litter	0.90	0.10	0.00	6.90	150.10	174.50	3.10	42.59	42.96
Duff	0.10	0.70	0.20	1.30	61.79	65.00	12.10	110.76	171.60
TOTAL (±1 s.d.)				15.40	364.83	422.36 ± 246.40	31.50	933.35	1080.82 ± 160.65

characterise smoke from residual smouldering combustion of coarse wood and duff (Strand *et al.* 2012; Urbanski 2013). However, smoke from residual smouldering is generally not entrained in smoke plumes and does not affect regional air quality

(Ottmar 2014; Urbanski 2014). Greater understanding of the relative contribution of flaming and short-term smouldering for lofted emissions is also needed; this is difficult to determine without source characterisation of what is actually consumed.

In many past summaries of best available EFs, pollutant EFs were summarised by major vegetation and/or region. However, the lack of relationship between some pollutant EFs and fuel type is notable, and suggests that major vegetation or fuel type is not always an important factor in emissions production. Other studies have also demonstrated a similarity in pollutant EFs across distinctly different ecosystems and fuel complexes, and have suggested that fire environment (fuel moisture, wind, fire behaviour) may be much more relevant to emissions production than fuel type. For example, *Yokelson et al. (2013)* noted that pollutant EFs from markedly different ecosystems (semiarid shrublands and western coniferous canopy fuels) had similar pollutant emissions. In a recent study of western wildfire plumes, *Liu et al. (2017)* reported much higher PM<sub>2.5</sub> EFs than those summarised for prescribed burning, and suggested that differences might be attributed to greater availability of coarse wood and organic soils for consumption during summer wildfire seasons (also see *Urbanski 2013*). *May et al. (2014)* found that dilution and fuel moisture influenced higher organic aerosol EF values in laboratory burns v. field measurements during the third Fire Laboratory At Missoula Experiment (FLAME-III) experiments. Finally, *Chen et al. (2010)* investigated the effects of fuel moisture on various fuel components (e.g. duff, litter, soil, leaves, stems) in laboratory burns and reported increased emissions factors of incompletely oxidised C and N species, such as carbon monoxide (CO) and ammonia (NH<sub>3</sub>) with higher fuel moisture content.

Although substantial advances have been made with instrumentation and characterisation of pollutant emissions in wildland smoke, the science of predicting smoke production, dispersion and chemistry is still rapidly developing. To date, providing best-estimate EFs for smoke management and emissions inventories is challenged by data gaps, inconsistencies in measurement platforms and uncertainty in how EFs should be summarised by vegetation, fuel type and other explanatory variables. In compiling and reviewing the EF literature for SERA, we were challenged by the inconsistencies and redundant reporting of many emissions studies. Many potential records either could not be used to generate best-estimate EFs or required careful parsing to resolve inconsistencies. These included: (1) inconsistency in EF units including g kg<sup>-1</sup>, lb ton<sup>-1</sup>, mol kg<sup>-1</sup>, ppm, g kg<sup>-1</sup>-C and emission ratios with a trace gas such as CO<sub>2</sub> or CO; (2) considerable variability in source characterisation from cursory descriptions of broad vegetation types (e.g. from airborne plume sampling of active wildfires) and detailed descriptions of vegetation and fuels, including moisture content and composition (e.g. some laboratory burns); (3) redundancy in reported datasets across papers (e.g. *Hegg et al. (1990)*; *Radke et al. (1990, 1991)*; *Laursen et al. (1992)*); (4) applicability of reported EFs from experimental studies that varied fuel moistures or immersed fuels in water to improve extractions of target pollutants (e.g. *Chen et al. (2010)*; *Grandesso et al. (2011)*); and (5) differences in reported EFs due to instrumentation (e.g. *Aurell and Gullett 2013*).

To facilitate adding future studies to SERA, we recommend reporting EFs in g kg<sup>-1</sup> of dry weight biomass consumed, in addition to location, major vegetation type, fuel category, MCE, measurement platform, fuel moisture content and burn type. Additional fields that would be optimal to report are listed

in *Tables 1 and 2*. We also recommend reporting EF data for each burn experiment, rather than averages of multiple experiments.

*Tables 4–6* present a synthesis of available EF data split by vegetation types and combustion phase, with the goal of updating the information employed in land manager tools such as Consume, FOFEM and the BlueSky smoke modelling framework. To compare our values with those previously used for emissions predictions and reported in other EF reviews, *Table 8* presents comparisons of SERA summary EF values with those reported in *Andreae and Merlet (2001)*, the 2001 Smoke Management Guide (*Hardy et al. 2001*), *Yokelson et al. (2013)* and *Urbanski (2014)*. Across forested systems, the SERA-based analysis yielded a somewhat higher PM<sub>2.5</sub> EF of 25.29 g kg<sup>-1</sup> for SE conifer than did the other studies, which ranged from 6 to 17 g kg<sup>-1</sup>. This is due to higher reported EF values from *Aurell and Gullett (2013)*, *Strand et al. (2012)* and *Robertson et al. (2014)*. Otherwise, in general, the EFs range from a factor of 3 to an order of magnitude in variability across these references, with the SERA-based data falling within the bounds of previous summaries.

Although much emphasis is placed on selecting appropriate EFs for estimating pollutant emissions, it is important to place EFs in the context of their importance in estimating wildland fire emissions and dispersion. Application of best available EFs and their associated uncertainty is one of many steps in smoke prediction, including fuel characterisation, the amount and type of fuels consumed (e.g. fine wood v. coarse wood), the proportion of lofted smoke and factors influencing dispersion. In ensemble modelling of smoke production and dispersion, uncertainty around EF estimation is eclipsed by even larger uncertainty in fire area (factor of 4; *Larkin et al. (2012, 2014)*), fuel loading (factor of 2; *Drury et al. (2014)*), consumption estimation (23%; *Drury et al. (2014)*) and atmospheric transport (100% uncertainty; *Garcia-Menendez et al. (2013)*). The side panel calculation of emissions by fuel component and combustion phase shows a 20 to 50% difference in emissions estimation based on a single standard deviation of the EF estimate variability. This variability is easily on par with that of fuel consumption, but is still eclipsed by other factors such as the fire size estimate, fuel loading and atmospheric transport. EFs can vary from a factor of 5 to more than an order of magnitude (*Figs 2 and 3*). The side panel calculations illustrate that EF variability remains significant, but that having more detailed information regarding fuels and combustion constrains variability to levels less than other components in the emission calculation stream.

## Conclusion

The present study revealed several important data gaps in EF records. Across all pollutants, more studies are needed for wildfires, residual smouldering and organic soils, and for specific vegetation types including grasslands, northern forests and broadleaf deciduous forests. Wildfires are a large wildland fire emission source regionally, and across the US account for ~16% of the total PM<sub>2.5</sub> in the US EPA National Emissions Inventory (*US Environmental Protection Agency 2018*). The work of *Liu et al. (2017)* suggests that PM<sub>1</sub> EFs from wildfires are more than twice those of prescribed fires because wildfires

**Table 8. Comparison of summary emission factors values ( $\text{g kg}^{-1}$ ) in the Smoke Emissions Repository Application (SERA) and other published studies**

SE, south-eastern US; SW, south-western US; W, western US; NW, north-western US. Cells with missing data have no data available

	Vegetation type	PM <sub>2.5</sub>	CO <sub>2</sub>	CO	CH <sub>4</sub>	NH <sub>3</sub>	NO	NO <sub>2</sub>	NO <sub>x</sub>	SO <sub>2</sub>
<i>SE conifer forests</i>										
SERA	SE conifer forest	25.29	1576	97.09	4.12	0.820	1.860	1.23	3.34	0.99
SERA	SE mixed forest	14.78	1651	84.19	2.67	2.130	3.690	1.14	2.18	0.7
Urbanski (2014)	SE conifer forest	12.58	1703	76.00	2.32	0.140	1.700			1.06
Yokelson <i>et al.</i> (2013)	Pine forest understorey	13.60	1668	72.20	3.01	0.499	0.880	2.68	2.55	1.06
Yokelson <i>et al.</i> (2013)	Conifer canopy	7.44	1670	85.30	3.27	0.936	1.740	1.01	2.4	1.06
<i>W conifer forests</i>										
SERA	W conifer forest	14.32	1630	104.01	5.05	0.900	1.790	1.38	1.41	1.38
Urbanski (2014)	SW conifer forest	14.40	1653	87.00	3.15	0.500	1.880			1.06
Urbanski (2014)	NW conifer forest	17.57	1598	105.00	4.86	1.530	2.060			1.06
Hardy <i>et al.</i> (2001)	Douglas fir/hemlock	10.90	1541	156.00	5.50					
Hardy <i>et al.</i> (2001)	Ponderosa/lodgepole pine	11.00	1601	89.00	4.10					
Hardy <i>et al.</i> (2001)	Mixed conifer	9.40	1583	100.50	6.40					
Hardy <i>et al.</i> (2001)	Juniper	9.35	1616	81.50	6.00					
Yokelson <i>et al.</i> (2013)*	Pine forest understorey	13.60	1668	72.20	3.01	0.499	0.880	2.68	2.55	1.06
Yokelson <i>et al.</i> (2013)*	Conifer canopy	7.44	1670	85.30	3.27	0.936	1.740	1.01	2.4	1.06
<i>Broadleaf/mixed forests</i>										
SERA	W mixed forest	6.83	1670	55.26	2.35	0.600	1.650	1.53	2.55	0.49
Hardy <i>et al.</i> (2001)	Hardwood forest	11.20	1536	128.00	6.60					
Andreae and Merlet (2001)	Extratropical forest	13.00	1569	107.00	4.70	1.400	3.000			1
<i>Shrublands</i>										
SERA	SE shrubland	12.03	1708	73.50	2.38	2.060	4.710	0.95	2.86	0.74
SERA	W shrubland	7.88	1588	97.03	2.16	1.600	2.420	0.79	3.33	0.73
Hardy <i>et al.</i> (2001)	Sagebrush	13.35	1563	103.00	5.95					
Hardy <i>et al.</i> (2001)	Chaparral	8.65	1629	77.00	2.85					
Yokelson <i>et al.</i> (2013)	SW shrubland	7.06	1674	73.80	3.69	1.500	0.771	2.58	2.18	0.681
Urbanski (2014)	General shrubland	7.06	1674	74.00	3.69	1.500	2.180			0.68
<i>Grasslands</i>										
SERA	SE grassland	12.08	1686	68.17	2.53	1.300	5.330		3.53	0.83
SERA	W grassland		1421	56.26	2.90	0.560	2.860	3.13		2.98
Urbanski (2014)	General grassland	8.51	1705	61.00	1.95	1.500	2.180			0.68
Andreae and Merlet (2001)	General cropland	5.40	1613	65.00	2.30	0.60–1.50	3.900			0.35

tend to burn more dead and/or down fuels and live, moist canopy fuels. Grasslands and other rangelands comprise a significant portion of the continental US, and historically have a frequent fire return interval. Fuel loadings can vary greatly depending on seasonality and drought conditions. Duff and organic soils can consume more than an order of magnitude more fuel per unit area than most other fuel types (Akagi *et al.* 2011), and can smoulder and emit for many months after ignition.

Finally, trace gases and aerosols such as non-methane organic compounds are underrepresented in the database. The detection of trace gas and aerosol species has been improving dramatically in recent years – newer studies are identifying more and more pollutant species (e.g. Akagi *et al.* 2011; Urbanski 2014; Liu *et al.* 2017; Koss *et al.* 2018), while other studies are investigating different measurement techniques for the same species (e.g. Aurell and Gullett 2013; Aurell *et al.* 2015; Koss *et al.* 2018). Accounting for this and assessing EF data in light of new technologies and measurement techniques may be necessary in the future. Furthermore, several factors greatly affect EF measurement, such as how these trace gases and aerosols react in the atmosphere (e.g. semi-volatile species condensing and

volatilising), effects of heat and dilution on the in-plume chemistry and also where measurements are made (e.g. close to the source, close to the ground, airborne, etc.). These are all items to consider when analysing EF data.

### Conflicts of interest

The authors declare no conflicts of interest.

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