

# What determines variation in remotely sensed fire severity? Consideration of remote sensing limitations and confounding factors

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## ABSTRACT

Analyses of the effects of topography, weather, land management, and fuel on fire severity are increasingly common, and generally apply fire severity indices derived from satellite optical remote sensing. However, these indices are commonly interpreted with insufficient appreciation for their limitations and may be inappropriately invoked as representing physical fire effects and fire behaviour. For a large wildfire in southeast Australia, we investigated three considerations for inferring robust insights from fire severity analyses – the potentially confounding influences of pre-fire vegetation height and tall vegetation cover, and the choice of fire severity response variable. Using nonparametric regression, we found that different fire severity indices gave rise to substantially different modelled relationships with commonly invoked environmental predictors, as is consistent with dissimilarities in index design. Further, pre-fire vegetation height was a strong control of fire severity, with equivalent importance to weather. Importantly, strong covariation between vegetation height and environmental predictors suggests that modelled fire severity effects are strongly influenced by variation in vertical distance between flames and vegetation, and this confounds fire behaviour insights. To enable more robust and mechanistic insights into the determinants of fire severity, we recommend greater consideration of the meaning and limitations of optical remote sensing indices.

**Keywords:** airborne LiDAR, ecosystems: temperate, fire behaviour, fire intensity, fire severity, remote sensing, spectral indices, vegetation cover, vegetation height.

## Introduction

Relationships between remotely sensed fire severity and topography, fire weather, fuel, and land management have been a focus of substantial recent research. These investigations aim to improve knowledge of the determinants of wildfire severity, behaviour, and suppressibility. Environmental attributes commonly invoked to explain variation of fire severity include fuel treatment and logging (Price and Bradstock 2012; Tolhurst and McCarthy 2016; Taylor *et al.* 2020), topography and fire weather (Bradstock *et al.* 2010; Ndalila *et al.* 2018), and vegetation type and fuel properties (Fang *et al.* 2015; García-Llamas *et al.* 2019; Viedma *et al.* 2020). Insights into the relative contributions of these attributes in determining fire severity may inform management strategies for conservation of flora and fauna (Lentile *et al.* 2006; García-Llamas *et al.* 2019), wildfire suppression and mitigation of property loss (Bradstock *et al.* 2010; Price and Bradstock 2012), and understanding of fire carbon dynamics (Veraverbeke and Hook 2013). Nonetheless, interpreting effects of environmental attributes on fire severity requires close attention to, firstly, what remotely sensed estimates physically represent and, secondly, the potential for confoundedness among variables influencing fire severity variation and those influencing its remote detection.

Fire severity is commonly estimated using remote sensing data, though has no universal definition or unit of measurement. Fire severity is typically interpreted as the degree of organic matter consumption from fire (Keeley 2009), or the magnitude of

immediate post-fire effects on the remaining local vegetation and landscape (Lentile *et al.* 2006; Tolhurst and McCarthy 2016). Both these interpretations are valid and relevant for the purposes of our study.

Despite definitions commonly referring to on-ground fire effects, the linkages between remotely sensed fire severity estimates and physical effects are often unclear or largely ignored (Lentile *et al.* 2006; Roy *et al.* 2006). Differenced fire severity indices derived from optical remote sensing data, such as the differenced Normalised Burn Ratio (dNBR) (Key and Benson 2005), provide useful and cost-effective assessments of fire severity over large areas (Soverel *et al.* 2011). However, rather than being definitive measures of fire severity, optical remote sensing indices are subject to uncertainty arising from the timing of data acquisitions (Veraverbeke *et al.* 2010), sensitivity to land surface illumination effects (Verbyla *et al.* 2008), and the need for local calibration (Miller *et al.* 2009). Further, differenced fire severity indices are not direct descriptors of on-ground processes and have limited correlation to physical attributes such as tree mortality, vegetation consumption, and change to soil properties (Hudak *et al.* 2007). As a result, while comparisons of remotely sensed indices and field-estimated fire severity demonstrate strong correlations, they also suggest substantial uncertainties and biases that require consideration when applying and interpreting these estimates (Roy *et al.* 2006; Miller and Thode 2007; Parks *et al.* 2014a; Tanase *et al.* 2015).

Optical remote sensing indices are commonly applied to investigate environmental determinants of fire severity in forested landscapes (e.g. Oliveras *et al.* 2009; Bradstock *et al.* 2010; Birch *et al.* 2015; Ndalila *et al.* 2018; García-Llomas *et al.* 2019; Taylor *et al.* 2020). For these applications, differenced optical remote sensing indices such as the dNBR and its relativised forms Relative dNBR (RdNBR) (Miller and Thode 2007), and Relativised Burn Ratio (RBR) (Parks *et al.* 2014a) are most commonly used due to their simple formulation and extensive spatial and temporal availability (Yin *et al.* 2020). However, key conceptual foundations and limitations of remotely sensed estimates of forest fire severity are generally poorly considered in their application. For instance, some studies apply externally sourced fire severity estimates with little consideration of their derivation. Further, some studies may invoke fire behaviour inferences, particularly in relation to fireline intensity, crown fire presence, or flammability, from remotely sensed fire severity estimates with little regard for the limitations of these inferences (Heward *et al.* 2013). Given the limitations inherent to optical remote sensing fire severity indices (Roy *et al.* 2006; Miller and Thode 2007; Yin *et al.* 2020), it is unclear what physical and fire behaviour insights can be inferred from investigations of the environmental determinants of these index estimates.

Three recognised traits and limitations of optical remotely sensed fire severity indices may introduce bias to, and

misinterpretation of, analyses of their environmental determinants. First, satellite optical remote sensing indices represent change that is observable from the satellite perspective, and pre-fire Tall Vegetation Cover (TVC), here defined as the cover of vegetation greater than 3 m above ground, can obscure fire effects (Miller *et al.* 2009; Hoe *et al.* 2018; Yin *et al.* 2020). For example, an understory fire will contribute significantly to a satellite optical signal in a sparse TVC forest where the understory vegetation is visible, though the signal from an identical understory fire may be obscured in a forest with dense unburnt TVC (Yin *et al.* 2020). Second, pre-fire vegetation height (VH) influences fire severity and may confound its relationship with fireline intensity, which refers to the rate of fire energy output (Hammill and Bradstock 2006; Keeley 2009). Importantly, TVC and VH may interact with commonly modelled environmental predictors, such as topography and disturbance history. This suggests that modelled fire severity effects may not directly relate to the physical mechanisms that may be inferred. Third, a variety of methods have been developed to estimate fire severity from remote sensing data (Chuvienco *et al.* 2020), requiring evaluation of the meaning, error and bias associated with the method employed. For example, a general distinction can be made between optical fire severity indices that indicate *absolute* change caused by fire, and those that indicate a change that is *relative* to the amount of change that could potentially occur (Miller and Thode 2007; Parks *et al.* 2014a). The degree to which these three considerations affect the results and inferences drawn from studies of the environmental determinants of fire severity has not previously been investigated, but it is important to drawing robust fire management recommendations.

Our objectives were to determine the influence of (a) Tall Vegetation Cover (TVC), (b) Vegetation Height (VH), and (c) choice of fire severity index, in analyses of the environmental determinants of fire severity. We refer to these types of studies as 'fire severity spatial analyses', as distinct from fire severity estimates, methods, or models, which generally refer to spatial fire severity products and their means of derivation (Keeley 2009). Our study focussed on a recent large wildfire in southeast Australia that affected a diversity of forested vegetation types in a variety of topographic settings, providing appropriate scope to investigate potentially confounding influences. We analysed the severity of this wildfire as a function of environmental predictors that are commonly invoked in similar analyses, but with the important addition of pre-fire Light Detection and Ranging (LiDAR) derived TVC and VH variables. We hypothesise that taller VH would be associated with lower fire severity due to increased height of the canopy above flames. We also hypothesise that increased TVC would be associated with lower fire severity due to obscuration of fire effects by the canopy. Finally, we hypothesise that modelled effects of environmental predictors would vary depending on fire severity index response variable. Due to its prominence in

the literature, we focussed on the application of optical remote sensing indices for fire severity analyses in forests. However, elements of our discussion are broadly relevant to the application of other remotely sensed fire severity methods, and studies of non-forested environments.

## Methods

### Study area

The 2019–2020 Australian fire season was characterised by numerous wildfires that affected vast tracts of land in south-eastern Australia, with an estimated combined fire ground area of 5.4 million ha across New South Wales (NSW) and the Australian Capital Territory (ACT) (NSW Department of Planning, Industry and Environment 2020). One of these fires – the Orroral Valley Fire (Fig. 1) – was chosen as a case study to investigate the research objectives due to its unique availability of detailed fire progression information and high pulse density pre-fire LiDAR data. The Orroral Valley Fire burned from late January to early February 2020 and affected an estimated 86 562 ha of primarily native resprouting eucalyptus forest that burnt under varying weather conditions and resulted in a mosaic of fire severity (ACT EPSDD 2020). The structural diversity of fire-affected forest types enables analysis of the effects of diverse pre-fire VH and TVC on remotely sensed fire severity.

### Fire severity estimation

The NBR (Eqn 1) incorporates Near Infrared (NIR) and Short-wave Infrared (SWIR) reflectance and commonly

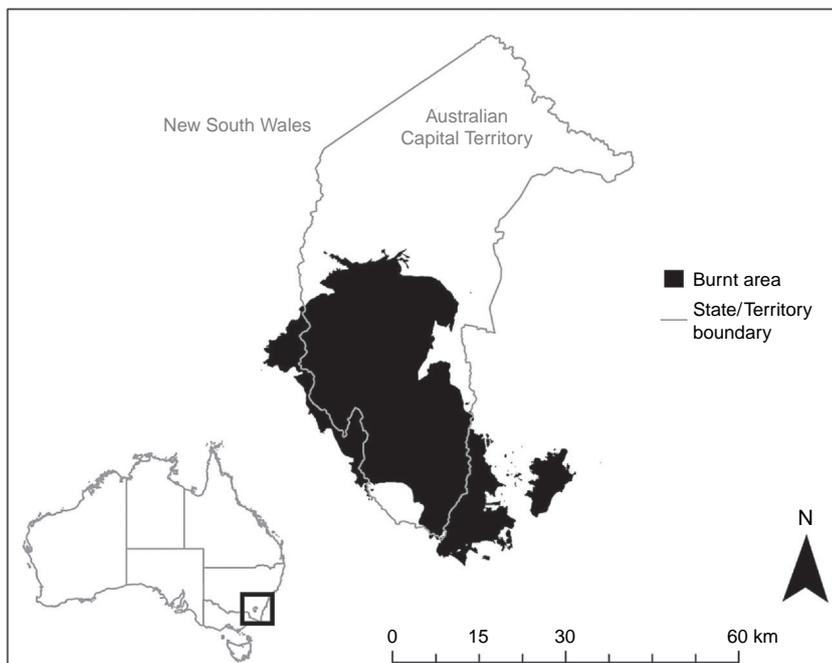
represents a basis for estimating fire severity via comparing pre-fire and post-fire NBR grids. NBR is positively correlated to vegetation leaf area (Roy *et al.* 2006; Massetti *et al.* 2019), soil and foliar moisture (Miller and Thode 2007; Chuvieco *et al.* 2020), and photosynthetic capacity (Levin *et al.* 2012).

$$\text{NBR} = \frac{(\text{NIR} - \text{SWIR})}{(\text{NIR} + \text{SWIR})} \quad (1)$$

The differenced NBR (dNBR), Relative dNBR (RdNBR), and Relativised Burn Ratio (RBR) represent fire severity as a change of gridded NBR. These indices are commonly employed in fire severity spatial analyses (e.g. Kane *et al.* 2013; Collins *et al.* 2014; Ndalila *et al.* 2018; García-Llamas *et al.* 2020; Hislop *et al.* 2020). The dNBR (Eqn 2) quantifies the absolute change of NBR between pre-fire and post-fire image acquisitions (Miller and Thode 2007). The dNBR offset accounts for the background change of NBR between pre- and post-fire dates in the adjacent unburnt area, though its calculation can be subjective (Picotte *et al.* 2020) and it is not recommended for use when the unburnt area may be atypical of the burnt area (Parks *et al.* 2018). Given the extensive area and diversity of vegetation types affected by the Orroral Valley Fire (ACT EPSDD 2020), the dNBR offset was not employed when deriving dNBR due to concern for an offset area being atypical of the fire-affected area.

$$\text{dNBR} = (\text{NBR}_{\text{pre-fire}} - \text{NBR}_{\text{post-fire}}) \times 1000 \quad (2)$$

The RdNBR considers pre-fire NBR as a mathematical denominator (Eqn 3), therefore representing a fire severity estimate that is relative to pre-fire conditions (Miller and Thode 2007).



**Fig. 1.** Location of the fire-affected area within the ACT and NSW. The Orroral Valley Fire is designated as the burnt area within the ACT. The majority of the fire area burned from 27th January to 17th February 2020.

$$\text{RdNBR} = \frac{\text{dNBR}}{\sqrt{|\text{NBR}_{\text{pre-fire}}|}} \quad (3)$$

Finally, the RBR addresses a tendency for the RdNBR to produce anomalous fire severity estimates, when pre-fire NBR is close to zero or negative, via a reformulation of pre-fire NBR in the denominator (Parks *et al.* 2014a, Eqn 4).

$$\text{RBR} = \frac{\text{dNBR}}{(\text{NBR}_{\text{pre-fire}} + 1.001)} \quad (4)$$

The dNBR, RdNBR and RBR were tested as separate response variables when analysing effects of environmental predictors on the severity of the Orroral Valley Fire. While differing in their representation of either absolute or relative estimates of change, these indices use the same optical reflectance bands. Further, the dNBR, RdNBR and RBR have demonstrated robust estimation of fire severity in Australian forest types (Tanase *et al.* 2015; Tran *et al.* 2018; Yin *et al.* 2020), are most commonly applied as direct representations of fire severity (Keeley 2009; Lutz *et al.* 2011), and are common inputs in other remote sensing products (Hudak *et al.* 2004; Gibson *et al.* 2020). These three indices, therefore, give broadly relevant insight into the influence of pre-fire TVC and VH on fire severity spatial analyses, while allowing investigation of differences arising between commonly used estimates.

Pre- and post-fire Sentinel 2A/B Multispectral Instrument images were acquired for the Orroral Valley Fire from the Copernicus Open Access Hub (scihub.copernicus.eu). Level 2A (L2A) reflectance products for the T55HFA Universal Transverse Mercator system tile were sourced, which represents scene-classified, radiometrically and terrain-corrected Bottom

of Atmosphere surface reflectance derived from the Sen2Cor algorithm (Main-Knorn *et al.* 2017). NBR gridded values were derived for the study area at 20 m resolution using cloud-free Sentinel 2A/B images acquired on 25 January 2020 and 15 March 2020, respectively. Invalid reflectance pixels were indicated by Sentinel-2 L2A scene classifications and excluded.

## Predictor variables

Fire progression data and half-hourly weather observations were incorporated to account for variation in fire severity due to weather conditions (Table 1). A combination of airborne linescan data (ACT Emergency Services Agency unpublished data) and satellite multispectral observations from Landsat 8 and Sentinel-2 platforms were used to map the progression of the Orroral Valley Fire. Active fire perimeters were identified and digitised from these sources following manual inspection, and timings of fire arrival were attributed to areas based on corresponding imagery acquisition timings. Half-hourly wind speed, wind direction, temperature and humidity observations were sourced from the nearest weather station (Mount Ginini, station no. 070339, Australian Bureau of Meteorology), which is located approximately 25 km from the centre of the fire and at 1762 m elevation. To indicate weather conditions during the fire, active fire perimeters from linescan data were assigned the temporally closest half-hourly weather observations from the weather station. Rather than combining into a single fire weather index, fire weather variables were modelled as separate predictors as per Collins *et al.* (2007) and Prichard and Kennedy (2014) to avoid assumptions of their relative contributions. Only burnt areas within 200 m of mapped active fire perimeters were

**Table 1.** Predictor variables used in Random Forests Modelling of fire severity indices.

Variable	Description	Value range
Relative humidity	Mt Ginini half-hourly average relative humidity (%)	24–100
Temperature	Mt Ginini half-hourly maximum dry bulb temperature (°C)	2–31
Wind speed	Mt Ginini half-hourly average wind speed (km h <sup>-1</sup> )	4–26
Wind direction	Mt Ginini half-hourly average wind direction (°)	10–320
Slope	Slope (°)	1–54
Aspect	Degrees from grid north (°)	0–180
TPI	Topographic position index (m)	–55–66
TWI	Topographic wetness index	0–30
TSF	Time since fire (years)	5–17
Vegetation type	Major vegetation types: subalpine woodland, wet sclerophyll forest, dry sclerophyll forest	–
Spatial lag	Spatial lag response variable (Eqn 5), specific to each fire severity index	–
VH	LiDAR derived vegetation height (m)	3–43
TVC	LiDAR derived proportion of tall (>3 m) vegetation cover	0.2–0.8

Variables in the upper section are commonly invoked in fire severity spatial analyses, and those in the lower section are additionally invoked specifically in this study. All variables were continuous in format, except vegetation type which was modelled as a categorical variable.

considered to avoid uncertainties regarding fire progression between acquisitions.

Slope, topographic aspect, elevation, and Topographic Wetness (TWI) and Position (TPI) indices were determined for the study area from a Digital Elevation Model (DEM) derived from airborne Light Detection and Ranging (LiDAR) data. Topographic variables were derived at 20 m resolution to align with Sentinel-2 derived fire severity products. The TPI indicates the elevation of a gridded pixel relative to the mean elevation of neighbouring pixels within a distance window, which was set to 400 m following manual inspection of the landscape. The effect of TWI was also modelled to account for variation in moisture associated with the accumulation of water flow. As per Collins *et al.* (2014), aspect was modelled as a continuous measure relative to north, ranging from 0 to 180° (whereby values closer to 0° represented more northerly aspects). Time since fire was derived for the study area from an ACT fire history spatial dataset (ACT EPSDD unpublished data).

Further to commonly modelled fire severity predictor variables (Table 1), pre-fire vegetation height (VH) and tall vegetation cover (TVC) were estimated using pre-fire LiDAR data acquired in 2015. LiDAR data were collected using a Trimble AX60 LiDAR device at an average flying height of 450 m above ground, laser footprint size of 0.12 m, and average density of 4 pulses per square metre. A DEM was derived using a Triangular Irregular Network of ground-classified LiDAR returns and gridded to 1 m resolution. VH and TVC were estimated as metrics of the indexed LiDAR point cloud (Hopkinson *et al.* 2005; Price and Gordon 2016). First, the height above ground of each non-ground classified return was determined as the nearest 1 m resolution DEM pixel subtracted from the return z-value (Fisher *et al.* 2020). Following Wulder *et al.* (2012) and Bolton *et al.* (2013), VH was calculated as the 95th percentile of LiDAR return Heights Above Ground (HAGs) greater than 2 m, with this height threshold aligning with the definition of a tree as having the potential to reach a minimum of 2 m at maturity *in situ* (Killmann 2002). Similar to Caynes *et al.* (2016) and Price and Gordon (2016), we determined TVC as the fraction of LiDAR returns greater than 3 m above ground, relative to all returns within and below this vertical layer. Although Price and Gordon (2016) found that LiDAR point return fractions consistently underestimated measured vegetation cover in eucalypt forest, the method provided a precise measure of vegetation cover with a high variance of field estimates. Areas burnt between the 2015 LiDAR data acquisition and 2020 Orroral Valley Fire were excluded from analyses to avoid potentially unrepresentative TVC and VH estimates. The study area was last subject to major wildfire in 2003, and previous research suggests that canopy height and cover in resprouting eucalypt forests are unlikely to change significantly in the time elapsed between the LiDAR acquisition and Orroral Valley Fire (Wilson *et al.* 2021).

## Sampling and analysis methods

A spatial autocorrelation explanatory variable was used to account for the spatial dependence between the fire severity response variables and neighbouring fire severity. The degree of relationship between gridded fire severity points at various distance intervals was indicated by semivariance (Meisel and Turner 1998; He *et al.* 2007). Similar to Price and Bradstock (2012) and Collins *et al.* (2014), spatial autocorrelation existed in dNBR, RdNBR and RBR grids for a distance of up to 10.5 km, where semivariance becomes approximately equal to the variance of the fire severity surface. As per Price and Bradstock (2012) and Collins *et al.* (2014), we therefore determined a Spatially Lagged Response Variable (SLRV) for each response variable (Haining and Haining 2003):

$$SLRV_i = \frac{\sum (W_{ij} \times Y_j)}{\sum W_{ij}} \quad (5)$$

where  $i$  represents the sample point,  $j$  represents a point within a 10.5 km radius of  $i$ ,  $W$  represents a Euclidean inverse distance weighting of the distance between  $i$  and  $j$ , and  $Y$  represents the fire severity value at the distant point. Effects of predictor variables after accounting for the SLRV variable can be inferred to have real effects rather than reflecting potential artifacts caused by spatial lag (Price and Bradstock 2012).

Data were extracted from the spatial layers at points on a regular 400 m grid, which indicates the approximate ridge-gully spacing distance for the study area. Sampling points within 100 m of roads, trails, powerlines and significant water bodies were discarded. Predictive models of fire severity, allowing for complex non-linear relationships, were developed with non-parametric modelling. In this approach, the relative importance of the predictor variables was not a function of the linearity of their relationships to fire severity. Further, this approach avoids potential over-evaluation of variable importance introduced by user-specified application of non-linear terms. We applied Random Forests Modelling (RFM) (Breiman 2001) to evaluate the performance of environmental predictor variables. RFM is a machine learning approach that has previously been applied to investigate complex relationships between fire severity and environmental predictors (Thompson and Spies 2009; Kane *et al.* 2015; Ying *et al.* 2018; García-Llamas *et al.* 2019; Hoff *et al.* 2019). RFM constructs multiple decision trees, which recursively partition observations into homogenous groups, from random subsets of data and predictor variables to reduce model overfitting. Model performance was initially evaluated using both *out-of-bag* (OOB) error rates and the Root Mean Square Error (RMSE) of model predictions obtained from separate randomly subsampled training and validation sets, comprising 2/3 and 1/3 of observations, respectively. Errors derived from both

approaches were approximately equal, and therefore the OOB error rates are presented. Predictor variable importance was indicated by the increase in Mean Squared Error (MSE) when each variable is randomly permuted while others remain unchanged. Further, partial dependence plots were used to illustrate the effects of individual predictors on fire severity while holding all other predictors at their average. RFMs were constructed using the *random Forest* package in R (Liaw 2018).

Correlations among predictor variables were indicated by Spearman rank correlation, which represents monotonic non-linear correlation between variables. There were no strong correlations ( $\rho > 0.5$ ) between predictor variables in RFMs, thereby reducing modelling uncertainties associated with multicollinearity. Simple univariate Generalised Linear Models (GLM) were used to further investigate relationships between commonly invoked environmental predictors (Table 1) and potentially confounding pre-fire variables of VH, TVC and NBR. GLMs were constructed with a Gaussian distribution using the *glm* function in R (Marschner 2011).

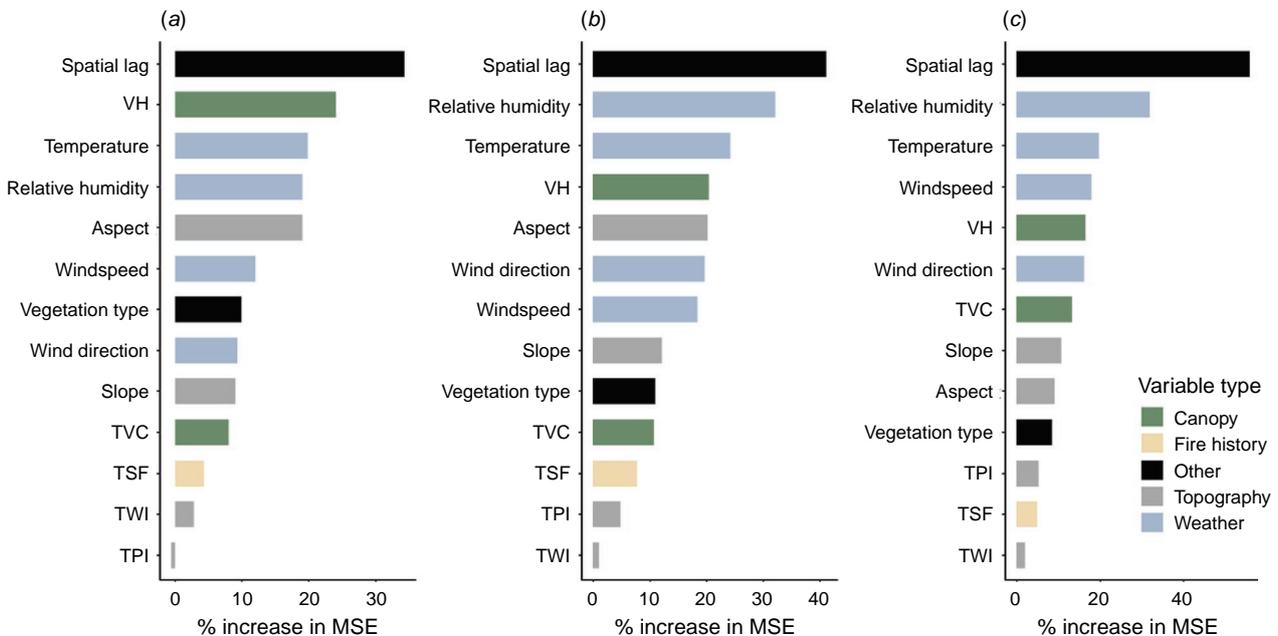
## Results

Pre-fire LiDAR-derived vegetation height was an important predictor of remotely sensed fire severity indices, and the effects and importance of our model predictor variables differed substantially among the three indices. VH was the second-most important variable in predicting RdNBR, after

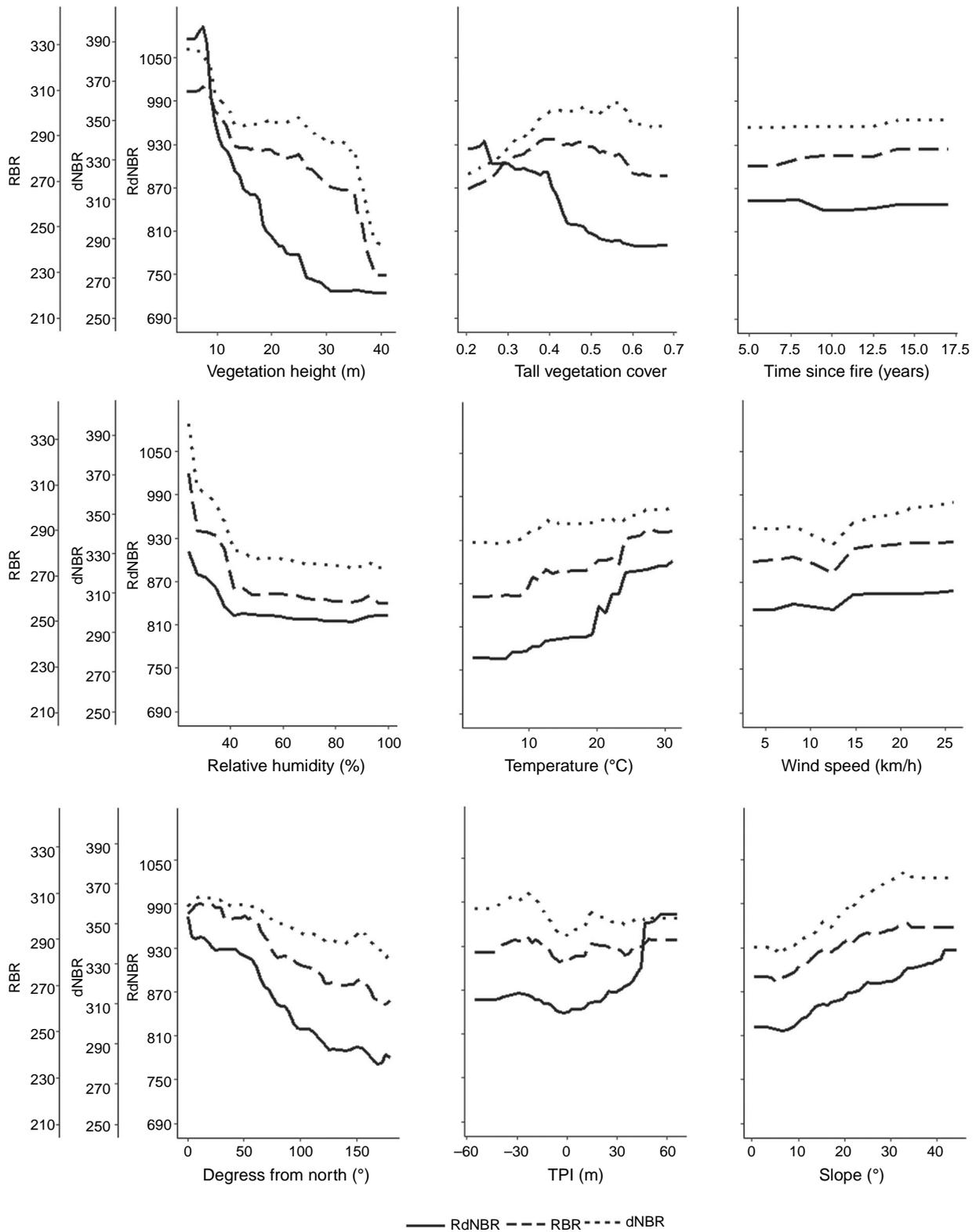
spatial lag, with a 25.5% increase of Mean Squared Error (MSE) when randomly permuted (Fig. 2). VH was also an important variable in predicting dNBR and RBR, with equivalent importance to key fire weather variables. TVC and topographic variables generally contributed less to predicting the fire severity indices compared to VH and fire weather variables. RFMs explained more variance in RdNBR ( $R^2 = 0.395$ , RMSE = 332) than RBR ( $R^2 = 0.268$ , RMSE = 92.2) and dNBR ( $R^2 = 0.229$ , RMSE = 121).

As indicated by RFM partial dependence plots (Fig. 3), increased pre-fire VH resulted in lower fire severity across the three indices, and the magnitude of this effect was greater than that of other environmental predictors, including weather variables. The effects of other predictor variables varied between the three fire severity indices. Aspect was an important predictor of RdNBR and RBR, but less important in predicting dNBR (Figs 2, 3). Similarly, increased TVC was associated with decreasing RdNBR but increasing dNBR (Fig. 3). The importance and effects of VH and fire weather response variables in predicting remotely sensed fire severity were generally consistent across the three indices (Figs 2, 3).

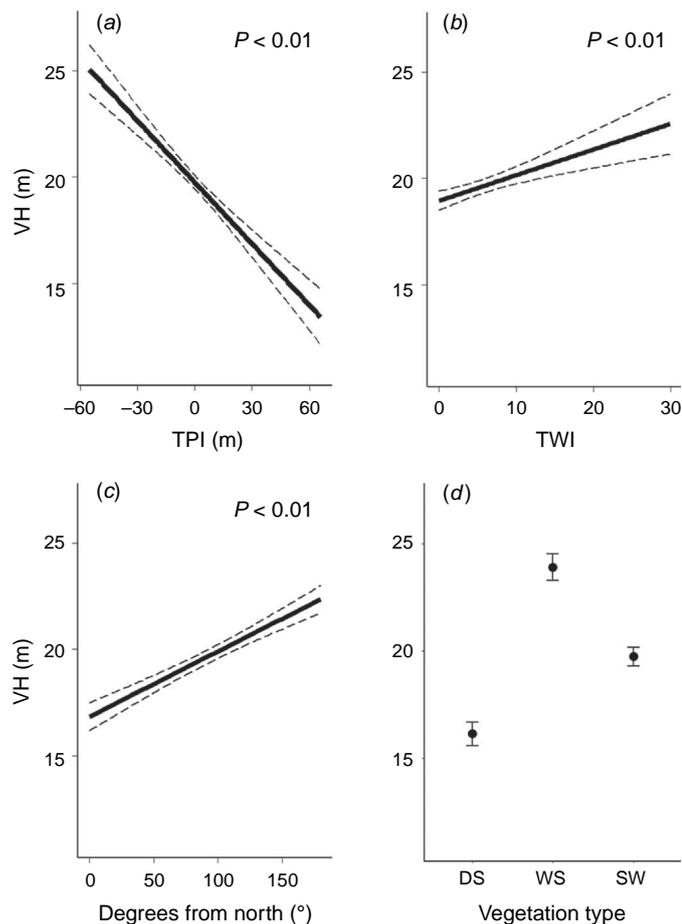
In parallel with substantial effects of VH on optical remote sensing fire severity indices, there were significant relationships between pre-fire VH and commonly modelled environmental predictors, including Topographic Position Index, Topographic Wetness Index, and aspect (Fig. 4). Pre-fire VH was greater in topographically lower-lying and wetter areas, and on easterly and southerly (poleward in the



**Fig. 2.** Importance of predictor variables in Random Forest Models of (a) RdNBR, (b) RBR, and (c) dNBR estimated fire severity for the Orroral Valley Fire. Importance is calculated for each predictor as the percentage increase of model Mean Squared Error when the variable is randomly permuted while others remain unchanged. Predictor variables are grouped by broader categories of fire severity determinants.



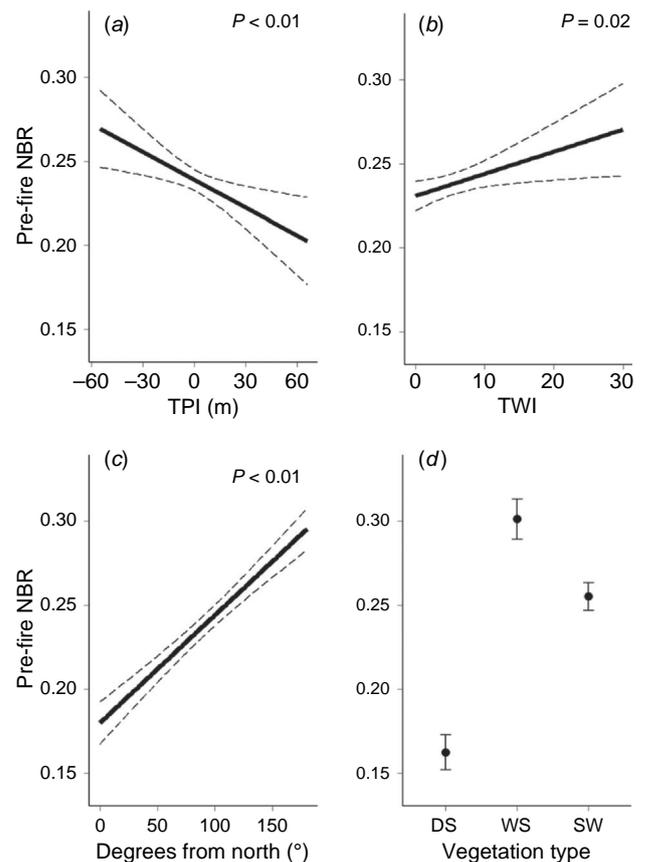
**Fig. 3.** Partial dependence plots from Random Forest Model predictions of canopy, fire history, topography and weather effects on RdNBR (solid line), RBR (dashed line), and dNBR (dotted line) for the Orroral Valley Fire. All fire severity response variables are plotted on equivalent linear y-axis scales. Although only the most relevant predictors are plotted, partial plots are derived from RFMs incorporating all variables (Table I).



**Fig. 4.** Effects of commonly modelled fire severity predictor variables – (a) Topographic Position Index (TPI), (b) Topographic Wetness Index (TWI), (c) aspect, and (d) vegetation type (DS, Dry Sclerophyll forest; SW, Subalpine Woodlands; WS, Wet Sclerophyll forest) – on pre-fire Vegetation Height (VH). Observations were derived from the Orroral Valley Fire affected area. Plotted lines and points represent modelled conditional means  $\pm 95\%$  confidence intervals.

southern hemisphere) aspects. There were no significant relationships between pre-fire TVC and these response variables ( $P > 0.05$  in all instances). Fire history and slope were also not significantly related to pre-fire VH or TVC ( $P > 0.05$  in all instances).

As a further consideration for drawing robust inferences from predictor effects on optical remote sensing fire severity indices (Fig. 3) – pre-fire Normalised Burn Ratio, which forms the basis of the dNBR, RdNBR and RBR, was significantly related to commonly modelled environmental predictors (Fig. 5). Pre-fire NBR was significantly higher in lower and wetter areas, and on more southerly aspects (Fig. 5). Importantly, however, the three fire severity response variables differed substantially in their correlation to pre-fire NBR (Fig. 6) due to differences in their formulation. RdNBR was moderately negatively correlated to pre-fire NBR ( $\rho = -0.45$ ), whereas dNBR was moderately positively



**Fig. 5.** Effects of commonly modelled fire severity predictor variables – (a) Topographic Position Index (TPI), (b) Topographic Wetness Index (TWI), (c) aspect, and (d) vegetation type (DS, Dry Sclerophyll forest; SW, Subalpine Woodlands; WS, Wet Sclerophyll forest) – on pre-fire Normalised Burn Ratio (NBR). Observations were derived from the Orroral Valley Fire affected area. Plotted lines and points represent modelled conditional means  $\pm 95\%$  confidence intervals.

correlated ( $\rho = 0.21$ ). There was limited correlation between RBR and pre-fire NBR ( $\rho = -0.03$ ).

## Discussion

Our results indicate two important considerations for inferring robust insights from spatial analyses of optical remote sensing fire severity indices. First, relationships between fire severity and commonly invoked environmental predictors differed substantially across three commonly applied optical indices, being the dNBR, RdNBR, and RBR (Fig. 2, 3). Second, variation in remotely sensed fire severity index estimates was strongly controlled by pre-fire Vegetation Height (VH) (Fig. 2). Importantly, pre-fire VH and NBR also covaried with commonly modelled environmental predictors (Fig. 4, 5). This indicates that effects of environmental variables on remotely sensed fire severity index estimates may largely be

explained by VH mechanisms and aspects of index computation. Pre-fire Tall Vegetation Cover (TVC) was less important in predicting fire severity estimates and did not covary with the environmental predictors used in our case study, though may be more influential in forests with more pronounced disturbance histories. We discuss the importance of response variable selection and consideration of pre-fire VH and TVC effects for generating robust insights from fire severity spatial analyses. We then discuss the implications of our findings for inferring fire behaviour effects from these analyses.

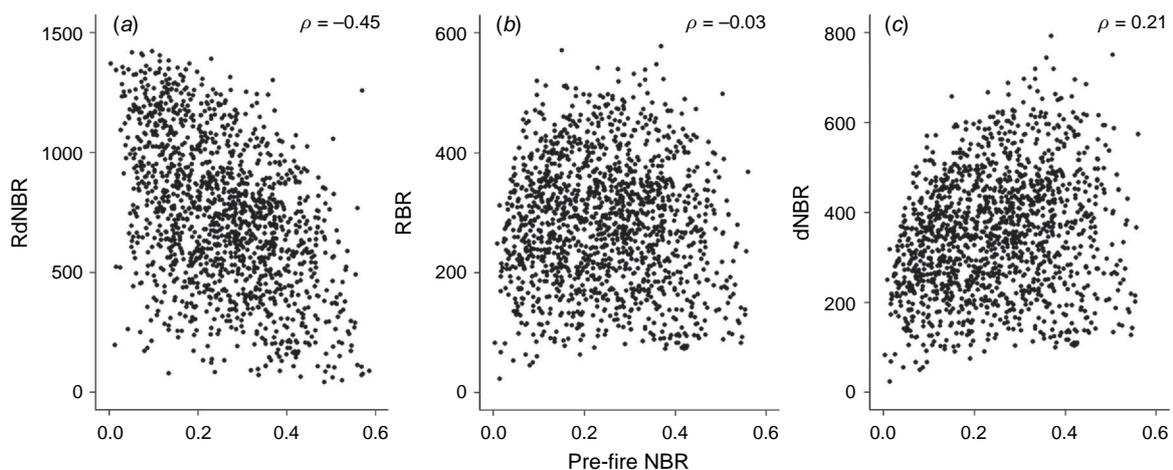
### Importance of optical fire severity index selection

Similar to findings of Parks *et al.* (2014a) and Miller and Thode (2007), dNBR was moderately positively correlated to pre-fire NBR ( $\rho = 0.21$ ; Fig. 6c). This has been attributed to the dNBR representing absolute change that is inherently related to the amount of photosynthetic vegetation, and therefore NBR, that can potentially be removed (Eqn 2) (Miller and Thode 2007). Relative versions of differenced fire severity indices, such as the RdNBR (Miller and Thode 2007) and RBR (Parks *et al.* 2014a), aim to minimise estimation bias corresponding to pre-fire NBR. Similar to Parks *et al.* (2014a), the RBR was uncorrelated to pre-fire NBR for the Orroral Valley Fire ( $\rho = -0.03$ ; Fig. 6b). However, we determined moderate negative correlation between pre-fire NBR and RdNBR ( $\rho = -0.45$ ; Fig. 6a), dissimilar to weak correlation observed by Miller and Thode (2007). This discrepancy may be attributed to the exceptionally dry pre-fire condition in our study area, which had very low and often negative NBR values in forested areas. These dissimilar correlations between fire severity and pre-fire NBR suggest that these indices cannot be generalised as relating to a single definition and interpretation of fire severity.

Correlations between pre-fire NBR and optical fire severity index estimates are magnified when regressing these

estimates against environmental predictors that covary with NBR. Pre-fire NBR is indicative of pre-fire photosynthetic biomass (Tucker 1979) and soil and foliar moisture (Miller and Thode 2007; Chuvieco *et al.* 2020). In our study, NBR varied with topography and vegetation types (Fig. 5), although it may also correlate to environmental predictors not employed in our study, such as logging and thinning history (Seedre and Chen 2010). Given the substantially varying correlations between pre-fire NBR and the three response variables (Fig. 6), the effects of environmental predictors on optical fire severity index estimates may therefore represent their correlations to pre-fire NBR, rather than typically inferred influences (e.g. topography and vegetation type) on physical fire effects. Importantly, the confounding relationship between pre-fire NBR and environmental predictors suggests that the results of fire severity spatial analyses that employ NBR based indices are, to a substantial degree, dependent on the choice of index.

The influence of different remote sensing indices can explain contrasting results across previous fire severity spatial analyses. For example, in North American boreal forest, studies have determined positive correlations between an absolute index, dNBR, and environmental predictors that covary with pre-fire photosynthetic biomass (e.g. wetter topographic positions, greater time since disturbance) (Cocke *et al.* 2005; Wimberly *et al.* 2009; Arkle *et al.* 2012; Parks *et al.* 2014b). However, studies employing a relative index (i.e. RdNBR and RBR) found inverse correlations to these predictors (Lyons-Tinsley and Peterson 2012; Meigs *et al.* 2020). While determinants of fire severity can be expected to vary regionally (Ying *et al.* 2018), these contrasting findings align with differences in mathematical treatment of pre-fire NBR and with differences in predictor effects observed in our study (Fig. 3). Unless fire severity is defined exclusively as a remote sensing index, we recommend greater consideration of the limited physical meaning



**Fig. 6.** Correlations between pre-fire NBR and (a) RdNBR, (b) RBR, and (c) dNBR estimates of fire severity for the Orroral Valley Fire.

of these estimates (Tucker 1979; Roy *et al.* 2006), and the different representations of change expressed by absolute and relative indices (Miller and Thode 2007; Parks *et al.* 2014a). Although less commonly applied in fire severity spatial analyses, alternate remotely sensed estimates, such as those derived from Radiative Transfer Modelling, Spectral Mixture Analysis, or non-parametric regression (e.g. Quintano *et al.* 2013; Gibson *et al.* 2020; Yin *et al.* 2020) may provide clearer representations of physical fire effects and should be considered in future analyses.

### Vegetation height effects on fire severity

Fire weather is generally held to be the dominant determinant of fire severity in Australian forests (Sullivan *et al.* 2012; Penman *et al.* 2013; Collins *et al.* 2014). However, in predicting optical fire severity indices, pre-fire Vegetation Height (VH) had equivalent or greater importance compared to fire weather variables (Fig. 2). Shorter-canopied vegetation is more susceptible to satellite-observable vegetation scorch and consumption resulting from understorey fire (Chafer *et al.* 2004; Hammill and Bradstock 2006), and NBR indices, therefore, reflect this expected fire severity effect. Findings from studies in Mediterranean and North American forest types have suggested that vegetation height also plays an important role in determining remotely sensed fire severity in other environments (Alexander *et al.* 2006; García-Llamas *et al.* 2019; Fernández-Guisuraga *et al.* 2021; Taylor *et al.* 2021), although the relative strength of vegetation height effects may be influenced by its heterogeneity within a fire-affected area (Mitsopoulos *et al.* 2019).

Covariation between commonly invoked environmental predictor variables and VH (Fig. 4) suggests that predictor effects on optical fire severity index estimates are explained by VH. For example, fire severity has been associated with topography, with lower severity fire generally occurring in lower, wetter, and less sun-exposed topographic positions (Bradstock *et al.* 2010; Kane *et al.* 2015; Meigs *et al.* 2020). Our results demonstrate the propositions of Chafer *et al.* (2004), Alexander *et al.* (2006), Bradstock *et al.* (2010), and Viedma *et al.* (2020) – that a substantial degree of influence of topography on optical fire severity indices is caused by its covariation with vegetation height. Despite significant covariation between topographic predictors and VH (Fig. 4), topography was generally unimportant for predicting fire severity for our case study (Fig. 2). Topography was similarly a poor predictor of fire severity for other 2019/2020 Australian wildfires, possibly resulting from overriding fuel dryness and prolonged extreme fire weather (Bowman *et al.* 2021). Further, although we suggest that significant covariation between topographic predictors and VH warrants consideration in fire severity spatial analyses, vegetation height is determined by additional factors, including soil properties, species assemblages and local climate (Givnish *et al.* 2014). As a result, we suggest that

our LiDAR derived VH variable provides a better indication of variation in vegetation height than topographic variables, and topographic covariates may have low RFM variable importance because they provide little additional information for predicting the response (Strobl *et al.* 2008).

Fire history had no relation with VH, and limited effect on optical fire severity index estimates (Fig. 3). This might be expected given that the study area had a mosaic of low intensity prescribed fire and is characterised by tree species known to resprout following fire (Florence 1973; Vivian *et al.* 2008). Further, we excluded recently burnt areas (<5 years) where prescribed burns are suggested to have the greatest effect on fuel characteristics and fire behaviour (Bradstock *et al.* 2012; Penman *et al.* 2011). Covariation between fire history and vegetation height may be more apparent in environments subject to stand-replacing fire or other major disturbances such as logging (Gosper *et al.* 2013; Rutishauser *et al.* 2016; Wilson *et al.* 2021). As a result, covariation with VH should be considered as a potential mechanism of environmental predictor effects on optical fire severity indices.

### Tall vegetation cover effects on fire severity

Contrary to our initial hypothesis, pre-fire Tall Vegetation Cover (TVC) was not negatively associated with optical fire severity index estimates (Fig. 3) and did not covary with topography or disturbance history. Our proposition – that TVC may affect fire severity due to its influence on satellite optical obscuration of sub-canopy fire effects (Yin *et al.* 2020) – was therefore not supported. Fire severity may be poorly related to TVC in our study because the 3 m height threshold defining canopy LiDAR returns could be too low to adequately represent potentially obscuring vegetation. Further, increased mid-storey and canopy fuel load may cause more extreme fire behaviour and potentially higher fire severity (Wagner 1977; Hall and Burke 2006), thereby cancelling expected obscuration effects.

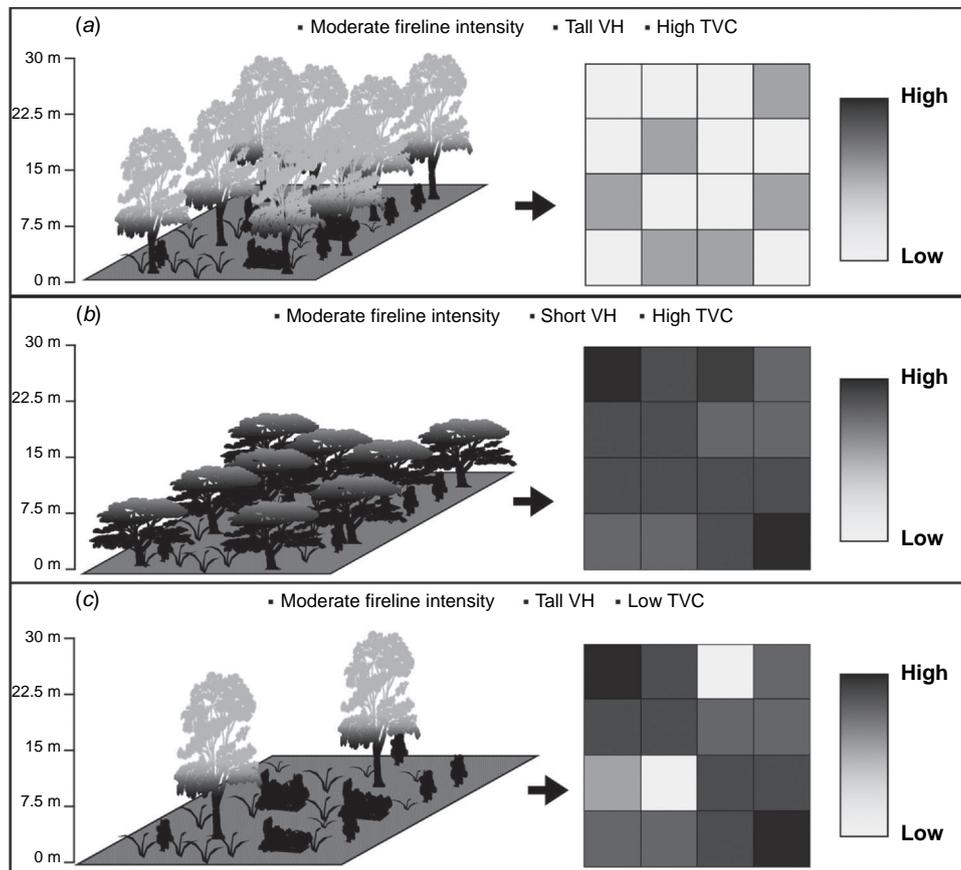
Although TVC demonstrated limited association with optical fire severity indices in our study, other studies have determined that canopy cover is an important influence on fire severity and detection methods. In North American broadleaf and deciduous forests, the lowest fire severity classification accuracies for the RdNBR corresponded to high severity fire occurring in low pre-fire canopy cover (user's accuracy = 45.5%), and low severity fire occurring in high pre-fire canopy cover (user's accuracy = 51.4%), compared to more typical accuracies between 55 and 85% (Miller *et al.* 2009). Further, Yin *et al.* (2020) integrated pre-fire canopy cover into optical remote sensing models of fire severity to improve classification accuracy in Australian and North American study areas. Although not a focus of our study, fire detection methods that use instruments such as the Moderate Resolution Imaging Spectroradiometer (MODIS) and Visible Infrared Imaging

Radiometer Suite (VIIRS) are also suggested to exhibit error from obscuration of surface fires by overstorey vegetation (Roy *et al.* 2008; Giglio *et al.* 2009; Roy and Kumar 2017). However, the degree of overstorey obscuration effects on fire detection products is unclear given the complexity of burnt area algorithms and their sensitivity to input data quality (Roy *et al.* 2008).

Similarly, TVC was unrelated to environmental predictors in our study, though may co-vary with predictors in other study areas. For example, canopy cover can vary as a function of topographic variables, including aspect and topographic wetness (Mackay and Band 1997; Bale *et al.* 1998), forest type (Nemani and Running 1997), and disturbance and land management variables, including stand age, fire history, logging history, and forest thinning (Thomas *et al.* 1999; Harper *et al.* 2002; Lindenmayer *et al.* 2012). We recommend consideration and further investigation of the potential for optical sensor obscuration to confound the effects of environmental variables on optical fire severity index estimates.

## Implications for inferring fire behaviour

Remotely sensed fire severity may be invoked to generate insights into fireline intensity, fire suppressibility, fire risk, flammability, or contributing fuel properties (Taylor *et al.* 2014; Fang *et al.* 2015; Zald and Dunn 2018; García-Llamas *et al.* 2019; Taylor *et al.* 2020). While variation of optical fire severity index estimates is partly caused by fire behaviour (Heward *et al.* 2013), our results suggest that this relationship is strongly confounded by VH. Heat decreases with increasing vertical distance above flames (Alexander and Cruz 2012) and, assuming constant canopy cover and fireline intensity, optical remote sensing is logically more likely to detect fire effects in shorter vegetation (Chafer *et al.* 2004; Hammill and Bradstock 2006) (Fig. 7). This mechanistic understanding aligns with our finding of substantially higher fire severity in areas of shorter vegetation (Fig. 3). Translation of optical fire severity indices to indicate fireline intensity, without regard for spatial variation in vegetation height, effectively assumes that there is no effect of height



**Fig. 7.** Illustration of effects of pre-fire Vegetation Height (VH) and Tall Vegetation Cover (TVC) in confounding the relationship between optical remote sensing index estimates of fire severity and fireline intensity. All scenarios (a), (b), and (c) represent vegetation subject to a hypothetical moderate fireline intensity. Variation in fire severity subsequently results from (a and b) differences in Vegetation Height (VH) with constant Tall Vegetation cover (TVC), and (a and c) differences in TVC with constant VH.

above flames on heat from fire. Alternatively, spatial variation in VH may also indicate spatial variation in fuel moisture (Bradstock *et al.* 2010), which can influence fireline intensity (Kreye *et al.* 2011). However, we argue that the extreme pre-fire dryness of the study area (ACT EPSDD 2020) which is typical of large wildfires (Nolan *et al.* 2016), in addition to our modelled incorporation of topographic variables that indicate fuel moisture (e.g. TWI, TPI, aspect), suggests that moisture is a lesser contributing mechanism to the observed VH effect.

Although our study determined little evidence that TVC controls variation in optical fire severity indices, it may be important to understanding links between fire severity and intensity, particularly in study areas with pronounced disturbance histories. Passive optical remote sensors capture two-dimensional information of the vegetation layer or land surface that is visible to the satellite (Hoe *et al.* 2018). Optical remote sensing indices primarily detect disturbance to the forest canopy in environments with a high cover of tall vegetation, and conversely, disturbance of understorey and surface vegetation and soil will contribute significantly to optical signals where tall vegetation is sparse (Spanner *et al.* 1990; Stenback and Congalton 1990; Miller *et al.* 2009; Yin *et al.* 2020). As a result, equivalent fireline intensity and field-measured fire severity may correspond to a high index estimate of fire severity in an open forest, and a low or unchanged estimate in a closed forest (Fig. 7). This aligns with the findings of Heward *et al.* (2013), who determined that high RdNBR in low canopy cover forest had equivalent fireline characteristics to low–moderate RdNBR in high canopy cover forest. Fire behaviour insights drawn from optical fire severity indices may therefore confound increased visibility of fire effects as representing increased fireline intensity.

We recommend against inferring fireline intensity from optical fire severity indices, given that the relationship is confounded by VH and potentially TVC, unless these vegetation variables are explicitly accounted for. In some cases, studies may discuss or emphasise, to some extent, the importance of explanatory mechanisms relating to fireline intensity, fire suppressibility, fire risk, flammability, or contributing fuel properties, from remotely sensed fire severity without explicitly invoking the confounding effects determined in our study (Taylor *et al.* 2014; Fang *et al.* 2015; Zald and Dunn 2018; García-Llamas *et al.* 2019; Taylor *et al.* 2020). Similarly, covariation of confounding vegetation attributes with other environmental predictors may not be recognised. For example, findings of the contribution of forest management to optical fire severity in Australian eucalypt forests (Taylor *et al.* 2014; Taylor *et al.* 2020) enable important and meaningful fire severity insights, though the degree that these effects may be influenced by changes in VH and TVC associated with this management (Harper *et al.* 2002; Lindenmayer *et al.* 2012; Gosper *et al.* 2013; Rutishauser *et al.* 2016) requires further investigation.

Robust fire behaviour insights from fire severity analysis are therefore more challenging due to the confounding influences of VH, and potentially TVC. While it may be possible to account for pre-fire VH and TVC effects in fire severity spatial analysis methods, there is nevertheless some degree of inseparability between these mechanisms and potentially covarying mechanisms such as fuel moisture and load.

## Conclusions

Analyses of environmental determinants of fire severity commonly apply optical remote sensing indices but do not typically consider their foundations and limitations. We demonstrated two important considerations when analysing spatial variation of optical fire severity index estimates. First, different remote sensing indices (dNBR, RdNBR, RBR) gave rise to different relationships between fire severity and environmental predictors. These differences can be explained by contrasting computational treatment of pre-fire NBR, which covaried with environmental predictors. Second, taller pre-fire Vegetation Height substantially decreased optical fire severity index estimates, with equivalent variable importance to fire weather. This effect can be mechanistically explained by taller vegetation being more vertically distant from flames. Finally, although we determined a lesser influence of pre-fire Tall Vegetation Cover, it may influence fire severity estimates by obscuring fire effects. Importantly, due to strong covariation with VH and potentially TVC, commonly invoked environmental predictors should not be considered as effects on fireline intensity and related fire behaviour concepts. To avoid potentially spurious interpretations, selection of appropriate remote sensing estimates for spatial analyses should consider the bias and meaning that is specific to these estimates, in relation to the fire severity definition adopted for any particular study. Optical indices are important and useful methods for rapid and cost-effective fire severity assessment and, withstanding these key considerations, analyses of the determinants of these estimates may contribute to improved understanding and management of fire-prone environments.

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**Data availability.** Some data that support this study were obtained from the ACT EPSDD by permission. This data may be shared upon reasonable request to the corresponding author subject to permission from the ACT EPSDD. Other data that support this study will be shared upon reasonable request to the corresponding author.

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